

# Research Terms of Reference

Kenya refugee and returnee joint multi-sectoral needs assessment

KEN2008

Kenya

September 2020  
Version 1

**REACH** Informing  
more effective  
humanitarian action

## 1. Executive Summary

|  |  |   |   |            |  |
|--|--|---|---|------------|--|
| <b>Country of intervention</b>   | Kenya  |   |   |            |  |
| <b>Type of Emergency</b>   | <input type="checkbox"/>   | Natural disaster  | <input checked="" type="checkbox"/>   | Conflict   |  |
| <b>Type of Crisis</b>  | <input type="checkbox"/>   | Sudden onset  | <input type="checkbox"/>  | Slow onset | <input checked="" type="checkbox"/> Protracted |
| <b>Mandating Body/ Agency</b>  | United Nations High Commission for Refugees (UNHCR), Government of Kenya (GOK) |   |   |            |  |
| <b>Project Code</b>  | 24ANF  |   |   |            |  |
| <b>Overall Research Timeframe</b> (from research design to final outputs / M&E)  | 01/04 /2020 to 31/03/2021  |   |   |            |  |
| <b>Research Timeframe</b><br>Add planned deadlines (for first cycle if more than 1)  | 1. Start collect data: 6/10/2020   |   | 5. Preliminary presentation: 4/11/2020  |            |  |
|  | 2. Data collected: 16/10/2020  |   | 6. Outputs sent for validation: 13/11/2020  |            |  |
|  | 3. Data analysed: 23/10/2020   |   | 7. Outputs published: 27/11/2020  |            |  |
|  | 4. Data sent for validation: 28/10/2020  |   | 8. Final presentation: 27/11/2020   |            |  |
| <b>Number of assessments</b>   | <input checked="" type="checkbox"/>  | Single assessment (one cycle)   |   |            |  |
|  | <input type="checkbox"/>   | Multi assessment (more than one cycle)<br>[Describe here the frequency of the cycle]  |   |            |  |
| <b>Humanitarian milestones</b><br>Specify <b>what</b> will the assessment inform and <b>when</b><br>e.g. The shelter cluster will use this data to draft its Revised Flash Appeal; | <b>Milestone</b>   |   | <b>Deadline</b>   |            |  |
|  | <input checked="" type="checkbox"/>  | Donor plan/strategy   | throughout life of project  |            |  |
|  | <input type="checkbox"/>   | Inter-cluster plan/strategy   | _ _ / _ _ / _ _ _ _   |            |  |
|  | <input type="checkbox"/>   | Cluster plan/strategy   | _ _ / _ _ / _ _ _ _   |            |  |
|  | <input checked="" type="checkbox"/>  | NGO platform plan/strategy<br>Norwegian Refugee Council (NRC) regional advocacy strategy.<br>Other actors working with refugee population | throughout life of project  |            |  |
|  | <input type="checkbox"/>   | Other (Specify):  | _ _ / _ _ / _ _ _ _   |            |  |
| <b>Audience Type &amp; Dissemination</b> Specify <b>who</b> will the assessment inform and <b>how</b> you will disseminate to inform the audience                                  | <b>Audience type</b>   |   | <b>Dissemination</b>  |            |  |
|  | <input type="checkbox"/> Strategic   |   | <input checked="" type="checkbox"/> General Product Mailing (e.g. mail to NGO consortium; HCT participants; Donors)         |            |  |
|  | <input checked="" type="checkbox"/> Programmatic                               |   | <input type="checkbox"/> Cluster Mailing (Education, Shelter and WASH) and presentation of findings at next cluster meeting |            |  |
|  | <input type="checkbox"/> Operational   |   | <input checked="" type="checkbox"/> Presentation of findings (e.g. at HCT meeting; Cluster meeting)                         |            |  |
|  | <input type="checkbox"/> [Other, Specify]                                      |   |   |            |  |

|   |   |  |  |
|---|---|--|--|
|   |   | <input checked="" type="checkbox"/> Website Dissemination (Relief Web & REACH Resource Centre)<br><input type="checkbox"/> [Other, Specify]  |  |
| <b>Detailed dissemination plan required</b>                               | <input type="checkbox"/> Yes<br><input checked="" type="checkbox"/> No  |  |  |
| <b>General Objective</b>  | To identify the needs and access to services for the refugees and returnees residing in Dadaab, Kakuma camps and Kalobeyei settlement in the 30 days prior to data collection and inform multi-sector humanitarian programming for 2021.  |  |  |
| <b>Specific Objective(s)</b>  | Provide a comprehensive evidence base of multi-sectoral needs among refugees and returnees residing in Dadaab and Kakuma refugee camps and Kalobeyei settlement in the sectors of WASH, Health and nutrition, protection, food security, livelihoods, education and humanitarian assistance   |  |  |
| <b>Research Questions</b>   | <ul style="list-style-type: none"> <li>• What is the demographic composition of refugee and returnee households (HHs) residing in Dadaab and Kakuma camps and Kalobeyei settlement?</li> <li>• What are the common protection needs for refugee and returnee households (HHs) residing in Dadaab and Kakuma camps and Kalobeyei settlement?</li> <li>• What is the food security situation and effect of COVID-19 to food security for refugee and returnee HHs residing in Dadaab and Kakuma camps and Kalobeyei settlement?</li> <li>• What is the education situation and effect of COVID-19 to education for refugee and returnee HHs residing in Dadaab and Kakuma camps and Kalobeyei settlement?</li> <li>• What is the health and nutrition situation and effect of COVID-19 to health and nutrition for refugee and returnee HHs residing in Dadaab and Kakuma camps and Kalobeyei settlement?</li> <li>• What are the livelihoods needs and effect of COVID-19 to livelihoods for refugee and returnee HHs residing in Dadaab and Kakuma camps and Kalobeyei settlement?</li> <li>• What are the WASH needs for refugee and returnee HHs residing in Dadaab and Kakuma camps and Kalobeyei settlement?</li> </ul> |  |  |
| <b>Geographic Coverage</b>  | Dadaab Refugee complex in Kenya, covering three composite camps; Dagahaley, Hagadera and Ifo camps, Kakuma refugee camps; Kakuma 1, Kakuma 2, Kakuma 3, Kakuma 4 and Kalobeyei settlement; village 1, village 2, village 3.   |  |  |
| <b>Secondary data sources</b>   | 1. UNHCR Monthly operational updates<br>2. Sector specific assessments in Dadaab<br>3. REACH, <a href="#">August 2018</a> , <a href="#">December 2018</a> , <a href="#">February 2019</a> and <a href="#">September 2019</a><br>Dadaab multi-sectoral needs assessment factsheet.   |  |  |
| <b>Population(s)</b><br><i>Select all that apply</i>                      | <input type="checkbox"/> IDPs in camp<br><input type="checkbox"/> IDPs in host communities<br><input checked="" type="checkbox"/> Refugees in camp<br><input type="checkbox"/> Refugees in host communities<br><input type="checkbox"/> Host communities  | <input type="checkbox"/> IDPs in informal sites<br><input type="checkbox"/> IDPs [Other, Specify]<br><input type="checkbox"/> Refugees in informal sites<br><input type="checkbox"/> Refugees [Other, Specify]<br><input checked="" type="checkbox"/> Returnees in the Camps |  |
| <b>Stratification</b><br><i>Select type(s) and enter number of strata</i> | <input checked="" type="checkbox"/> Geographical #:10<br>Population size per strata is known? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No   | <input type="checkbox"/> Group #: ____<br>Population size per strata is known?<br><input type="checkbox"/> Yes <input type="checkbox"/> No   | <input type="checkbox"/> [Other Specify] #: ____<br>Population size per strata is known?<br><input type="checkbox"/> Yes <input type="checkbox"/> No |

|   |  |  |
|---|--|--|
| <b>Data collection tool(s)</b>  | <input checked="" type="checkbox"/> Structured (Quantitative)  | <input type="checkbox"/> Semi-structured (Qualitative)   |
|   | <b>Sampling method</b>   | <b>Data collection method</b>  |
| <b>Structured data collection tool # 1</b><br><i>Select sampling and data collection method and specify target # interviews</i> | <input type="checkbox"/> Purposive<br><input type="checkbox"/> Probability / Simple random<br><input checked="" type="checkbox"/> Probability / Stratified simple random<br><input type="checkbox"/> Probability / Cluster sampling<br><input type="checkbox"/> Probability / Stratified cluster sampling<br><input type="checkbox"/> [Other, Specify] | <input type="checkbox"/> Key informant interview (Target #):_____<br><input type="checkbox"/> Group discussion (Target #):_____<br><input checked="" type="checkbox"/> Household interview (Target #): 1889<br><input type="checkbox"/> Individual interview (Target #):_____<br><input type="checkbox"/> Direct observations (Target #):_____<br><input type="checkbox"/> [Other, Specify] (Target #):_____<br> |
| <b>Target level of precision if probability sampling</b>  | 95 % level of confidence   | 7+/- % margin of error   |
| <b>Data management platform(s)</b>  | <input checked="" type="checkbox"/> IMPACT<br><input type="checkbox"/> [Other, Specify]  | <input type="checkbox"/> UNHCR   |
| <b>Expected output type(s)</b>  | <input checked="" type="checkbox"/> Situation overview #: 3<br><input type="checkbox"/> Presentation (Preliminary findings) #: 1<br><input type="checkbox"/> Interactive dashboard #: _<br><input checked="" type="checkbox"/> Summary report #: 1   | <input type="checkbox"/> Report #:<br><input checked="" type="checkbox"/> Presentation (Final) #: 1<br><input type="checkbox"/> Webmap #: _ _<br><input checked="" type="checkbox"/> Profile #: _ _<br><input type="checkbox"/> Factsheet #:<br><input checked="" type="checkbox"/> Map #: 4 in the situation overviews and 1 in the summary report.   |
| <b>Access</b>   | <input checked="" type="checkbox"/> Public (available on REACH resource center and other humanitarian platforms)<br><input type="checkbox"/> Restricted (bilateral dissemination only upon agreed dissemination list, no publication on REACH or other platforms)  |  |
| <b>Visibility</b> <i>Specify which logos should be on outputs</i>   | <b>REACH</b> [By default unless specified otherwise]<br><b>Donor:</b> ECHO<br><b>Coordination Framework:</b> [List logos here as relevant]<br><b>Partners:</b> NRC   |  |

## 1. Rationale

### 1.1. Rationale

As of August 2020, a total of [220,519](#) mostly Somali refugees resided in Dadaab camps, [157,539](#) and [39,594](#) mostly South Sudan in Kakuma camps and Kalobeyei settlement respectively. A majority of these refugees fled their counties of origin due to conflict. Dadaab camps were established in 1991, Kakuma camps in 1992 and Kalobeyei was launched in 2018. According to the [JMSNA conducted by REACH Initiatives in Dadaab in 2019](#), these refugees are reportedly in need of livelihood opportunities among other needs such as food, education, health and nutrition, WASH items and protection. Some refugees, do not have proper documentations such as birth certificates, alien identity cards, marriage certificates, etc.

REACH Initiative in close coordination with NRC, UNHCR and other relevant humanitarian actors in Dadaab, has been conducting joint multi sectoral needs assessment (JMSNA) in Dadaab since 2018. In [September 2019](#), REACH Initiative conducted a JMSNA in Dadaab camps and found out that 45% of HHs in Hagadera and Ifo and 80% of HHs in Dagahaley, had at least one member of the HH with no identity documents (IDs). These HH members who did not have an ID experienced challenges in obtaining movement pass, accessing communication sim cards, banking services and services

offered by the government. Food security was one of the critical humanitarian needs reported by refugees since more than a quarter of the HHs in the three camps of Dadaab were found to have a poor food consumption score.

Kenya has reported 33,016 COVID-19 positive cases as of 26 August 2020 and the country has been experiencing challenges related to COVID-19. The refugees' population has also faced COVID-19 related challenges which has increased their vulnerability in lack of livelihood opportunities and other needs such as food, education, health and nutrition, WASH items and protection. To understand the levels of needs among the refugees in Dadaab refugee camps, Kakuma refugee camps and Kalobeyei settlement, REACH in close coordination with NRC, UNHCR and other relevant humanitarian actors will conduct a JMSNA in Dadaab refugee camps, Kakuma refugee camps and Kalobeyei settlement.

## 2. Methodology

### 3.1. Methodology overview

In this assessment, REACH will conduct Household (HH) interviews in Dadaab refugee camps, Kakuma refugee camps and Kalobeyei settlement. A questionnaire covering key profile, needs and gaps in assistance as per [Sphere standards and IASC indicators](#), as agreed with UNHCR sector leads and other partners will be developed. Special focus will be given to Livelihoods, Education and Protection sectors due to the wider plans under the umbrella of the Comprehensive Refugee Response Framework (CRRF) roll-out to change the approach of service delivery in the camps and support the inclusion processes of refugees into national systems.

### 3.2. Population of interest

The assessment will cover three refugee camps in Dadaab refugee complex: Dagahaley, Hagadera and Ifo camps, four refugee camps in Kakuma refugee camps; Kakuma 1, Kakuma 2, Kakuma 3 and Kakuma 4 and 3 villages in Kalobeyei settlement. The population of interest are refugees and returnees living within the refugee camps and settlements.

### 3.3. Secondary data review

- i. UNHCR Monthly operational updates- This provides an overview of activities and service delivery carried out in the camps including WASH activities, education interventions, Health services as well as protection and security. The resource will help triangulate primary data collected on needs and access to services in Dadaab as perceived by households.
- ii. Sector specific assessments in Dadaab - These include food security assessments, education assessments as well as health surveys carried out by actors operating in Dadaab. These resources will aid in designing the methodology including tool development.
- iii. REACH, [August 2018](#), [December 2018](#), [February 2019](#) and [September 2019](#) Dadaab multi-sectoral needs assessment factsheet., these includes sectors of health, education, livelihoods etc. and will help in designing methodology and developing tools.

### 3.4. Primary Data Collection

#### 3.4.1. Household-level interviews

Household level interviews will be conducted in each of the three camps of Dadaab refugee complex, Kakuma refugee camps and Kalobeyei settlement the sample will be selected through probability random sampling at camp level to fulfil 95% Confidence level and a 7% Margin of Error. Random GPS points will be generated using ESRI's ArcMap in the residential areas, which are clearly divided into blocks, enumerators will access the random GPS points from their

android phones using maps.me, and they will interview households that fall on particular points. In case there is no one to interview in the selected household, or the respondent is unwilling to participate, enumerators will target the next nearest household in a radius of 5 meters. If there is still no household to interview, then they will interview the household that falls on the next point. The household-level questionnaire will cover levels of needs, access and vulnerabilities across the sectors of protection, water, sanitation and hygiene (WASH), education, food security, health and nutrition, and livelihoods. The sample size will be calculated based on household population figures of each camp, shared bilaterally by UNHCR. Household survey data will be collected using open data kit (ODK) collect by use of mobile phones. [To reduce the risk of contracting and spreading COVID-19](#), REACH will collect data through enumerators living within the camps and will provide personal protective equipment such as face masks and hand sanitizers to the enumerators and field staff. The enumerators will also be required to take breaks between interviews to reduce contact time. In addition, the enumerators will observe strict social distancing by conducting the interviews while 1.5 meters apart from the respondent. The enumerators will undergo a one day training on the tool and best practices during data collection.

Table 1: Sampling frame

| <b>Camp</b>  | <b>Population size<br/>(Households)</b> | <b>Sample<br/>size</b> |
|--------------|---|------------------------|
| Dagahaley    | 14,903                                  | 193                    |
| Hagadera     | 17,314                                  | 194                    |
| Ifo          | 14,492                                  | 193                    |
| Kakuma 1     | 13,797                                  | 193                    |
| Kakuma 2     | 11,400                                  | 193                    |
| Kakuma 3     | 5,143                                   | 189                    |
| Kakuma 4     | 6,433                                   | 190                    |
| Kalobeyei v1 | 2,549                                   | 182                    |
| Kalobeyei v2 | 2,493                                   | 182                    |
| Kalobeyei v3 | 2,244                                   | 180                    |
| TOTAL        | 90,768                                  | 1889                   |

## 2.5. Data Processing & Analysis [One paragraph]

Data from household interviews will be uploaded daily on the KOBO server. Daily data checks will be done in the evening once enumerators have uploaded data to the server. The outcomes of the data quality checks will form a basis for debriefing the enumerators before further data collection. Once all data has been uploaded, data cleaning will begin and feedback gathered where needed from enumerators. Once data cleaning is completed, data analysis will be done, the findings will be discussed and contextualized with relevant partners and three situation overviews will be produced for Dadaab camps,

Kakuma camps and Kalobeyei settlement and one summary report including the findings from Dadaab camps, Kakuma camps and Kalobeyei settlement covering the sectors of protection, water, sanitation and hygiene (WASH), education, food security, health and nutrition, and livelihoods.

## 4. Roles and responsibilities

Table 3: Description of roles and responsibilities

| <b>Task Description</b>              | <b>Responsible</b>              | <b>Accountable</b> | <b>Consulted</b>  | <b>Informed</b>          |
|--------------------------------------|---------------------------------|--------------------|---|--------------------------|
| Research design                      | Assessment Officer              | Research Manager   | IMPACT Research Design and Data Unit (RDDU), GIS Officer, UNHCR | Country coordinator      |
| Supervising data collection          | Field Officer                   | Assessment Officer | RDDU, Research Manager, GIS Officer                             | Country coordinator      |
| Data processing (checking, cleaning) | Field Officer, GIS Officer      | Assessment Officer | RDDU, Research Manager  | Country Coordinator      |
| Data analysis                        | Assessment Officer, GIS Officer | Assessment Officer | Research Manager, RDDU, UNHCR                                   | Country coordinator, NRC |
| Output production                    | GIS Officer, Assessment Officer | Assessment Officer | Research Manager, IMPACT Research Reporting Unit (RRU), UNHCR   | Country coordinator, NRC |
| Dissemination                        | Assessment Officer              | Assessment Officer | Research Manager, HQ Communications Officer, UNHCR              | Country coordinator, NRC |
| Monitoring & Evaluation              | Assessment Officer              | Assessment Officer | Research Manager, RDDU, UNHCR                                   | Country coordinator, NRC |
| Lessons learned                      | Assessment Officer              | Assessment Officer | Research Manager, RDDU, UNHCR                                   | Country coordinator, NRC |

**Responsible:** the person(s) who executes the task

**Accountable:** the person who validates the completion of the task and is accountable of the final output or milestone

**Consulted:** the person(s) who must be consulted when the task is implemented

**Informed:** the person(s) who need to be informed when the task is completed

## **5. Data Analysis Plan.**

### 5.1. Household level analysis plan



Analysis plan.docx

## **6. Data Management Plan**

The data management plan is available upon request.

## 7. Monitoring & Evaluation Plan

- Please complete the M&E Plan column in the table and use the corresponding Tools in the Monitoring & Evaluation matrix to implement the plan during the research cycle.

| IMPACT Objective  | External M&E Indicator   | Internal M&E Indicator   | Focal point           | Tool                                      | Will indicator be tracked?   |
|---|--|--|-----------------------|---|--|
| Humanitarian stakeholders are accessing IMPACT products   | Number of humanitarian organisations accessing IMPACT services/products<br><br>Number of individuals accessing IMPACT services/products  | # of downloads of x product from Resource Center                                   | Country request to HQ | User_log                                  | x Yes  |
|   |  | # of downloads of x product from Relief Web  | Country request to HQ |   | x Yes  |
|   |  | # of downloads of x product from Country level platforms                           | Country team          |   | x Yes  |
|   |  | # of page clicks on x product from REACH global newsletter                         | Country request to HQ |   | x Yes  |
|   |  | # of page clicks on x product from country newsletter, sendingBlue, bit.ly         | Country team          |   | x Yes  |
|   |  | # of visits to x webmap/x dashboard  | Country request to HQ |   | <input type="checkbox"/> Yes   |
| IMPACT activities contribute to better program implementation and coordination of the humanitarian response | Number of humanitarian organisations utilizing IMPACT services/products  | # references in HPC documents (HNO, SRP, Flash appeals, Cluster/sector strategies) | Country team          | Reference_log                             | Somalia HNO 2021 Norwegian Refugee Council (NRC) regional advocacy strategy.<br>-Comprehensive Refugee Response Framework -Kenya   |
|   |  | # references in single agency documents  |                       |   |  |
| Humanitarian stakeholders are using IMPACT products   | Humanitarian actors use IMPACT evidence/products as a basis for decision making, aid planning and delivery<br><br>Number of humanitarian | Perceived relevance of IMPACT country-programs                                     | Country team          | Usage_Feed back and Usage_Survey template | Decisions made and implemented on the basis of the assessment – to be checked with operational and donor partners to ask what actions they took on the basis of the findings and recommendations |



|   |  |  |              |                |  |
|---|--|--|--------------|----------------|--|
|   | documents (HNO, HRP, cluster/agency strategic plans, etc.) directly informed by IMPACT products  | Perceived usefulness and influence of IMPACT outputs<br>Recommendations to strengthen IMPACT programs<br>Perceived capacity of IMPACT staff<br>Perceived quality of outputs/programs<br>Recommendations to strengthen IMPACT programs                                |              |                | <i>This assessment may also be included in a usage survey of partners if one is conducted in the future.</i> |
| <b>Humanitarian stakeholders are engaged in IMPACT programs throughout the research cycle</b> | Number and/or percentage of humanitarian organizations directly contributing to IMPACT programs ( <i>providing resources, participating to presentations, etc.</i> ) | # of organisations providing resources (i.e.staff, vehicles, meeting space, budget, etc.) for activity implementation<br># of organisations/clusters inputting in research design and joint analysis<br># of organisations/clusters attending briefings on findings; | Country team | Engagement_log | x Yes<br>x Yes<br>x Yes  |

