Research Methodology Note

Assessment of Hard-to-Reach Areas (H2R) SOM1901

Somalia

04/05/2022 V1



1. Executive Summary

| Country of | Soma | alia | | | | | | |
|-----------------------------|---|--------------------------------|------|----------------------|--|--------------------------------|--|--|
| intervention | | | | | | | | |
| Type of Emergency | | Natural disaster | | Conflict | | Other (specify) | | |
| Type of Crisis | | Sudden onset | - | Slow onset | | Protracted | | |
| Mandating Body/ | Office for the Coordination of Humanitarian Affairs (OCHA), Inter-Cluster | | | | | | | |
| Agency | Coor | dination Group (ICCG) | | | | | | |
| IMPACT Project Code | 27AN | W | | | | | | |
| Overall Research | | | | | | | | |
| Timeframe (from | 01/0 | 5/2022 to 15/07/2022 | | | | | | |
| research design to final | | | | | | | | |
| outputs / M&E) | | | | | | | | |
| Research Timeframe | eframe 1. Pilot/ training: 04/05/2022 | | | | ut draftir | ng: 18/06/2022 | | |
| | Start collect data: 08/05/2022 Data collected: 2/6/2022 Data analysed: 15/06/2022 | | | 7. Outputs so | 7. Outputs sent for validation: 25/06/2022 | | | |
| | | | | 8. Outputs p | 8. Outputs published: 04/07/2022 | | | |
| | | | | 9. Final prese | 9. Final presentation: 10/07/2022 | | | |
| | 5. Da | ta sent for validation: 9/06/2 | 022 | | | | | |
| Number of | | Single assessment (one cycle) | | | | | | |
| assessments | | Multi assessment (more the | an o | ne cycle) - The asse | essment v | will be carried out three time | | |
| | | per year (November 2021, | | • | | | | |
| Humanitarian | Mile | estone | | Deadline | | | | |
| milestones Specify | - | Somalia Humanitarian Fund | | 01/09/2022 | | | | |
| what will the | | (SHF) Allocations | | | , . | | | |
| assessment inform and | - | Humanitarian Needs Overview | | 15/10/2022 | 15/10/2022 | | | |
| when | | (HNO) | | | | | | |
| e.g. The shelter cluster | | Cluster plan/strategy | | | | | | |
| will use this data to draft | | NGO platform plan/strategy | | | | | | |
| its Revised Flash Appeal; | | | | | | | | |
| | Audi | ence type | | Dissemination | Dissemination | | | |
| | Auui | ence type | | Dissemination | , ,, | | | |

| Audience Type & | ■ Strategic | ■ General Product Mailing (e.g. mail to NGO | | | | |
|--------------------------------|--|---|--|--|--|--|
| Dissemination Specify | | consortium; HCT participants; Donors) | | | | |
| who will the assessment | ■ Programmatic | | | | | |
| inform and how you will | □ Operational | ☐ Cluster Mailing (Education, Shelter and WASH) | | | | |
| disseminate to inform | □ [Other, Specify] | and presentation of findings at next cluster | | | | |
| theaudience | L [Other, Specify] | meeting | | | | |
| incudatence | | ■ Presentation of findings (e.g. at HCT meeting; | | | | |
| | | Cluster meeting) | | | | |
| | | ■ Website Dissemination (Relief Web & REACH | | | | |
| | | Resource Centre) | | | | |
| | | ■ □ [Other, Specify] | | | | |
| Detailed | □ Yes | ■ No | | | | |
| dissemination plan | | Results will be shared with the Drought | | | | |
| required | | Operation and Coordination Centre | | | | |
| • | | (DOCC), ICCG, and state-level coordination | | | | |
| | | bodies for wider sharing with operational partners. | | | | |
| General Objective | To inform humanitarian planning and | operations by providing information on needs, | | | | |
| • | displacement dynamics, and access to | services in hard-to-reach settlements in South | | | | |
| | | for humanitarian actors is restricted or impossible | | | | |
| | due to insecurity. | | | | | |
| Specific Objective(s) | | | | | | |
| | To provide an overview of | of multi-sectoral needs and vulnerabilities of | | | | |
| | · · · | (IDPs) and non-displaced populations living in | | | | |
| | hard-to-reach areas; | | | | | |
| | To provide an overview of the state of | ne factors that contribute to displacement from | | | | |
| | the hard-to-reach areas; | | | | | |
| | | | | | | |
| | | mation on services accessible to households in | | | | |
| | the hard-to-reach areas to ii | nform advocacy and the humanitarian response; | | | | |
| | To provide a multi-sectoral | overview of vulnerabilities to climatic hazards | | | | |
| | · | f their direct and indirect impacts on IDPs and | | | | |
| | non-displaced populations | iving in hard-to-reach areas. | | | | |
| Research Questions | What are the social, econon | nic and environmental vulnerabilities to climatic | | | | |
| | shocks of the populations ir | hard-to-reach areas? | | | | |
| | | ect social, economic and environmental impacts | | | | |
| | of climatic shocks in hard-to | | | | | |
| | What are the most pressing needs of the populations in H2R areas? Are | | | | | |
| | some population groups more vulnerable than others? How and why? | | | | | |
| | What are the needs and coping strategies of the populations in hard-to- | | | | | |
| | | reach areas regarding Food Security and Livelihoods, Health, Shelter/Non- | | | | |
| | | ter, Sanitation and Hygiene, Education and Protection? factors that contribute to displacement from the hard-to- | | | | |
| | reach areas? | contribute to displacement from the halu-to- | | | | |
| | | entions of populations in hard-to-reach areas? | | | | |
| | | rpes of humanitarian assistance, if any, do | | | | |
| | populations in hard-to-reac | · | | | | |
| | | accessing services and humanitarian assistance? | | | | |

| Geographic Coverage | Around 600 settlements ¹ in hard-to-reach areas in Bakool, Bay, Gedo, Lower Juba, Lowe Shabelle, Middle Juba and Middle Shabelle. The settlements are eligible if they are listed under OCHA's directory of inaccessible settlements; however, additional settlements may become eligible based on REACH Field Officers' feedback from the field, this is notably to case when the shifting access situation results in new locations becoming inaccessible shortly before, or during, data collection. | | | | | | | eligible if they are listed ditional settlements may he field, this is notably the |
|--|--|--|------|--|--|----------------|-------|--|
| Secondary datasources | PRMN (Protection and Return M Camp Coordination and Camp Manage REACH DSA (Detailed Site Assess IOM DTM (Displacement Tracking SWALIM (Somalia Water and Langery | | | | gement (CCM) Cluster settlement verifications ment) Matrix | | | |
| Population(s) | | IDPs in camp | | | • | IDPs in inform | nal : | sites |
| Select all that apply | | IDPs in host communities | | | | IDPs [Other, S | pe | cify] |
| | | Refugees in camp | | | | Refugees in ir | nfor | rmal sites |
| | | Refugees in host communi | ties | | | Refugees [Oth | ner, | Specify] |
| | • | Host communities | | | | [Other, Specif | • | |
| Data collection tool(s) | • | Structured (Quantitative) | | | • | Semi-structur | | |
| | Samp | oling method | | | Data collection method | | | |
| Structured data collection tool Quantitative tool | - Pr - Pr - Pr - Pr | orposive obability / Simple random obability / Stratified simple ra obability / Cluster sampling obability / Stratified cluster sa owballing | | | Key informant interview (Target #): 1800 KI interviews, 600 settlements² (minimum 2 KIs per settlement, maximum 3) Household interview (Target #): Individual interview (Target #): Direct observations (Target #): | | | |
| Semi-structured data collection tool Focus Group Discussion (FGD) | ■ Purposive □ Snowballing □ [Other, Specify] | | | | □ Key informant inter.view (Target #): □ Individual interview (Target #): ■ Focus group discussion: (Target #): 12 sessions (Mogadishu 4 sessions, Baidoa 4 sessions and Kismayo 4 sessions) | | | |
| Target level of precision if probability sampling | NA | | | | NA | | | |
| Data management | ■ IMPACT | | | | | UNHCR | | |
| platform(s) | | | | | | | | |
| | □ [Other, Specify] | | | | | | | |
| | 1 | Situation overview #: 1 | | | ort | #: | [| Profile #: |
| Expected output type(s) | • | Situation overview #: 1 | | | | | | Trome " |
| • | | Presentation (Preliminary findings) #: | | | sent | ation (Final) | [| Factsheet #: |

¹ Estimation based on previous rounds of the assessment.

50

² Three teams of 10 enumerators each will be collecting quantitative data over a period of 15 working days; 5 additional days may be added in case of remaining gaps towards achieving data collection targets. Three teams of 2 enumerators each will be collecting qualitative data over a period of 4 days. In total, 36 enumerators will be involved in data collection, for a period of 15 (+ 5 if required) days. www.reach-initiative.org

| | | [Other, Specify] #: | | | | | | | |
|--------------------------|-------|--|------|--------------|---------------|-----|-------------------|--|--|
| Access | • | Public (available on REACH resource center and other humanitarian platforms) | | | | | | | |
| | | Restricted (bilateral dissem | inat | ion only upo | on agreed dis | sse | mination list, no | | |
| | | publication on REACH or o | ther | platforms) | | | | | |
| Visibility Specify which | REAC | Н | | | | | | | |
| logos should be on | Dono | r: USAID | | | | | | | |
| outputs | Coord | dination Framework: NA | | | | | | | |
| | Partn | iners: OCHA | | | | | | | |

2. Rationale

2.1 Background

Somalia is experiencing the third drought episode in a decade, following three consecutive failed rainy seasons. The drought is compounding existing food insecurity among both pastoral and farming populations, and needs are spiking across the region – 7.7 million people are currently estimated to be in need of humanitarian help, and 6 million are forecasted to face acute food and water insecurity during 2022.³ Prevailing La Niña conditions, which typically bring below-average rainfall to the eastern Horn of Africa, are most likely to result in a historic, fourth consecutive below-average rainfall season in April-June 2022, according to Famine Early Warning System Network (FEWS NET) partners at the National Oceanic and Atmosphere Administration (NOAA), Climate Hazards Center, and United States Geological Survey (USGS). Despite overall below-average rainfall, parts of Somalia will remain prone to flooding during the April-June 2022 rain season.

While information about the severity of needs in accessible areas is often available, thanks to partners' assessment efforts in the context of rapid assessments and broader, periodic, country-wide assessments, information on needs in hard-to-reach areas remains very limited. Further, while country-wide analyses on climatic shocks are available, these are rarely cross-referenced with primary data on populations' needs in the field.

The May 2022 round of Hard-to-reach (H2R) data collection will focus more specifically on addressing critical information gaps regarding the vulnerabilities of H2R communities to climate shocks (drought and flooding in particular), as well as the social, economic and environmental impacts that climatic shocks may have had in these areas; this will be done by leveraging KI knowledge of H2R areas, as well as cross-referencing information provided by KIs with satellite imagery, where relevant. The specific focus on climatic shock is born out of the results of the December 2021 round of H2R data collection, which indicated extremely high needs in the areas assessed, tied to the protracted drought conditions, and in light of the ongoing efforts of the humanitarian community to negotiate access and deliver aid to H2R areas given the severity of the needs in these locations.

2.2 Intended impact

This round of the H2R assessment will happen in May 2022, during the April-June rain season. The assessment will assist partners and clusters in maintaining their operations to the greatest extent feasible by providing them with the keys to proper programming. The assessment aims to contribute to the following:

o Improving the understanding of the current situation in H2R areas to inform the humanitarian

³ Food Security and Nutrition Analysis Unit. Somalia Food Security Outlook Report for Feb-Sep 2022, www.reach-initiative.org

- planning cycles (HPC) and Somalia Humanitarian Fund allocation, by providing information from inaccessible areas in Somalia;
- o To help the humanitarian community with information on when and where to pass humanitarian assistance for those residing in hard-to-reach areas.

3. Methodology

3.1 Methodology overview

The H2R employs an Area of Knowledge (AOK) methodology, which offers settlement-level data on needs to shape the response in a situation where direct household surveys are currently limited or unavailable due to security constraints. The AoK approach consist of identifying key informants (KIs) who can testify about the humanitarian needs of a specific area. These KIs are identified based on their knowledge of the specific area that is being assessed, either because they have been displaced from this area recently or because they travel a lot in this area, or because they still have family or friends residing in the area that they can contact. This technique is meant to support strategic planning and contribute to a more focused and evidence-based humanitarian response in areas where humanitarian workers cannot go on a regular basis due to logistics and security constraints.

Data will be collected from May 8th to 2nd of June 2022, by the enumerator teams in the informal sites4 around Baidoa, Kismayo and Mogadishu districts, supervised by one field officer in each location. IDP camps that received new arrivals from H2R settlements5 in the previous three months, or new IDP settlements (set up in the three months by people who arrived from hard-to-reach areas) will be identified through ACTED, local authorities, partners (CCCM cluster, IOM DTM), and REACH field networks.

The methodology is articulated into a quantitative component, whereby enumerators will conduct interviews with KIs (target of 1,800 interviews in total), pre-identified by field officers (FOs), through a structured KOBO questionnaire, and a qualitative component, consisting of 12 semi-structured focus group discussions that will provide a more in-depth understanding of the dynamic setting and supplement data gathered via the quantitative tool. In addition to this primary data, stock satellite imagery will be used by the REACH GIS team to conduct further exploratory analysis on environmental vulnerabilities and impacts of climatic shocks in selected locations, as well as to triangulate primary data collection findings.

3.2 Population of interest

Geographical area assessed: The assessment targets the inaccessible areas of Somalia that are located within the following regions: Bakool, Bay, Gedo, Lower Juba, Lower Shabelle, Middle Juba and Middle Shabelle.

Population assessed: The target populations are IDPs and host communities residing in H2R settlements.

Unit of measurement: For the quantitative tool, the primary focus of the assessment is the settlement level. Given that some indicators cannot be collected at the settlement level some individual-level questions will be also asked. The FGD tool, multiple KIs from different settlement are gathered to report on the needs of their

⁴ Site where more than 15 displaced households have settled collectively. Sites not built to accommodate people, but serving that purpose, set up on state-owned or private land/buildings – CCCM Cluster Somalia.

⁵ Settlements are eligible to be covered by this assessment if they are listed under OCHA's directory of inaccessible settlements. However, additional settlements may become eligible based on REACH Field Officers' feedback from the field; this is notably the case when the shifting access situation results in new locations becoming inaccessible shortly before, or during, data collection.

community living in a hard-to-reach area. As the FGD is a new component of the H2R assessment, the unit of measurement remains the hard-to-reach areas as a whole but REACH will consider refining this aspect for further rounds.

Unit of analysis:

- For most indicators collected through the quantitative and qualitative components of the methodology, results will be provided for H2R areas assessed, overall;
- Results for a selection of WASH, Health and Food Security indicators, as detailed in the data analysis plan in section , will be disaggregated according to the month in which the KI left or last visited the settlement of origin or last contacted friends/family in the settlement of origin; this to enable a trends analysis on key indicators that can provide an indication of changing nutrition situation.
- Maps produced for the planned outputs will present results aggregated at the hexagon level (12km-sided) to avoid showing the exact locations of assessed settlements, due to protection considerations;
- The exploratory satellite imagery analysis will participate in informing the need for any further geographical disaggregation of results. In particular, the Normalized Difference Vegetation Index (NDVI) could indicate hotspots particularly affected by the drought.

3.3 Secondary data review

In addition to the secondary data outlined in the original ToR of the assessment,⁶ most of which will be used for sampling purposes, additional sources will be mobilized:

- <u>Food Security and Nutrition Analysis Unit</u> (FSNAU) publications;
- Somalia Water and Land Information Management (SWALIM) Combined Drought Index;
- Integrated food security Phase Classification (IPC) reports
- WASH Severity Classification (to be published);
- OCHA, Drought Situation reports;
- United Nations University Institute for Environment and Human Security, <u>Understanding and reducing</u> agricultural drought risk: Examples from South Africa and Ukraine, 2018;
- United Nations Office for Disaster Risk Reduction, <u>Global Assessment Report on Disaster Risk Reduction</u>, Special Report on Drought 2021

Broadly speaking, as much as possible secondary sources will be used to contextualise findings (such as the Integrated food security Phase Classification reports providing information on food security and nutrition needs as well as projections, per region. SWALIM can provide climatic data such as the combined drought index capturing information on crops, pasture, fire danger, water shortages, livestock migration. In particular, this will be triangulated with reported impact of drought and floods in hard-to-reach areas. The WASH and CCCM clusters' products will be used to provide key definitions. Finally, stock satellite imagery will be used to triangulate findings (e.g NDVI, rainfalls).

A selection of secondary data resources, including existing REACH tools, informed indicator and questionnaire design.

3.4Primary Data Collection

6 PEACH Passarch Tarms of Pafarance Assassment

Sampling

Given that physical access to the target locations is limited and there is no possibility of drawing a representative sample, purposive sampling will be adopted for all data collection methods, namely the Key Informant Interviews and the Focus group discussions.

KIs and FGD participants will be selected based on their knowledge of their settlement of origin that must be located in hard-to-reach areas mentioned previously in this document, as well as based on their length of displacement. Notably, the following eligibility criteria will apply (and will be integrated in data collection tools):

- 1) Being newly displaced from the hard-to-reach settlement (= < 3 months before the start of data collection) <u>or</u> having visited the hard-to-reach settlement in the 3 months prior to the start of data collection <u>or</u> having been in contact with friends/family living in the settlement of origin in the 3 months prior to the start of data collection;
 - KIs will also be purposefully selected based on their length of displacement from a given settlement, so as to have a minimum of 2 and a maximum of 3 KIs per settlement respectively, displaced at different times:
 - · in April or early May,
 - · in March,
 - in February
- 2) **And** come from a hard-to-reach settlement where at least one household still remain. Since the assessment of hard- to-reach areas aims to fill in gaps in understanding the humanitarian context, targeting settlements that are no longer inhabited would not contribute to this.

In addition, in order for REACH to assess the robustness of the information given by the interviewed KI, the questionnaire will ask the duration of the stay of the KI before leaving the H2R settlement. This won't be considered as a criteria of eligibility but rather as an indication of the level of knowledge of the interviewed KI.

Lived in the hard-to-reach settlement on which they are reporting for more than 3 months, before leaving it for good: given that some of the key informants may have been through more than one round of displacement, it is essential to only include people—who have sufficient knowledge of the settlement;

Finally, the questionnaire includes a section to allow a snowballing approach. The interviewees will be asked if they can refer REACH team to another KI that matched the eligibility criteria, from their settlement of origin or any other settlement in a hard-to-reach area. The contact details of these additional KIs will be collected for sampling purposes. FOs will make sure to keep an up-to-date contact list of potential KIs and local guides in order to build a strong network at the field level. This information will not be shared externally and will be stored only on REACH assets, protected by a password.

Structured KI interviews

Based on information from UNHCR PRMN (Protection and Return Monitoring Network) and CCCM cluster settlement verifications, as well as based on information obtained in the field, Field Officers (FOs) will identify eligible KIs among new arrivals in the sites around Baidoa, Kismayo and Mogadishu. The target for each of the three bases will be of 600 KI interviews (total 1,800 interviews overall), disaggregated as follows:

- First, FOs will identify around 200 eligible KIs, from around 200 different settlements, who last left their H2R settlement in the month prior to data collection. Alternatively, they will identify KIs who have visited or www.reach-initiative.org 50

- have been having contact with friends and family in the settlement of origin in the month prior to data collection;
- Secondly, FOs will endeavour to identify another 400 eligible KIs from these same settlements, 200 of whom were displaced between 2 months and 1 month before data collection, and another 200 displaced between 3 months and 2 months before data collection. As mentioned above, alternatively, FOs will identify KI who have visited or have been having contact with friends and family in the settlement of origin in 2 and 3 months prior to data collection.

| Location | Target number of settlements to assess | Target number of Klls | Target number of KIs displaced in the month prior to data collection | Target number of KIs displaced between 2 months and 1 month prior to data collection | displaced between 3 |
|-----------|--|-----------------------------|---|--|---------------------|
| Baidoa | 200 | 600 | 200 | 200 | 200 |
| Kismayo | 200 | 600 | 200 | 200 | 200 |
| Mogadishu | 200 | 600 | 200 | 200 | 200 |
| Total | 600 | 1800 | 600 | 600 | 600 |

The quantitative tool will include questions relating to the following clusters: Food Security and Livelihoods, Health, Shelter / NFI, WASH, Education, and Protection including Child protection and Gender based violence (GBV), Housing Land and Property (HLP), and accountability to affected populations (AAP). An indication about the nutrition situation in assessed settlements will be provided by running a trends analysis on a selection of WASH, Food Security and Health indicators. The tool has been adapted to specifically capture vulnerabilities of target populations to climatic shocks, as well as the impact of these on the humanitarian situation in H2R areas; relevant stakeholders were consulted to provide feedback on the modifications.

Most indicators will be collected at the settlement level, with the exception of a selection of individual-level indicators regarding the KI's profile (including eligibility questions) and reasons for their displacement.

For most indicators, KIs will be reporting on the period between the end of the harvest season (end of January) and the moment they last left the settlement of origin, while the nutrition-related indicators KIs will be reporting on the one month preceding their displacement. The latter indicators, which will be subject to a trends analysis, include:

- % of settlements by categories of items reportedly available in the market where the majority of the population were going in the recall period
- % of settlements where food item prices reportedly increased in the recall period
- % of settlements where water prices increased in the recall period
- % of settlements where NFIs prices reportedly increased, in the recall period
- % of settlements where MOST people were reportedly skipping 2 or more meals a day in the recall period
- % of settlements with reported excess mortality in recall period
- % of settlements by type of primary source of drinking water used by most households
- % of settlements where ANY people were using surface water as their main source of drinking water in the recall period

Data collection will be organized as follows:

- **Field Officers (FOs) and enumerator training:** 2 full days for the training for the upcoming round May, including 1 day of pilot.
- **Data collection:** 3 weeks of daily face-to-face data collection in informal IDP sites around Baidoa, Kismayo, and Mogadishu; an additional week may be added in case of remaining gaps towards reaching data collection targets.
- **Data cleaning:** Daily data checking and cleaning will be conducted by the field and assessment team, during data collection. Simultaneously, approximately 5 days will be allocated for spatial verification by the GIS/Data team.

Semi-structured focus group discussions

The quantitative survey tool will be complemented by semi-structured Focus Groups Discussions (FGDs) aiming to aid interpretation and provide more information on the context of hard-to-reach areas. The FGD topics will be based on the information from the quantitative data to provide a wider understanding of the community's needs in the settlement of origin. The overall objective of FGD is to deepen REACH team's understanding of the context and provide a narrative text to quantitative indicators.

A total of 12 FGDs will be conducted, disaggregated by gender, 4 in each base, and each consisting of a minimum of 7 and maximum of 9 participants; FGD participants will be purposefully selected among the pool of KIs interviewed during the quantitative component of data collection, so as to include as much as possible diverse experiences (FOs will endavour to include individuals from remote H2R areas and individuals from urban H2R areas, and individuals with different socio-economic backgrounds). A team of 2 enumerators, 1 facilitator and 1 note taker, will be responsible for organizing and facilitating the discussions; note taking is chosen over recording, due to protection considerations.

| Location | Number of FDGs | Nb of FDG for male | Nb of FDG for female |
|-----------|----------------|--------------------|----------------------|
| Baidoa | 4 | 2 | 2 |
| Kismayo | 4 | 2 | 2 |
| Mogadishu | 4 | 2 | 2 |
| Total | 12 | 6 | 6 |

Field Officers (FOs) and enumerator training: 1 day for the training for the upcoming round May, including half a day of pilot.

Data collection: 4 days of daily face-to-face data collection in informal IDP sites around Baidoa, Kismayo, and Mogadishu;

Data cleaning: Daily debriefings between the enumerator team and the assessment team will be conducted during data collection. Simultaneously, the assessment team will conduct the qualitative analysis.

Satellite imagery

Exploratory analysis of stock satellite imagery will be conducted by the GIS team to provide additional information regarding the environmental conditions in H2R areas, such as state of the vegetation or soil moisture, as relevant. In the case of reported flooding, additional information about changing floodline in the 3 months prior data collection, and the damage incurred by shelters, infrastructure and cropland may be gathered and follow-up calls

with KIs undertaken in case of difficulties with interpreting satellite imagery features. As the approach is exploratory, and new for the Somalia REACH team, it is not possible at this stage to clearly outline the additional analysis that will be undertaken, or the outputs that will result from this component.

3.5 Data Processing & Analysis

IMPACT data cleaning minimum standards checklist will be followed.⁷ Detailed data cleaning procedures will be outlined in the data cleaning Standard Operating procedures in Annex 1: Data Cleaning SOPs.

Quantitative data: Every day, the surveys are uploaded on the REACH/IMPACT Kobo-server and downloaded by the Database Officer (DO) at the end of data collection. The DO anonymises and subsequently checks the dataset before it goes through to Field and Assessment Officers who will be conducting data checking and cleaning will log changes and deletions. The Assessment Officer will oversee and do the data cleaning templates for the Field Officers, who are in turn responsible for data checking and the supervision of field teams. The following protocols will be in place to ensure the quality of data collected:

- Daily data cleaning by Field Officers, who identify outliers, anomalies, and logical inconsistencies, and
 give regular feedback to enumerators through daily briefings and ad-hoc training. Data points that
 cannot be resolved through follow-ups with the enumerators or respondents will be deleted. If survey
 records have more than three outliers that cannot be checked, the entire record is deleted from the
 dataset. Also, if the duration of the survey taken is very long or short and the enumerators couldn't
 provide concise and clear justification, the entire survey will be deleted.
- Weekly data cleaning will be conducted by the Assessment Officer, who reviews data cleaning conducted by Field Officers and provides additional feedback to the data collection teams in regular communication, briefings, and training.
- The GIS and Database Officers do data aggregation and spatial verification, who provide feedback to ascertain settlement coverage.

Data collected with structured tools will be aggregated at the settlement level (except for the selection of key indicators that will be disaggregated by KI length of displacement).

Given that more than one quantitative survey will be collected for a given settlement, data from key informants reporting on the same settlement is aggregated to the settlement level using an R script which employs the following logic to calculate settlement-level responses:

- Single response questions: The majority of survey questions only allow a KI to select a single response. For this type of question, mode aggregation is used, whereby "I don't know" responses are dropped and then the most commonly reported response is taken for each settlement. Should several KIs from the same settlement provide different responses to the same question, the result is reported as "No consensus".
- Multiple response questions: Mode aggregation is used, whereby "I don't know" responses are dropped and then all other responses reported by the KIs are presented.

Qualitative data: notes of the FGD discussions will be translated into English from Somali by Field Officers, in

MPACT_Memo_Data_Cleaning_Min_Standards_Checklist_28012020_1.pdf (reachresourcecentre.info) www.reach-initiative.org

case the enumerator cannot take notes in English directly. At the end of each focus groups discussion, the FO will ensure to have a debrief conversation with the enumerators who took the notes during the session. This debrief will allow the FO to read and cross-checks the notes, and ask enumerators clarify and/or complete their notes, if necessary. Qualitative data processing and analysis will be in line with this IMPACT guidance on qualitative data analysis.⁸

The notes of the FGD will be anonymised, and a data saturation grid will be developed to analyse the information gathered. Cross-case analysis will then be applied for the topics under consideration.

4. Key ethical considerations and related risks

The proposed research design meets / does not meet the following criteria:

| The proposed research design | Yes/ No | Details if no (including mitigation) |
|--|---------|--------------------------------------|
| Has been coordinated with relevant stakeholders to avoid | Yes | |
| unnecessary duplication of data collection efforts? | | |
| Respects respondents, their rights and dignity (specifically by: | Yes | |
| seeking informed consent, designing length of survey/ discussion while | | |
| being considerate of participants' time, ensuring | | |
| accurate reporting of information provided)? | | |
| Does not expose data collectors to any risks as a direct | Yes | |
| result of participation in data collection? | | |
| Does not expose respondents / their communities to any | Yes | |
| risks as a direct result of participation in data collection? | | |
| Does not involve collecting information on specific topics | Yes | |
| which may be stressful and/ or re-traumatising for research | | |
| participants (both respondents and data collectors)? Does not involve data collection with minors i.e. anyone less | Yes | |
| than 18 years old? | 103 | |
| Does not involve data collection with other vulnerable groups | Yes | |
| e.g. persons with disabilities, victims/ survivors of protection incidents, | | |
| etc.? | | |
| Follows IMPACT SOPs for management of personally | Yes | |
| identifiable information? | | |

5. Roles and responsibilities

Table 2: Description of roles and responsibilities

Task Description Responsible Accountable Consulted Informed

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⁸ https://www.impact-repository.org/wp-content/uploads/2020/10/IMPACT_Guidance_Qualitative-Data-Analysis-Checklist_October2020_FINAL.pdf

| Research design | REACH Assessment Officer(SAO) | REACH Assessment Officer (SAO), REACH Research Manager (RM) | REACH CountryCoordinator (CC) IMPACT HQ Research Design and Data Unit (RDDU) | OCHA Somalia Information Management and Assessment Working Group (IMAWG) Inter Cluster Coordination Group (ICCG) Drought Operations Coordination Center (DOCC) |
|--|--|---|---|--|
| Supervising data collection | REACH Field Officers (FOs) | REACH AO | REACH SeniorDatabase Officer (SDO), REACH RM | OCHA Somalia, ICCG, IMAWG, DOCC |
| Data processing (checking, cleaning) | REACH AO, FOs, GIS Officer (GISO) REACH SDO | REACH AO | REACH RM REACH Research Design and Data Unit | OCHA Somalia, ICCG, IMAWG, DOCC |
| Data analysis | REACH AO REACH GISO REACH SDO | REACH AO | REACH CC REACH RM, IMPACT HQ RDDU | OCHA Somalia, ICCG, IMAWG, DOCC |
| Output production | REACH AO | REACH AO | OCHA Somalia REACH CC REACH RM IMPACT HQ Reporting Unit | ICCG, DOCC |
| Dissemination | REACH AO | REACH AO | REACH CC REACH RM HQ Research Department - Communication | OCHA Somalia, ICCG, IMAWG, DOCC |
| Monitoring and evaluation | REACH AO | REACH RM | REACH CC Humanitarian partners, OCHA Somalia HQ Research Department | OCHA Somalia, ICCG, IMAWG, DOCC |
| Lessons Learned | All team members involved in the assessment (field team, data team, assessment team), partners if possible | REACH AO | REACH CC REACH RM HQ Research Department Humanitarian partners | OCHA Somalia, ICCG, IMAWG, DOCC |

Responsible: the person(s) who executes the task

Accountable: the person who validates the completion of the task and is accountable of the final output or milestone

Consulted: the person(s) who must be consulted when the task is implemented **Informed:** the person(s) who need to be informed when the task is completed

6. Data Analysis Plan

Structured quantitative tool - Key Informant Interview

| Research questions | Indicator group / sector | Indicator Variable – May 2022 | Questionnaire Question - May 2022 | Instructions | Questionnaire responses - May 2022 |
|-----------------------|-----------------------------|---------------------------------------|--|--------------|---------------------------------------|
| | | | Enumerator information | | |
| | Key characteristics | base of the data collection | Please specify your (enumerator) base: | | |
| | Key characteristics | Code of the enumerator | Please specify your (enumerator) code: | | ETH1 - ETH 14 |
| | Key characteristics | Name of theIDP site | What is the name of the IDP site where you are collecting data? | Select one | Mogadishu, Baidoa, Kismayo |
| N/A | Key characteristics | consent | Hi, my name is . I work for REACH, an initiative of ACTED INGO. We are currently conducting a survey to understand remote locations that have little humanitarian access. We would like to know more about the settlement in which you lived before moving to location where you are staying currently. We also may ask you a few questions about yourself personally. The survey will take about 20 to 25 minutes to complete. Any information that you provide will be kept strictly confidential. This is voluntary and you can choose not to answer any or all of the questions if you want; you may also choose to quit at any point. However, we hope that you will participate since your views are important. Responses are not directly tied to any form of humanitarian assistance and answers given in this interview will not directly affect any status as a beneficiary or non-beneficiary. | Select one | Yes, No |
| | Key characteristics | Declined consent note | Do you have any questions? Do you consent to participate to this interview? If the respondent has declined consent please end the assessment and find another KI to interview | Note | |
| | Key characteristics | COVID-19 awareness to community | As a humanitarian agency, we would like to inform you about current pandemic of coronavirus infection. The virus is highly contagious and spreads person to person. You can get infected through handshake, hug or standing very close to an infected person (less than 1 meter), and also through touching the surfaces that were touched by someone who is sick, and then putting hands on your face and eyes. The symptoms of disease include fever, cough, shortness of breath, and breathing difficulties. You can protect yourself and | Note | |

| | | | help prevent spreading the virus to others if you: Wash your hands regularly for 20 seconds, with soap and water Cover your nose and mouth with a disposable tissue or flexed elbow when you cough or sneeze Avoid close contact (1 meter) with people who are unwell Stay home and self- isolate from other people if you feel unwell and advice people with symptoms of disease to do so Don't touch your eyes, nose, or mouth if your hands are not clean Try as much as possible to use contactless payment methods and limit the use of paper money. Also, wash your hands thoroughly each time after you touch the money or any other surface which is exposed. Please note that using medical masks alone does not provide sufficient level of protection. They can only be effective if combined with frequent hand cleaning with soap and water, and only if single-use masks are disposed after each use. | | |
|-----------------------|------------------------|-----------------------------------|---|------------------------|--|
| | | | Settlement info and KI eligibility | | |
| | Note | Note | You will now be asked about this settlement. For the purpose of this assessment the settlement we will be talking about will be called hard to reach settlement. | Note | |
| | Key Characteristics | Eligibility | What REGION is the hard to reach settlement in? | Select one | List of region |
| | Key Characteristics | Eligibility | What DISTRICT is the hard-to-reach settlement in? | Select one | List of districts |
| | Key Characteristics | Eligibility | What is the name of the settlement? | Select one | List of settlements |
| | Key Characteristics | Eligibility | What is the name of the closest settlement? | Select_one | List of settlements |
| Eligibility of the KI | Key Characteristics | % of Kis by month of displacement | When did you leave [settlement]? How long did you stay in the settlement in | Select one Select_one | Before the end of the harvest season Some time in February (more than 9 weeks ago but after the end of the harvest season) Some time in March (9 weeks ago or less, but more then five weeks ago) Some time in April (five weeks ago or less, but more than one week ago) Less than one weeks ago (in May) Less than 3 months; |
| | Characteristics | Eligibility | the hard-to-reach area from which you moved, before leaving it? Are there still members of the local | Select one | 3-6 months; More than 6 months; Yes, no, I don't know or |
| | Characteristics | | community living in [info settlement]? | | don't want to answer |
| | | | | | |

| | Key Characteristics | % of Kis by month of last visit | If not, please end the assessment and find another KI to interview If you left the settlement earlier than the end of the harvest season, did you visit the settlement after the end of the harvest season or have you been in contact with family and friends? | Select one | No Yes, some time in February (more than 9 weeks ago but after the end of the harvest season) Yes, Some time in March (9 weeks ago or less, but more then five weeks ago) Yes, Some time in April (five weeks ago or less, but more than one week ago) Yes, Less than one weeks ago (in May) |
|---|------------------------|--|--|--------------------------|--|
| | Key Characteristics | Confidence in KI reported information | Do you feel knowledgeable enough to report on your settlement of origin about the level of access to basic services, markets and livelihood, protection, etc.? | Select_one | Yes; No |
| | | | Settlement profile and displacement | | |
| | Note | Note | Now we will ask some questions that are related to the experience of your household related to the settlement from which you moved. We will also ask you to provide some personal information. | Note | |
| | Key characteristics | Gender of the respondent | What is the key informant`s gender? | Select_one | Male; Female |
| What are the demographic | Key characteristics | Age of the respondent | What is the key informant's age? | select one | 18-49; 50-60; 61+ |
| characteristics of populations in H2R areas which may increase the | Key characteristics | Name of the respondent | What is the respondent's name? (cannot be left blank) | text | |
| increase the population's vulnerability to climatic shocks? What are the factors that contribute to displacement from the H2R areas? How are climatic shocks affecting displacement patterns to and from H2R areas? | Key Characteristics | % of KIs by KI role within their community of origin | What was your role within your settlement of origin, before you left? | Select one | Community leaders / elders Clan leaders Local NGO/INGO/UN staff Health centres/staff Gatekeepers Local authorities Religious leaders None of the above No response or I don't want to answer Don't know Other (please specify) |
| | Key Characteristics | % of settlements by climatic shock experienced in the recall period | Which climatic shocks affected your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement}? | Select all that apply | Flood, Failed rains, Drought, Locust invasion, Wild fires, Other (specify), None of the above |

| Demographics | Estimated size of settlement (categorical, ordinal) | In the {period between the end of the harvest season and the last time you left/visited the settlement}, what was the estimated size of [info settlement] in terms of number of people? | Select one | 1-50; 51-100; 101-500; 501-1000; 1001+; I don't know or don't want to answer |
|--------------|---|--|--|---|
| Demographics | % of settlements by proportion of age and gender categories present | What age and gender group did MOST individuals in your settlement of origin belong to, {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | Boys (under 18) Girls (under 18) Adult women (18 - 59) Adult men (18-59) Elderly women (60+) Elderly men (60+) |
| Demographics | % of settlement by proportion of special needs categories present | What proportion did these categories represent out of the total population, {between the end of the harvest season and the last time you left/visited your settlement}? An unaccompanied child is a boy or girl under 18 without father, mother or other relatives who support them | Select one: (None (0%) A few (1- 25%) Some (26- 50%) Many (51- 75%) All or almost all (76- 100%)) for each of the relevant categories | Persons with difficulties seeing, hearing, walking, communicating or taking care of themselves Elderly Sick Pregnant or lactating women Unaccompanied children |
| Displacement | % of Kis by main three reasons why their household moved to the current place of stay | What are the reasons why you moved to the current place of stay? | Select multiple | Access to water Acces Access to food Better security situation Presence of jobs Availability of shelters Better access to services None No response or I don't want to answer Don't know Other (please specify) |
| Displacement | % of settlements by proportion of IDPs out of the total population, in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, what proportion of the total population living in your settlement of origin were people coming from a different settlement within Somalia (IDPs)? | Select one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76-100%) Don't know |
| Displacement | % of settlements where IDP arrivals were reported in the recall period | Did any people from a different settlement within Somalia move permanently to your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | Yes No Do not know |
| Displacement | % of settlements by most commonly reported reasons why IDPs decided to move to the assessed hard to reach settlements | If IDPs moved to your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement}, what were the main reasons why most of them decided to move to your settlement of origin? | Select multiple | Access to water Access to food Better security situation Presence of jobs Availability of shelters Better access to services No response or I don't want to answer Don't know Other (please specify) |

| | Displacement | % of settlements by most commonly reported reasons why IDPs decided to move to the assessed hard to reach settlements | What were the main reasons why most of the people who moved permanently to your settlement of origin left their settlement {between the end of the harvest season and the last time you left/visited your settlement}? | select_multi ple | Damage/losses due to {climatic_shocks_reporte d} Lack of sufficient food Lack of sufficient water Lack of sufficient income No access to basic services (health, education, shelter, markets) Eviction Insecurity in the settlement of origin Other (specify) Don't know |
|---|---------------------|---|--|---------------------|--|
| | Displacement | % of settlements by most commonly reported reasons why residents left in the recall period | What is the primary reason why most people ORIGINALLY FROM your settlement of origin moved out {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | Damage/losses due to {climatic_shocks_reporte d} Lack of sufficient food Lack of sufficient water Lack of sufficient income No access to basic services (health, education, shelter, markets) Eviction Insecurity in the settlement of origin Other (specify) |
| | Displacement | % of settlements by most common movement intentions of MOST people still residing in the assessed settlements | Where do you think MOST people still residing in your settlement intended to go in the three months after you left? | Select one | Remain in the settlement Permanently abandon the settlement Leave the settlement for a short period Don't know Prefer not to answer |
| | Displacement | % of settlements by most commonly reported necessary condition for MOST people to return to the settlement | What would be the necessary conditions for MOST people to return to your settlement of origin in the next three months? | Select multiple | Security, humanitarian assistance, economic opportunity, improvement of basic services, reconstruction of shelter, Other(please specify) |
| | | | Markets | | |
| What are the food security needs and coping strategies of the populations in H2R areas? To which foods, sources of livelihood and | Cash and Markets | % of settlements where MOST people reportedly had access to a functional market in the recall period | {between the end of the harvest season and the last time you left/visited your settlement} was there a functioning market that MOST people could physically access FROM [info settlement]? | Select one | Yes, at all times Yes, but access limited to some days No access Don't know |

| markets have populations in H2R areas access to? What are the food, livelihood strategies and market access constraints which may increase their vulnerability to climatic shocks? How are climatic shocks affecting access to food and livelihoods? | Cash and Markets | % of settlements by most commonly reportedly barriers to accessing markets in the recall period | If MOST people had no access to markets {between the end of the harvest season and the last time you left/visited your settlement}, What were the main reasons? | Select multiple | Markets are closed, Roads to markets are closed, Lack of cash to buy goods at the market, Prices in the market are too high, Needed items are unavailable in markets, Security risks travelling outside of the settlement, Lack of transportation to reach the closest functional market, Other (specify), Facilities / marketplace not functional due to \${climatic_shocks_report} ed}-related infrastructural damage (buildings in the marketplace have become hazardous / unsafe), Could not access facilities because routes accessing the marketplace were blocked / damaged due to \${climatic_shocks_report} ed}, Don't know, Movement restrictions imposed by government or local authorities |
|--|---------------------|---|---|--|--|
| | Cash and Markets | % of settlements by average time walked to reach the closest functional market | If MOST people had access or partial access to markets {between the end of the harvest season and the last time you left/visited your settlement}, how far was the closest functional market used by MOST people on average, walking? | Select one | Under 30 minutes 30 minutes to 1 hour More than 1 hour to half a day More than half a day Don't know |
| | Cash and Markets | % of settlements by categories of items reportedly available in the market where the majority of the population were going in the recall period | Have the following things been available in the market where the majority of population goes from your settlement of origin, in the {1 month before you left the settlement, visited or contacted family or friends in the settlement}? | Select one (Available, Unavailable, Don't know) for each category | Food Tools for farming and seeds Livestock Fuel for cooking Construction materials Clothes and materials for sewing Shoes Soap Jerry cans Womens` menstrual hygiene materials Mosquito nets Water |
| | Cash and Markets | % of settlements by type of financial factors affecting MOST people's access to markets | If MOST people have access or partial access to markets, which financial factors affected MOST people's access to the marketplace {between the end of the harvest season and the last time you left/visited your settlement}? | select_multi ple | - Financial factors do not affect people's access to the marketplace - MOST people cannot afford the items available in the marketplace / prices for items in this marketplace are too high - Public transportation is |

| Cash and Markets where market access was affected by (chock flooding) or five) in the recall period. Cash and Markets where market access was affected by (chock flooding) or five) in the recall period. Cash and Markets where market access was affected by (chock flooding) or five) in the recall period. Cash and Markets where market access was affected by (chock flooding) or five) in the recall period. Cash and Markets where market access was affected by (chock flooding) or five) in the recall period. Cash and Markets where market access was affected by (chock flooding) or five) in the recall period. Cash and Markets where market access to the chocket functional marketplace affected since the disaster struck the area? Cash and Markets where market access to the chocket functional marketplace flooding because no access to marketplace from accessing the marketplace flooding because no access to marketplace flooding because no access to markets was possible already before the shock of the shock in flooding because no access to marketplace flooding because no access to market was possible already before the shock report edit related flooding because no access to market was possible already before the shock report edit related flooding because no access to market was possible already before the shock report edit related flooding because no access to market was possible already before the shock report edit related by the possible access to the chocket flooding because no access to market was possible already before th | 1 | 1 | ı | 1 | ı | , |
|--|---|----------|---|--|---|---|
| Cash and Markets where market access was affected by Island on the period of the harvest season and the last inspect that Island on physical access to the closest functional on physical access to markets for MOST people Cash and MoST people MoST people do not have enough physical access was affected by Island on physical access to markets for MOST people If \$climatic_shocks_reported) was experienced in the settlement, was access to the closest functional marketplace affected since the disaster struck the area? If \$climatic_shocks_reported) was experienced in the settlement, was access to the closest functional marketplace affected since the disaster struck the area? If \$climatic_shocks_reported, was experienced in the settlement (between the end of the harvest season and the last time you lifer/visited your settlement), and if people still have access to markets was possible already before the shock) Don't know No impact on physical access to market place sets to the closest functional marketplace area blocked. Admanged infrastructure has resulted in some product unavailability (e.g. lack of refrigeration). Routes accessing the marketplace are blocked / damaged due to refrigeration). Routes accessing the marketplace are blocked / damaged due to refrigeration. Routes accessing the marketplace are blocked / damaged due to refrigeration. Routes accessing the marketplace are blocked / damaged due to refrigeration. | | | | | | affort to pay for it - Fuel is too expensive and MOST people cannot afford to purchase it for their personal vehicle - MOST people do not have enough physical currency on hand to pay for the items they need (ex. vendors will not issue credit, cash vouchers but no actual |
| Markets where market access was affected by (shock: flooding or fire) in the recall period Cash and Markets by type of impact that (shock: flooding or fire) had on physical access to markets to more the end of the harvest season and the last (shock flooding) or fire) had on physical access to the closest functional marketplace access to markets for MOST people from accessing the marketplace of the harvest season and the last impound in the settllement, as a select_multiple access to the disaster of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound in the settllement (between the end of the harvest season and the last impound | | Cash and | Q/ of oathless of | If figlingship should remark the second | | - MOST people do not have enough physical currency on hand to pay for public transport (ex. money only in mobile wallets, cash vouchers but no actual cash, etc.) - Other (please specify) - Prefer not to answer |
| Markets by type of impact that {shock: flooding or fire) had on physical access to markets for MOST people MOST people which impact that {shock flooding or fire) had on physical access to markets for MOST people which impact did the shock have on physical access to the closest functional marketplace? which impact did the shock have on physical access to the closest functional marketplace? which impact did the shock have on physical access to the closest functional marketplace? which impact did the shock have on physical access to the closest functional marketplace? which impact did the shock have on physical access to the closest functional marketplace? which impact that {shock flooding or fire) had on physical access to the closest functional marketplace? which impact that {shock flooding or fire) had on physical access to the closest functional marketplace because of \${climatic_shocks_report ed}-related infrastructure has resulted in some product unavailability (e.g. lack of refrigeration) Routes accessing the marketplace are blocked / damaged due to floods, mud/landslides, fallen debris, etc. There is no electricity on the route or at the marketplace | | Markets | where market access was affected by {shock: flooding or fire} in the recall period | experienced in the settlement {between the end of the harvest season and the last time you left/visited your settlement}, was access to the closest functional marketplace affected since the disaster struck the area? | | \${climatic_shocks_report ed}-related factors prevented MOST people from accessing the marketplace No, \${climatic_shocks_report ed}-related factors have not prevented MOST people from accessing the marketplace (including because no access to markets was possible already before the shock) Don't know |
| | | | by type of impact that {shock: flooding or fire} had on physical access to markets for | experienced in the settllement {between the end of the harvest season and the last time you left/visited your settlement}, and if people still have access to markets, what impact did the shock have on physical access to the closest functional | | access to the marketplace People do not feel safe entering the marketplace because of \${climatic_shocks_report ed}-related infrastructural damage Damaged infrastructure has resulted in some product unavailability (e.g. lack of refrigeration) Routes accessing the marketplace are blocked / damaged due to floods, mud/landslides, fallen debris, etc. There is no electricity on the route or at the marketplace |

| | Cash and | % of settlements | Did prices of food change in places where | Select one | infectious diseases that have spread after the shock struck Means of transport were damaged when the \${climatic_shocks_report ed} occurred and people cannot afford to repair them / buy new ones Don't know Other Prices increased Prices |
|---|---------------------------------|--|--|--------------------|--|
| | Markets | where food item prices reportedly increased in the recall period | people from your settlement of origin were buying it, in the {1 month before you left the settlement, visited or contacted family or friends in the settlement}? | | decreased Prices did not change Don't know People from settlement usually don't buy any food from anywhere |
| | Cash and Markets | % of settlements where water prices increased in the recall period | In the {1 month before you left the settlement, visited or contacted family or friends in the settlement}, did the price of water change in places where people from your settlement of origin were buying it? | | Prices increased Prices decreased Prices did not change Don't know People from settlement usually don't buy water from anywhere |
| | Cash and Markets | % of settlements where NFIs prices reportedly increased, in the recall period | In the{1 month before you left the settlement, visited or contacted family or friends in the settlement}, did prices of NFIs change in places where people from your settlement of origin were buying them? | Select one | Prices increased Prices decreased Prices did not change Don't know People from settlement usually don't buy any NFIs from anywhere |
| | | | Food security | | |
| What are the food security needs and coping strategies | Food security and livelihood | % of settlements where MOST people were reporteldy skipping 2 or more meals a day in the recall period | In the {1 month before you left the settlement, visited or contacted family or friends in the settlement}, what proportion of households in your settlement of origin would you say were skipping 2 or more meals a day most of the time, to cope with a lack of food? | Select one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know |
| of the populations in H2R areas? To which foods, sources of | Food security and livelihood | % of settlements by change in access to food in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, overall, how did access to food change in your settlement of origin? | Select one | Worsened Improved Remained the same Don't know |
| livelihood and markets have populations in H2R areas access to? What are the food, livelihood strategies and market access constraints which may increase their vulnerability to climatic shocks? How are climatic shocks affecting access to food and livelihoods? | Food security and livelihood | % of settlements by most commonly reported reasons why MOST people were not accessing sufficient food in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, What were the main reasons why MOST people couldn't access enough food in your settlement of origin? | Select multiple | Unsafe to access land; not enough land; crops destroyed by flooding; crops destroyed by pest; lack of rain; crops destroyed by conflict; cultivation disrupted by displacement; growing season too short; crops stolen; no livestock; no food distribution; no market; prices too high; lack of fishing nets; no reason, I don't know or don't want to answer; other |
| | Food security and livelihood | % of settlements by main reported source of food for MOST | What was the main source of food for MOST people in [info settlement] {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | Own production (cultivated) Own production (livestock) Foraged for wild foods |

| | people, in the recall period | | | (including hunting, fishing) Bought with cash Given by family or friends bartering Received food assistance from NGOs government food distribution Don't know Other (please specify) |
|-----------------------------|---------------------------------|--|-----------------|---|
| Food security and livelihoo | | (between the end of the harvest season and the last time you left/visited your settlement), when there was not enough food in your settlement of origin, what did ANY people do to find new sources of food? | Select multiple | borrow_food: Borrow food or get help from a friend or relative borrow_money: Purchase food with borrowed money gather_wild_food: Gather wild food gather_firewood in dangerous places consume_seeds: Consume seed stock meant for next season or harvest crops that are not yet ready send_children_to_neighb ors: Send children to eat with neighbors household_begs: Asking non-relatives for food sell_home_assets: Sell home assets sell_livestock: Selling more livestock than usual for this time of year slaughter_livestock: Slaughtering more cattle than normal for this time of year hunting: More hunting than normal for this time of year fishing: More fishing than normal for this time of year cattle_camps: Families moving to cattle / fishing camps more often than normal displacement_camp: Sending families out to displacement camps to recieve food aid none: None other: Other dontknow: I don't know or don't want to answer |

| Food security and livelihood | % of settlements by most commonly reported sources of livelihood in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, which of the following activities did MOST people in your settlement of origin mainly engage in to support their families? | Select multiple | Farming Livestock produce Contractual work Business Day labour Receiving money fror relatives or friends outside of the settlement Receiving humanitaria assistance Rent of land or proper Begging None Don't know |
|----------------------------------|--|---|---------------------|--|
| Food security and livelihoods | % of settlements where MOST people lost their main source of livelihoods due to \${climatic_shocks _reported} | {between the end of the harvest season and the last time you left/visited your settlement}, if \${climatic_shocks_reported} occurred, what proportion of people in your settlment lost their main source of livelihoods because of it? | Select one | Other (please specify) None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know |
| Food security and livelihoods | % of settlements with more than 50% crop loss for MOST people during the last harvest season | How would you estimate the crop loss for MOST people during the last harvest season in your settlement of origin? | Select_one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76-100%) Don't know |
| Food security and livelihoods | % of settlements by most commonly reported top 3 reasons why MOST people experienced crop losses in the last harvest season | If crop loss was experienced, What were the 3 main reasons why crop loss was experienced during the last harvesting season in your settlement of origin? | select_three | Locusts or other pests Rain failure Flooding destroyed th crops Lack of water for irrigation Temperatures too hig Other Don't know |
| Food security and livelihoods | % of settlements where everyone have access to land for cultivation | Could everyone have physical access to land for cultivation in your settlement {between the end of the harvest season and the last time you left/visited your settlement of origin}? | Select one | Yes, no, I don't know don't want to answer |
| Food security and livelihoods | % of settlements where MOST people had a source of water for irrigation/Most reported water source for irrigation purpose | What was the main source of water that MOST people relied on for irrigation purposes {between the end of the harvest season and the last time you left/visited your settlement of origin}? | select_multi ple | Own borehole, River/ chanel /stream, Piped water / centralized wa suply system, Stored rain water (berkad or similar), Well, Don't have water access for irrigation, Other (specify), Don't know |
| Food security and livelihoods | % of settlements by proportion of people owning livestock | What was the proportion of people owning livestock in your settlement of origin, {between the end of the harvest season and the last time you left/visited your settlement of origin}? | Select one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76-100%) Don't know |

| | Food security and livelihoods | Most reported type of livestock owned by MOST people in the recall period | What type of livestock did MOST people own in your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement of origin}? | Choose all that apply | Cattle, Poultry, Camel, Sheep, Goat, Other (specify), Don't know |
|--|----------------------------------|--|---|--------------------------|---|
| | Food security and livelihoods | % of settlements with more than 50% livestock loss for MOST people during the recall period | How would you estimate the livestock loss for MOST people {between the end of the harvest season and the last time you left/visited your settlement} in your settlement of origin? | select_one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know |
| | Food security and livelihoods | % of settlements with livestock disease outbreak | {between the end of the harvest season and the last time you left/visited your settlement}, has there been a disease outbreak among livestock owned by the community in [info settlement] {between the end of the harvest season and the last time you left/visited your settlement}? | select_one | Yes, no, I don't know or don't want to answer |
| | Food security and livelihoods | % of settlements where livestock disease outbreak had an impact on the food security situation | Have livestock disease outbreaks {between the end of the harvest season and the last time you left/visited your settlement} had any impact on the ability to access enough food in your settlement of origin? | select_one | No impact, small impact, large impact, I don't know or don't want to answer |
| | | | Health | | |
| | Health | % of settlements where MOST people were reportedly able to access ANY health service in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, were MOST people from your settlement of origin able to access ANY health services? | Select one | Yes No Do not know |
| What are the needs and coping strategies of the populations in H2R areas regarding | Health | % of settlements by type of health services available in the recall period, across settlements where ANY health service was availabe | If ANY health services were accessible {between the end of the harvest season and the last time you left/visited your settlement}, what kind of services were they? | Select multiple | None Clinic Mobile clinic Drugstore Hospital Healer First aid post Individual practitioner Midwife Don't know Other (please specify) |
| Healthcare? What are the constraints to access to healthcare and assistance? What are the access constraints which | Health | % of settlements by time taken for most households to walk to closest functional clinic or hospital | If a clinic or hospital were accessible from your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement}, how long did it take on average, for MOST people to reach the closest functioning clinic or hospital? | Select one | Under 30 minutes 30 minutes to 1 hour More than 1 hour to half a day More than half a day Don't know |
| may increase the population's vulnerability to climatic shocks? | Health | % of settlements by most common barriers to accessing health services | {between the end of the harvest season and the last time you left/visited your settlement}, what were the main barriers accessing health care services from your settlement of origin? | Select one | Services are closed Services are not functional due to \${climatic_shocks_report ed}-related infrastructural damage (buildings have become hazardous / unsafe) Roads to services are closed Could not access facilities because routes accessing the services were blocked / damaged due to |

| | | | | | \${climatic_shocks_report ed} Lack of transportation to reach the closest functional service Lack of cash to pay for services Prices of services too high Needed medical items are unavailable at the facilities Security risks travelling outside of the settlement Movement restrictions imposed by government/local |
|---|------------|--|--|--------------|--|
| | Health | % of settlements by frequency of healthcare workers visits, in the recall period | How often did any healthcare workers (community health worker, nurse, doctor or midwife) provide basic health services (examination, first aid, health education) WITHIN your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | authorities Don't know Other (specify) Once a week or more often 2 or 3 times a month Once a month Less frequently than once a month There were no healthcare workers working in the settlement in the recall |
| | Health | % of settlements by most commonly reported health problem in the recall period | What were the three most common health problems in [info settlement] {between the end of the harvest season and the last time you left/visited the settlement}}? | Select three | period Don't know Skin disease malnutrition cholera pneumonia Measles Chickenpox |
| | Health | % of settlements per perceived main cause of death in the recall period | {between the end of the harvest season and the last time you left/visited the settlement}}, what has been the main cause of death in [info settlement]? | Select one | COVID-19 Don`t know Other (please specify) Malaria or fever Acute watery diarrhoea (3 or more liqid stools per day) Hunger/malnutrition HIV/AIDS Conflict Natural causes |
| | Health | % of settlements with reported excess mortality in recall period | Have more people of any age died than normal in the In the {1 month before you left the settlement, visited or contacted family or friends in the settlement}? Protection | Select one | Don't know Other (please specify) Yes, no, I don't know or don't want to answer |
| What are the protection needs and coping strategies of the populations in H2R areas? To which | Protection | % of settlements where Kis reported that minors went missing in the recall period | Do you know if ANY of the boys and girls under 18 went missing in your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | Yes No Do not know |

| Protection Se of settlements by most commonly reported types of protection services available for minors in the recall period Which protection settlement of origin? Which protection services available for minors in the recall period Which protection services available for minors in the recall period Which protection Social workers working with the part of the harvest season and the last time you lethylide for minors in the recall period Which protection Which protec | protection services have populations in H2R areas access to? | Protection | % of settlements by most commonly reported places where unaccompanied minors were living in the recall period | If yes (E.3), what were the places where MOST of the boys and girls under 18 without father, mother or other relatives who support them lived in your settlement of origin? | Select multiple | In the houses In the street At the workplace I don't know Other (please specify) |
|--|--|------------|---|---|--------------------|---|
| between IDPs and host community in your settlement of origin (between the end of the harvest season and the last time you left/visited your settlement)? Protection Protecti | | Protection | % of settlements by most commonly reported types of protection services available for minors in the | under 18 were available {between the end of the harvest season and the last time you left/visited your settlement} in your settlement of origin? | | reunification of children separated from families Alternative care arrangements for children without their parents (foster home, state-run orphanage, kinship care) Referral services for children (services that provide information about the available types of support for the children) Psychosocial support for children Social workers working with children I don't know Other (please specify) |
| where disputes occurred the last time you left/visited your settlement), has there been an incidence of disputes in [info settlement]? Protection | | Protection | by quality of the relations between IDPs and host community in | between IDPs and host community in your settlement of origin {between the end of the harvest season and the last time you | Select one | good No response or I don't want to answer |
| by most commonly reported sources of conflict, in the recall period MOST disputes? MOST dispute Access to work Access to humanitarian aid Shelter dispute Property dispute None No response or I don't want to answer Most dispute Access to vork Access to humanitarian aid Shelter dispute Froperty dispute Access to humanitarian aid Shelter dispute Froperty dispute None No response or I don't want to answer Most dispute Access to humanitarian aid Shelter dispute Froperty dispute None No response or I don't want to answer Most dispute Access to vork Access to humanitarian aid Shelter dispute Froperty dispute None No response or I don't want to answer Most dispute Access to humanitarian aid Shelter dispute Froperty dispute None No response or I don't want to answer Most dispute Access to humanitarian aid Shelter dispute Froperty dispute None No response or I don't want to answer Mon't wa | | Protection | where disputes | the last time you left/visited your settlement}, has there been an incidence of | Select one | Yes No Do not know |
| with conflict incident in recall period settlement, has there been an incidence of conflict in [info settlement] which has either killed a civilian or has damaged property? Protection % of settlements where ANY people were reportedly your settlement of origin? with conflict the last time you left/visited your settlement, has there been an incidence of conflict in [info settlement] which has either killed a civilian or has damaged property? Select one one want to answer | | Protection | by most commonly reported sources of conflict, in the | {between the end of the harvest season and the last time you left/visited your settlement}, what were the causes of MOST disputes? | | access dispute Livestock access dispute Water access dispute Family dispute Access to work Access to humanitarian aid Shelter dispute Clan dispute Tax dispute Property dispute None No response or I don't want to answer Don't know Other (please specify) |
| where ANY and the last time you left/visited your people were settlement}, were any people evicted in your settlement of origin? | | | with conflict incident in recall | the last time you left/visited your settlement}, has there been an incidence of conflict in [info settlement] which has either | Select one | |
| | | Protection | where ANY people were reportedly | {between the end of the harvest season and the last time you left/visited your settlement}, were any people evicted in | Select one | |

| Protection | % of HH that have experienced movement restrictions in the recall period | Approximately what proportion of households in the settlement have experienced safety or security restrictions in their ability to move freely in the area {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know |
|------------|---|--|--------------------|--|
| Protection | % of settlements by most commonly protection incidents that reportedly occurred in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, did you hear about any of the following occurring in your settlement of origin? | Select multiple | Conflict within the settlement Sexual violence Abduction Theft Unofficial tax collection Confllict with people who do not live in the settlement UXO Airstrikes including with people or livestock None No response or I don't want to answer Don't know Other (please specify) |
| Protection | % of settlements by type of location where protection incidents reportedly occurred, across settlement where incidents were reported | If incidents occurred {between the end of the harvest season and the last time you left/visited your settlement} in your settlement, where did they happen? | Select multiple | In shelters Water points Latrines Bathing areas Markets Schools Clinics Humanitarian aid distribution points On the road Checkpoints In the field Don't know Other (please specify) |
| Protection | % of settlements by type of protection incident affecting people attempting to leave the settlement, in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, did you hear of ANY of the following incidents that happened to people when they were trying to move out of the settlement? | Select multiple | Tax to leave the settlement Sexual violence Threat of sexual violence Family separation Remaining relatives are targeted Loss of property Killing Threat of killing Physical injury None No response or I don't want to answer Don't know Other (please specify) |
| Protection | Types of areas which women and girls avoid because they feel unsafe in the settlement | Are there any areas in the settlement that women and girls avoided because they feel unsafe, {between the end of the harvest season and the last time you left/visited your settlement}? If yes, what areas (or places) do women and girls avoid or feel unsafe about? | Select multiple | There are no areas that women and girls avoid because they feel unsafe Latrines and bathing facilities Markets Distribution areas Water points Social/community areas On their way to school On their way to women community centers/health centers In their homes In public transportation In the way to collect firewood |

| | | | | | Don't know Decline to answer |
|--|-----------------|--|--|--------------------|--|
| | Protection | % of settlements by most commonly reported types of protection services available for women, in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, were any of those services available to women from your settlement of origin? | Select multiple | Treatment for rape survivors Psycho-social support Treament for physcial injuries due to GBV Legal aid and support Shelters for the victims of physical abuse No response, or I don't want to answer None I don't know Other (please specify) |
| | | | SNFI | | |
| | Shelter and NFI | % of settlements by main shelter type for MOST people, in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, WHAT was the MOST COMMON shelter type used by the population in your settlement of origin? (Show pictures) | Select one | Permanent structure (e.g. brick, metal roof) Tent Buul Abandoned building Community building (mosque, school, hospital) Dwelling hut with thatched roof No shelter (sleeping in the open) Don't know Other (please specify) |
| What are the needs | Shelter and NFI | % of settlements where ANY people were sleeping in the open in the recall period | Approximately what % of households in the settlement lived/were sleeping in the open, {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know |
| and coping strategies of the populations in H2R areas regarding Shelter/Non- food items? What are the impacts of | Shelter and NFI | % of settlements by proportion of destroyed shelters | {between the end of the harvest season and the last time you left/visited your settlement}, what proportion of shelters have been destroyed/damaged in your settlement of origin? | Select one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know |
| the impacts of climatic shocks on the shelter and NFI situation in H2R areas? | Shelter and NFI | % of settlements by proportion of settlements that were nat rebuilt after being severely damaged or destroyed in the recall period | If shelters were severely damaged or destroyed {between the end of the harvest season and the last time you left/visited your settlement}, how many out of the severely damaged/destroyed shelters were rebuilt? | Select one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know |
| | Shelter and NFI | % of settlements by main reason why damaged or destroyed shelter were not rebuilt in the recall period | If shelters were damaged/destroyed and not rebuilt, what was the MAIN reason why they were not rebuilt? | Select one | Building materials unavailable in the settlement No money to buy materials Too dangerous to travel to the market Necessary materials unavailable at the market People whose shelters were destroyed moved away No response or I don't want to answer Don't |

| | | | | | know Other (please specify) |
|---|------|--|--|------------|---|
| | | | WASH | | |
| | | | WASH | | |
| What are the needs | WASH | % of settlements by type of primary source of drinking water used by most households | In the {1 month before you left the settlement, visited or contacted family or friends in the settlement}, What was the main source of water used by most households in the settlement for drinking? | Select one | Piped connection to house (or neighbour's house) Public tap/standpipe Borehole or tubewell Protected well Unprotected well Protected spring Unprotected spring Rainwater collection Tanker-truck Cart with small tank / drum Water kiosk Bottled water Sachet water Surface water (river, dam, lake, pond, stream, canal, irrigation channel) Other (please specify) Don't know |
| and coping strategies of the populations in H2R areas regarding Water, Sanitation and Hygiene? What are the constraints to | WASH | % of settlements by main age and gender group responsible for fetching water, in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, who was responsible THE MOST for fetching water in your settlement of origin? | Select one | Boys under 18 Girls under 18 Men over 18 years old Women over 18 years old Men over 60 Women over 60 None Don't know Other (please specify) |
| access to WASH? How does the WASH situation affect the vulnerability of populations in H2R areas? What are the impacts of | WASH | % of settlements where ANY people were using surface water as their main source of drinking water in the recall period | If surface water was not the main source of drinking water for MOST people already, did ANY people have to use surface water - from a river or pond - for drinking, In the {1 month before you left the settlement, visited or contacted family or friends in the settlement}? | Select one | Yes No Do not know |
| climatic shocks on WASH in H2R areas? | WASH | % of settlements by average time taken for fetching (reach, wait in line, and return) drinking water, in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, how long did it take on average BY WALKING for MOST people to reach, wait in the line, and return with drinking water to your settlement of origin? | Select one | Under 30 minutes 30 minutes to 1 hour More than 1 hour to half a day More than half a day Don't know |
| | WASH | % of households having enough water for drinking, cooking, bathing and washing | {between the end of the harvest season and the last time you left/visited your settlement}, what proportion of households in the settlement had enough water for drinking, cooking, bathing and washing? | Select one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know |
| | WASH | % of settlements where stagnant water was reportedly visible in or near the settlement in the recall period | Was there stagnant water visible in or near the settlement {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | Yes No Do not know |

| | WASH | % of households having access to functioning sanitation facilities | {between the end of the harvest season and the last time you left/visited your settlement}, what proportion of households in the settlement had access to a functioning sanitation facility (latrine/toilet)? {between the end of the harvest season | Select one Select | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know No barriers |
|---|-----------|--|---|--|---|
| | | by most commonly reported type of barrier to using latrines, in the recall period | and the last time you left/visited your settlement}, what were the MAIN barriers to using the latrines in your settlement of origin? | multiple | None available Insufficient number Not functional Overcrowded Too dirty Too far It is not common to use them Not safe for women Not safe for people with difficulties hearing, seeing, moving Not appropriate for children Dangerous at night Don't know Other (please specify) |
| | WASH | % of settlements by main way in which waste was disposed of in the recall period | What is the main way in which waste is disposed in the settlement {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | Burned Buried Dumped in a dedicated area Don't know Other (please specify) |
| | WASH | % of HHs with access to functioning handwashing facilities with water available | Approximately what proportion of households in the settlement had access to functioning hand-washing facilities with water and soap, {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | None (0%) A few (1-25%) Some (26-50%) Many (51-75%) All or almost all (76- 100%) Don't know |
| | | | EDUCATION | | |
| To which Education, if any, do populations in H2R areas have access? What are the constraints to access to education and assistance? How does the Education situation | Education | % of school- aged children attending school regularly in the 2021-2022 school year. NOTE: This includes any attendance in public schools or recognised private schools. | For the current school year (2021-2022), while schools were open {between the end of the harvest season and the last time you left/visited your settlement}, approximately what proportion of school-aged children in the settlement were attending formal school regularly (at least 4 days per week)? NOTE: Formal schools are defined as schools within a system of full-time education developed by public organisations and recognised private bodies. | Select one (None (0%) A few (1- 25%) Some (26- 50%) Many (51- 75%) All or almost all (76- 100%) Don't know) for each category Select one | Boys 5-12: Girls 5-12: Boys 13-15: Girls 13-15: Boys 16-18: Girls 16-18: |
| affect the vulnerability of populations in H2R areas? What are the impacts of climatic shocks on access to education in H2R areas? | Ladeation | by average time taken to walk to the closest functional education services, in the recall period | to access BY WALKING the closest functional school (state-run, NGO-run or quranic) from your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement}? | Select office | 30 minutes to 1 hour More than 1 hour to half a day More than half a day Don't know |
| 11112N areas: | Education | % of settlements by most common barriers to accessing | What was the main barrier accessing education for the GIRLS from your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | None Security Cost of studies Distance to closest services Cultural reasons Need to support family Being |

| | Education | education faced by girls % of settlements by most common barriers to accessing education faced by boys | What was the main barrier accessing education for the BOYS from your settlement of origin {between the end of the harvest season and the last time you left/visited your settlement}? | Select one | disabled Early marriage Quality of education School remained closed Don't know Other, please specify None Security None Security Cost of studies Distance to closest services Cultural reasons Need to support family Early marriage Quality of education School remained closed Don't know Other (please specify) |
|---|---------------|---|--|--------------------|--|
| | | | Communication | | |
| To which communication services and types of humanitarian | | % of settlements by primary language spoken by households | What was the primary language spoken by households in the settlement, {between the end of the harvest season and the last time you left/visited your settlement}? Primary language = main language spoken within households | Select one | Standard / Northern Somali Benaadir Somali Maay Somali Arabic English Italian Bravanese (Chimwiini/ Chimbalazi) Kibajuni Mushunguli Somali Sign Language None Don't know Prefer not to answer Other (please specify) |
| assistance, if any, do populations in H2R areas have access? What are the constraints to access to information and assistance? | Communication | % of settlements by most commonly reported main sources of news for MOST people in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, what were the main sources of news for MOST people living in your settlement of origin? | Select multiple | Radio SMS Social media (Facebook, twitter) Internet Mobile phone calls Conversations face to face No response or I don't want to answer Don't know Other (please specify) |
| | | Proportion of settlements by source of information about the weather | From where did MOST people in your settlement of origin receive information about the weather forecast and/or weather alerts, In the {1 month before you left the settlement, visited or contacted family or friends in the settlement}? | Select one | No weather forecast / weather alerts available Local weather alert system Weather forecast from the Radio SMS alerts Social media alerts Other (specify) Don't know |

| Communication | % of settlements by most commonly reported main radio station MOST people listened to in the recall period | Which radio stations did MOST people in your settlement of origin listen to {between the end of the harvest season and the last time you left/visited your settlement}? | Select multiple | None Africas Voices Foundation Voice of America BBC Somalia Bar Kulan Radio Ergo Radio Shabelle Radio Kulmiye Radio Mogadishu Radio Xurmo Al Andalus Al Furqaan Al Risaala Radio Xamar Radio Banadir Radio Simba Star FM Don't know Other (please specify) |
|---------------|---|---|--------------------|--|
| Communication | % of settlements by main source of news for MOST people in the 3 months prior to data colleciton | {between the end of the harvest season and the last time you left/visited your settlement}, WHO was the main source of news for MOST people in your settlement of origin? | Select one | Friend/family/neighbors NGO workers Community leader, religious leader Local authorities Transport drivers Merchants Don't know Other (please specify) |
| Communication | % of settlements where MOST people were receiving information from NGOs about humanitarian assistance, in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, were MOST people from your settlement of origin receiving information from the NGOs (both local and international) about available humanitarian assistance? | Select one | Yes No Do not know |
| Communication | % of settlements by most commonly reported barriers to accessing information, in the recall period | {between the end of the harvest season and the last time you left/visited your settlement}, what were the MAIN barriers to accessing ANY information from the settlement? | Select multiple | No obstacles to getting information Lack of electricity Lack of radio signal Lack of mobile networks Information is written and people are unable to read People do not have credit on their phones Don't know Other (please specify) Other (please specify) |
| Communication | % of settlements where ANY people reportedly received NGO support in the 3 months prior to data collection | {between the end of the harvest season and the last time you left/visited your settlement}, were any people in your settlement of origin able to access any kinds of support provided by NGOs? | Select one | Yes No Do not know |
| Communication | % of settlments where a main or a secondary road going through the settlement was reported | Is there a main or a secondary road going through the settlement? | Select one | Yes No |

| | Communication | % of settlments where the road was reportedly practicable and safe, among settlements where a main or a secondary road going through the settlement was reportedly present | Is the road practicable by vehicle and safe? | Select one | Yes No Do not know |
|-----|---------------|--|---|------------|------------------------|
| | | | | | |
| | Sampling | Consent to be recontacted | Would you agree to be contacted again to answer a set of questions about your settlement of origin? | Select one | Yes No |
| | Sampling | Consent to be recontacted_mo de | If yes, how would you like to be contacted again? | | In person; By phone |
| | Sampling | Phone number of the respondent | If over the phone, what is the respondent's phone number? | Integer | |
| N/A | Sampling | | Are you planning to visit your settlement of origin in the coming weeks? | Select one | Yes No Do not know |
| | Sampling | Kis from the same settlement | Can you refer us another person from your settlement of origin who were displaced to this area {between the end of the harvest season and now}? | Select one | Yes, No |
| | Sampling | Kis from the same settlement | If yes, Name of the referred KI | text | |
| | Sampling | Kis from the same settlement | If yes, Phone number of the referred KI | text | |

Semi-structured qualitative tool – Focus Group Discussion

| Research questions | Indicator group / sector | Questionnaire - May 2022 | Probing questions - May 2022 | Instructions |
|-----------------------|--------------------------------|---|---------------------------------|---|
| NA | Consent | Asalamu Calaykum, we're (INSERT NAMES) from (INSERT NAMES OF ORGANIZATIONS), and we're doing a hard-to-reach assessment in order to support the humanitarian partners get information on how they can support the communities who are living in those regions. Hence, we would like to interview you about the settlements you moved from in the last 3 months. It is entirely up to voluntary participation. This implies we will not tell anybody about our discussion or what you told us today. Everything you tell us will be kept strictly | | 1. Yes (Continue to the next steps) 2. No (wait until the KI leaves and then start the interview with the rest) |

| www rea | ach-initiative.org | | ance months (before | 50 |
|---|------------------------|--|--|---|
| What are the most pressing needs of the | AAP | How would you characterise {gender group}'s most pressing needs in your area of origin, in the past three months? | Have the priorities changed compared to before that period of past three months (before | |
| What are the social, economic and environmental vulnerabilities to climatic shocks of the populations in hard-to-reach areas? | Key characteristics | How you describe your area of origin where you used to lived before displacement, or that you visited in the past three month? Hint - Try to guide the participants areas we are assessing (Bakool, Bay, Gedo, Lower Juba, Lower Shabelle, Middle Juba and Middle Shabelle) | In particular, was any climatic shock experienced at your settlement of origin in the past three months? How would you describe the impact of this/these shock/shocks on {gender group} living in your settlement of origin? | |
| NA NA | Key characteristics | What is the most common type of settlement in your area of origin? | | 1) City (Urban) 2) Village (Rural) 3) Planned or spontaneous camp / camplike setting 4) Other |
| NA | Key characteristics | What population groups are present in your area of origin? | | 1) IDPs; 2) Non-IDPs (Host communities) |
| NA | Key characteristics | Settlements of origin of the participants | | Settlement names + district |
| NA | Key characteristics | Number of FGDs participants | | Female () Male () |
| | | aid, or any other benefits associated that you should expect as a participant. If it is fine with you, our organizations will give you feedback and explain how the data was used. The discussion should last around 1 hour. Do you agree, based on the foregoing, to participate in this focus group and for us to share the information acquired in this focus group with other organizations without disclosing your personal information other than your gender and age, as appropriate? | | |
| | | confidential, and your personal information will be carefully safeguarded. You may withdraw from the FGD at any moment or refuse to answer certain questions. We will not attribute the information you provide to us, and we will not report using your name. No one will be able to relate your replies to any other information that could identify you because they will be aggregated and analyzed with several other responses. Participation in this interview has no reward, | | |

| populations in H2R areas ? | | | February 2022)? If yes, please explain how/in what way? | |
|---|--------------|--|--|----|
| What are the most needs of the populations in H2R areas? Are some population groups more vulnerable than others? How and why? | AAP | According to you, what additional needs women, children, elders and/or PwD may face in hard-to-reach areas like your settlement of origin? | | |
| What are the factors that contribute to displacement from the H2R areas? How are climatic shocks affecting displacement patterns to and from H2R areas? | Displacement | In your opinion, how did {gender group} in your settlement of origin make displacement decisions in the past three months? | '- What were the push factors that made {gender group} leave in the past three months? Some specific events that make {gender group} take the decision, etc How did {gender group} from your settlement choose their destination? Why? | |
| What are the needs and coping strategies of the populations in H2R areas regarding Healthcare? What are the constraints to access to healthcare and assistance? What are the access constraints which may increase the population's vulnerability to climatic shocks? | Health | How would you describe the health situation for {gender group} in your settlement of origin, in the past three months? | Has the health situation changed compared to before this period of past three months (before February 2022)? How so? | |
| What are the needs and coping strategies of the populations in H2R areas regarding | WASH | How would you describe access to drinking water for {gender group} in your settlement of origin, in the past three months? | Has access to drinking water changed compared to before the period of the past three months (before Frbruary 2022)? How so? Were there any instances of tension or dispute | 50 |

| 1 | I | ı | l |] I |
|------------------------------|-------------|---|---|-----|
| Water, | | | regarding access to | |
| Sanitation and | | | water? What were they | |
| Hygiene? | | | and how were they dealt | |
| What are the | | | with? | |
| constraints to | | | | |
| access to | | | | |
| WASH ? How | | | | |
| does the | | | | |
| WASH | | | | |
| situation affect | | | | |
| the | | | | |
| vulnerability of | | | | |
| populations in | | | | |
| H2R areas? | | | | |
| What are the | | | | |
| impacts of | | | | |
| climatic shocks | | | | |
| on WASH in | | | | |
| H2R areas? | | | | |
| What are the | FS and | What are the difficulties, if any, that {gender | Has access to land, seeds, | |
| food security | Livelihoods | group) in the community in your area of origin | and farming tools | |
| needs and | | faced when growing crops in the past three | changed compared to | |
| coping | | months? | the month before the | |
| strategies of | | | period of the past three | |
| the | | | months (before February | |
| populations in | | | 2022), in your settlement | |
| H2R areas? To | | | of origin? How so? | |
| which foods, | | | | |
| sources of | | | Were there any instances | |
| livelihood and | | | of tension or dispute | |
| markets have | | | regarding access to | |
| populations in | | | land/crops? What were | |
| H2R areas access to? | | | they and how were they dealt with? | |
| | | | | |
| What are the | | | How were {gender group} | |
| food, | | | adapting to the difficulties encountered? | |
| livelihood strategies and | | | What kind of support | |
| market access | | | would members of the | |
| constraints | | | community need in this | |
| which may | | | respect? | |
| increase their | | | respect: | |
| vulnerability to | | | | |
| climatic | | | | |
| shocks? How | | | | |
| are climatic | | | | |
| shocks | | | | |
| affecting | | | | |
| access to food | | | | |
| and | | | | |
| livelihoods? | | | | |
| iiveiiiioous: | | | | |

| What are the food security needs and coping strategies of the populations in H2R areas? To which foods, sources of livelihood and markets have populations in H2R areas access to? What are the food, livelihood strategies and market access constraints which may increase their vulnerability to climatic shocks? How are climatic shocks affecting access to food and livelihoods? | FS and Livelihoods | What are the difficulties, if any, that {gender group} the community in your area of origin faced when raising cattle in the past three months? | Has access to pasture land changed compared to the month before the period of the past three months (before February 2022, in your settlement of origin? How so? Were there any instances of tension or dispute regarding livestock? What were they and how were they dealt with? How were {gender group} adapting to the difficulties encountered? What kind of support would members of the community need in this respect? | |
|--|-----------------------|---|---|--|
| What are the food security needs and coping strategies of the populations in H2R areas? To which foods, sources of livelihood and markets have populations in H2R areas access to? What are the food, livelihood strategies and market access constraints which may increase their vulnerability to climatic shocks? How | FS and Livelihoods | What are the difficulties, if any, that {gender group} the community in your area of origin faced when earning income in the recall period? | Has access to income changed compared to the month before the period of the past three months (before February 2022), in your settlement of origin? How so? How were {gender group} adapting to the difficulties encountered? What kind of support would {gender group} need in this respect? | |

| are climatic shocks affecting access to food and livelihoods? | | | | |
|--|---------------------|---|---|--|
| What are the food security needs and coping strategies of the populations in H2R areas? To which foods, sources of livelihood and markets have populations in H2R areas access to? What are the food, livelihood strategies and market access constraints which may increase their vulnerability to climatic shocks? How are climatic shocks affecting access to food and livelihoods? | Cash and Markets | What are the difficulties, if any, that {gender group} the community in your area of origin faced when accessing food and non-food items from markets in the recall period? | Has access to markets changed compared to the month before the period of the past three months (before February 2022), in your settlement of origin? How so? How were {gender group} adapting to the difficulties encountered? What kind of support would {gender group} of the community need in this respect? | |
| NA | NA | Any other comments/ topics raised by the FGD | | |
| | | participants. | | |

Annex 1: Data Cleaning SOPs

DATA CLEANING STANDARD OPERATING PROCEDURES

These Standard Operating Procedures (SOPs) are based on the **tool designed for the Assessment of the Hard-to-reach Areas (H2R) of Somalia,** and builds on the requirements outlined in the IMPACT Data Cleaning Minimum Standards Checklist.⁹

Tis document outlines the checks that must be performed on the data based on the tool logics and also provides an overview of roles and responsibilities during data processing.

These SOPs are meant for both Field Officers (FOs) and Assessment Officers (AOs). The document does not intend to cover all aspects that may need to be checked but is rather a compilation of guidelines and has to be duly updated every time a new version of the tool comes out.

ROLES AND RESPONSIBILITIES DURING DATA PROCESSING

The table below outlines the responsibilities regarding data processing inside the team. It is the responsibility of each individual member of the team to be familiar with the scope of their responsibilities. Please note that this list is not exhaustive.

| Task description | Person responsible | Frequency | Description of the Procedure |
|---|-------------------------------------|-----------|--|
| Data collection | Field Officers (FOs) | Daily | Data is collected by the enumerators and sent to KoBo on a daily basis. The Field Officers control the process of submission of the questionnaires to the server. |
| Raw data downloading and preparation | Senior Database Officer (SDO) | Daily | As part of data protection procedures, the dedicated <u>Senior DatabaseOfficer</u> is the only person who has direct access to the raw data and also stores potentially sensitive information that should not be shared as the data is processed. After ensuring that all potentially sensitive information has been deleted, the <u>Senior Database Officer</u> immediately shares the datasets with respective Field Officers for data cleaning, always keeping the AO in CC. |

 $^{^9 \} https://www.impact-repository.org/wp-content/uploads/2020/01/IMPACT_Memo_Data-Cleaning-Min-Standards-Checklist_14012020.pdf$

| Data cleaning, checking and translation | FOs | Daily | The Field Officers, based in Mogadishu, Kismayo and Baidoa, perform daily data cleaning and data checks as outlined further in the SOP. All checks and data cleaning are done in the macro-enabled data cleaning sheet that is updated every month. The macro-enabled data cleaning sheet (also called a macro cleaning tool) is a MS Excel template designed by the SDO aimed to make cleaning KoBodata faster and easier. It contains a number of shortcuts that help to find, flag, correct and delete errors in the data. Also the Field Officers check the options included in 'Other' column and translate the entries that cannot be classified under already existing options. Issues flagged during the data cleaning process are recorded by the FOs and then communicated to the enumerators and the AO and inform daily morning debriefings done by the FOs with enumerators, and also the agenda for refresher training of enumerators, happening on a monthlybasis and delivered by the FOs. Based on the results of the data cleaning from the previous week, the AOsprovide consolidated feedback to FOs during a skype call/meeting on a weekly basis. Also the Field Officers under the supervision of an Assessment Officer analyse the |
|---|------------------------|---|--|
| | | | enumerator behaviour logs. |
| Clean data sharing | Field Officers (FO) | At least weekly | The Field Officers share clean data with the Assessment Officer at least once a week, putting the dedicated GIS Officer in CC. The need of more frequent clean data submission can be discussed between the FOs and the AO based on the need. |
| Spatial verification | GIS Officer | Weekly | The GIS Officer conducts spatial verification of the locations where the interviews were conducted and hard-to-reach locations assessed and flags issues to the AO. Unless agreed otherwise, the datasets are shared with the GIS Officer on a weekly basis. |
| Data quality control | AO | Weekly and final check at the end of data collection | While FOs are the ones who do the daily data cleaning, it is the AO who is responsible for the final quality of the data. |
| Macro enabled tool update | DO | At the end of data collection | At the end of the cycle the AO updates the DO if any changes are needed to the macro enabled tool. The DO then makes changes to the spreadsheet andshares the updated macro tool with the FOs and the AO. |
| Clean data submission to the HQ | AO | Monthly | At the end of data collection, the AO submits three consolidated clean datasets (one from each base of data collection) to the HQ for validation. The final outputswill consist of 3 clean consolidated datasets, where personally identifiable information is deleted. |

RAW DATA PROCESSING

Raw datasets contain survey data from the key informant face-to-face (KI) interviews conducted by the enumerators on that day.In preparing the raw data for analysis, the Senior Database Officer will do the following steps:

a. Check for and remove duplicates in the raw data to ensure that all observations are unique (there are no entries with the same UUIDs).

b. Dataset for Spatial Verification: Generate a copy of the raw dataset with only data columns for UUID.

Data columns to be included shown below.

- 1. UUID
- 2. Base name (Baidoa, Kismayo or Mogadishu)
- 3. District and name of the IDP site where the team is conducting the assessment
- c. Removing all Personally Identifiable Information: Senior Database Officer makes a copy of the raw dataset, removing the indicators that contain potentially sensitive information. For the current tool such information is:
 - Names of the Key Informants (KIs);
 - Phone numbers of the Key Informants;
 - Names of the **referred** Key Informants (KIs);
 - Phone numbers of the **referred** Key Informants;

d. The prepared dataset is then shared with the respective Field Officers.

GETTING THE DATA FROM KOBO:

Note to the Senior Database Officer:

- Download the data always in the same format .XLS.
- Once you download the data remember to rename the form:

vKTUBeB7LywAXThRXrXXj7 · REACH_SOM_Baidoa_May2022

Do not forget to delete personally identifiable information from the datasets before sharing them.

GENERAL DATA QUALITY AND DATA CLEANING TIPS

- 1. Knowledge of the H2R tool is essential to understand respective skip logics, to clean the dataset properly and catch logic mistakes.
- 2. Knowledge the local context: continuous communication must be going on between the FOs and the respective AO in order to help flag responses that do not make sense.
- 3. Whilst reviewing and cleaning the data, both horizontal and vertical logic should be applied.

Note to the FOs and the AO:

- Make pivot tables so you can easily identify how many settlements we have covered by districts so far and direct theenumerator teams accordingly depending on the coverage focus.
- Check how many times a settlement has been assessed in that month a maximum of 3 KIIs is needed.
- Don't only flag the issues, ensure that you explain why something wouldn't make sense and also leave room for apositive feedback for the areas where the data collection teams did well.
 - Horizontal logic (scroll to the right): check whether reported responses of each indicator of each survey are logically consistent and make sense in relation to each other.
 - Vertical logic (scroll down): with the data sorted by enumerator ID, scroll dataset downwards to check whether there are any suspicious response patterns for specific enumerators that suggest the enumerator is performing poorly or misunderstood a question or response option. Check the overall distribution of

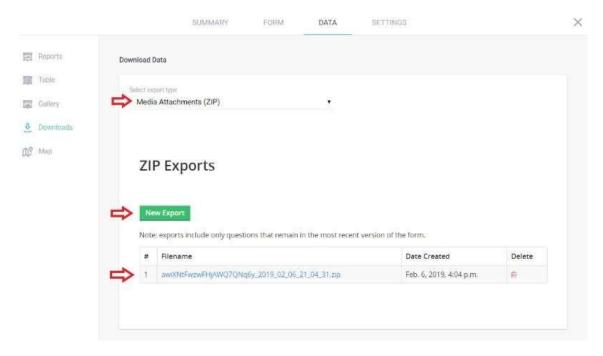
responses, thatway you can find out which enumerator's surveys seem suspicious. Too similar responses given during different interviews might be suggestive of data falsification.

- By using the filter function, also ensure to check response options "None", "Other (Please specify)", "Don't know" etc. If one enumerator consistently has many of these, flag this. For "Other (Please specify)" check what was manually entered and whether that would already be covered by an existing response option. If yes, correct accordingly and flag to an enumerator.
- Multiple response questions: whilst enumerators are instructed to not read out response options (with exception of questions where they are specifically requested to do so), check whether there are any suspiciouspatterns such as all respondents of one enumerator all reporting the same responses. Whilst this is theoretically possible, it could also suggest that enumerator is leading his/her respondents.
- 4. Data cleaning / reviews should also entail keeping good track of your data collection coverage:

TRACKING ENUMERATOR BEHAVIOR:

<u>Audit</u> question is used to monitor enumerator behavior and discover which questions are taking longer to answer, better understand how the enumerators are navigating a certain form, and see which enumerators are generally taking quicker or longer periods of time to submit answers.

Collect saves the audit logs for each submission in a CSV file that are saved and uploaded to the server just as an attached photo would be. Analysis of the audit files is then done accordingly.



After the data has been submitted, open your project in the browser and go to DATA, then Downloads. Select Media Attachments (ZIP) as the export type and then click on New Export. Once the download is done pending, click on the file to download it to yourcomputer. Once the ZIP file has been extracted and opened, click on the file labeled 'audit.csv' to view the audit logs. It's important to note that the CSV uses <u>Unix Epoch time</u> so the logs are recorded in milliseconds.

USING MACRO ENABLED TOOL FOR DATA CLEANING:

Populating data into the macro enabled cleaning sheet:

Please keep in mind that daily updated raw dataset contains running log of all data kept in the server. So you'll need to filter manually the newly uploaded surveys into the consolidated cleaning sheet. Fellow these bellow steps to do this in an easy and effective way;

- 1. Open the raw dataset and the macro enabled spreadsheet.
- 2. Copy your raw data into the first tab of the macro called "raw_data".
- 3. Copy the uuid from your macro-cleaning tool.
- 4. Paste the uuid you copied from the cleaning tool at the bottom of the raw data
- 5. Then see the duplicates (Home Conditional Formatting Highlight Cells Rules Duplicate Values).
- 6. Filter unique values (filter by color non fill).
- 7. Copy the unique rows into your macro tool.

This will allow you to avoid errors of the macro in case of populating duplicating UUIDs.

IMPORTANT STEPS:

- 1. Delete interviews that took less than 20 minutes.
- 2. Run all necessary data checks first, flagging the outliers.
- 3. Go to Data Cleaning Tab and sort all entries by UUID (don't forget to select "expand the selection" as you do the sorting).
- 4. Mark out the entries with 3+ outliers (outliers are responses that are logically inconsistent, e.g. shelters destroyed by conflict and no protection incidents. Spelling of an IDP site is NOT an outlier!).
- 5. If any entry has 3 outliers (conflicting values) that cannot be checked (either through enumerator follow-up or callback to the respondent), the whole interview must be deleted immediately!
- 6. Do further cleaning for the remaining entries as usual.
- 7. At all times when changing the multiple choice questions, make sure that numeric values (0/1) are changed and logged accordingly.
- 8. Pay attention to using proper spelling of the response options <u>keep the tool open to guide you</u>. Otherwise, there is a risk that the analysis contains errors the analysis script will recognize the response options ONLY the way they are spelled in the tool, e.g. it will read bought_cash but not 'bought cash' or 'bought with cash'.

DATA CHECKING:

Here are the checks that should be done using macro enabled tool. Please note that checks done using macro enabled tool are not exhaustive; additional manual data checks are needed to ensure data quality:

| Check type | Check name | instructions |
|------------|--------------|---|
| CHECK_Time | start end | Check if the time taken for interview is realistic: if the time takenfor an interview is too short, it may point at data falsification. Action: All interviews that took less than 20 minutes must be deleted. |

| CHECK_ Start CHECK_ End | start end | Check if the time between interviews makes sense. Action: Flag the entries where too little time passed between the end of one interview and beginning of another: if toolittle time passed, it may be indicative that the interview was falsified. Keep in mind that the end time may not always be reliable. If for particular enumerators time between interviews isalways short, communicate to the team leader to ensure the work quality of the field team. |
|--------------------------|------------------------------|---|
| CHECK_other | 'Other' columns | Check that data entered into 'Other' column is translated, logical, and consistent with the context. Action: If data entered into 'Other' column matches any of the potential survey responses, re-classify that entry and log the change. If the entry cannot be reclassified, just translate. |
| CHECK_log_marketaccess | food_source access_market | Checks if there is logics between responses: if the respondentreported the main food source "bought with cash" and "no accessto market" at the same time, the formula will return CHECK. Action: 1. Flag the entry. 2. Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly if people can access market, if bought_cash selected by mistake, change accordingly. 3. If an enumerator follow-up is not possible, follow up with the respondent and change accordingly. |
| | | 4. Check the column nomarket_why. If no_cash is selected, this indicates that the respondent misunderstood the question. 5. If a follow-up neither with the enumerator nor the respondent is possible, and in the nomarket_why column no_cash option is selected, you have to delete cells from columns: food_source access_market nomarket_why nomarket_why/no_cash 6. If in the nomarket_why column no_cash option is not selected, leave the column food_source and access_market unchanged. 7. Communicate to the enumerators to make sure the question is understood and asked properly. |

| CHECK_barriers_health | access_healths ervices barriers_health | The formula checks the logics between responses when no access to health services is indicated but at the same time 'none' is selected for barriers to accessing health services. 1. Flag the entry. 2. Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan explanation is provided. 3. If an enumerator follow-up is not possible, follow upwith the respondent and change. 4. If neither of the follow-ups is possible, delete entriesfrom the respective columns: access_healthservices barriers_health barriers_health/none 5. Communicate to the enumerators to make sure the |
|-----------------------|--|---|
| | | question is understood and asked properly. |

| CHECK_shelter | shelter_type ppl_no_shelter | If the shelter type is indicated as 'none', but at the same time nopeople reported as sleeping without shelter, the formula will returnCHECK. Flag the entry. Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan explanation is provided. If an enumerator follow-up is not possible, follow upwith the respondent and change. If neither of the follow-ups is possible, delete entriesfrom the respective columns: shelter_type ppl_no_shelter Communicate to the enumerators to make sure the question is understood and asked properly. |
|-----------------------|--|---|
| CHECK_log_shelter_sit | ppl_no_shelter shelters_not_re built | If all shelters in the settlement are reported as destroyed but at the same time there are no people sleeping in the open, it is notlogically consistent, and the formula will return CHECK. 1. Flag the entry. 2. Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan explanation is provided. 3. If an enumerator follow-up is not possible, follow upwith the respondent and change. 4. If neither of the follow-ups is possible, delete entriesfrom the respective columns: shelter_type ppl_no_shelter shelters_not_rebuilt 5. Communicate to the enumerators to make sure the question is understood and asked properly. |

| CHECK_educ_bar_girl | education_avail able education_bar_ girls | If 'none' is selected to education services available but further also 'none' is selected for barriers, the formula will return CHECK. Flag the entry. Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan explanation is provided. If an enumerator follow-up is not possible, follow up with the respondent and change. |
|---------------------|--|--|
| | | 4. If neither of the follow-ups is possible, delete entriesfrom the respective columns: education_available education_available/none education_bar_girls 5. Communicate to the enumerators to make sure the question is understood and asked properly. |
| CHECK_ed_dist_g | time_to_school education_bar_ girls | If time to school is indicated as under 30 minutes and distance as a barrier to accessing services, the formula will return CHECK. Flag the entry. Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan explanation is provided. If an enumerator follow-up is not possible, follow upwith the respondent and change. If neither of the follow-ups is possible, delete entriesfrom the respective columns: time_to_school education_bar_girls Communicate to the enumerators to make sure the question is understood and asked properly. |
| CHECK_educ_bar_boy | education_avail able education_bar_ boys | If 'none' is selected to education services available but further also 'none' is selected for barriers, the formula will return CHECK. Flag the entry. Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan explanation is provided. If an enumerator follow-up is not possible, follow upwith the respondent and change. If neither of the follow-ups is possible, delete entriesfrom the respective columns: education_available education_available/none education_bar_boys Communicate to the enumerators to make sure the question is understood and asked properly. |

CHECK ed dist b

time_to_school education_bar_ boys

If time to school is indicated as under 30 minutes and distance as a barrier to accessing services, the formula will return CHECK.

- **1.** Flag the entry.
- **2.** Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan explanation is provided.
- **3.** If an enumerator follow-up is not possible, follow upwith the respondent and change.
- **4.** If neither of the follow-ups is possible, delete entriesfrom the respective columns: time_to_school education_bar_boys
- **5.** Communicate to the enumerators to make sure the question is understood and asked properly.

CHECK aid livelihood

ngo_support_y_n livelihood_activ

Checks the logics: if aid is reported as unavailable but at the sametime the main livelihood activity is receiving humanitarian aid, the formula will return CHECK.

- **1.** Flag the entry.
- 2. Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan explanation is provided.
- **3.** If an enumerator follow-up is not possible, follow upwith the respondent and change.
- **4.** If neither of the follow-ups is possible, delete entriesfrom the respective columns: ngo_support_y_n livelihood_activ (only option humanitar_assistance!)
- livelihood_activ/humanitar_assistance **5.** Communicate to the enumerators to make sure the question is understood and asked properly.

CHECK_protection_inc_locat protection_inc_l ion_aiddistribution

CHECK_protection_inc_locat ion_market

CHECK_protection_inc_locat ion latrines

CHECK_protection_inc_locat ion_schools

CHECK_protection_inc_locat ion_clinics

ocation

access_market people_using_latr ines

ngo_support_y_n

attendance available_health services

If humanitarian aid is reported unavailable but at the same time the main places where security incidents occurred were the places of aid distribution,

If markets are unavailable but at the same time the main places where security incidents occurred were markets,

If none use latrines but at the same time the main places where security incidents occurred were latrines,

If no children were attending school but at the same time the main places where security incidents occurred were in schools,

If clinics were unavailable but at the same time the main places where security incidents occurred were clinics.

the formula will return CHECK.

- **1.** Flag the entry.
- 2. Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan

Assessment of Hard to Reach Areas of Somalia, October 2021

| | | explanation is provided. | |
|-----------------------------|--------------------------------|--|--|
| | | 3. If an enumerator follow-up is not possible, follow upwith | |
| | | the respondent and change. | |
| | | 4. If neither of the follow-ups is possible, delete entriesfrom | |
| | | the respective columns | |
| | | 5. Communicate to the enumerators to make sure the | |
| | | question is understood and asked properly. | |
| CHECK_dam_shelters | dam_shelters Return_factors | If 'reconstruction of shelters' is selected under return_factors and dam shelters = none | |
| | | | |
| | | 1. Flag the entry. | |
| | | 2. Follow up with the enumerator on whether there is an | |
| | | explanation to this contradiction. Change accordingly ifan | |
| | | explanation is provided. | |
| | | 3. If an enumerator follow-up is not possible, follow upwith | |
| | | the respondent and change. | |
| | | 4. If neither of the follow-ups is possible, delete entriesfrom | |
| | | the respective columns | |
| | | 5. Communicate to the enumerators to make sure the | |
| | | 6. question is understood and asked properly. | |
| CHECK_hc_push_main_dro | hc_push_main | If hc_push_main='drought' and 'drought' is not selected for | |
| ught | climate_shock | climate_shock | |
| | cases_eviction | | |
| CHECK_hc_push_main_floo | | If hc_push_main='flood' and 'flood' is not selected for climate_shock | |
| d | | If hc_push_main = 'eviction' and cases_eviction = 'none' | |
| CUTCK he work water soils | | 1. Flag the entry. | |
| CHECK_hc_push_main_evic | | 2. Follow up with the enumerator on whether there is an | |
| tion | | explanation to this contradiction. Change accordingly ifan | |
| | | explanation is provided. | |
| | | 3. If an enumerator follow-up is not possible, follow upwith | |
| | | the respondent and change. | |
| | | 4. If neither of the follow-ups is possible, delete entriesfrom | |
| | | the respective columns | |
| | | 5. Communicate to the enumerators to make sure the | |
| | | 6. question is understood and asked properly. | |
| CHECK_return_factors_securi | return_factors | If hc_push_main = conflict and "security" not selected under | |
| ty | hc_pushfactor_pri | return_factors | |
| CHECK_return_factors_econo | m | If hc_push_main = lack of jobs and "economic opportunities" not | |
| mic | | selected under return_factors | |
| CHECK_return_factors_servic | | If hc_push_main = lack of services and 'improvement of basic services'' | |
| es | | not selected under return_factors | |
| | | | |
| | | 1. Flag the entry. | |
| | | 2. Follow up with the enumerator on whether there is an | |
| | | explanation to this contradiction. Change accordingly ifan | |
| | | explanation is provided. | |
| | | 3. If an enumerator follow-up is not possible, follow upwith | |
| | | the respondent and change. | |
| | | 4. If neither of the follow-ups is possible, delete entriesfrom | |
| | | the respective columns | |
| | | 5. Communicate to the enumerators to make sure the | |

| | | question is understood and asked properly. |
|------------------------------------|-------------------|---|
| CHECK_crop_loss_reasons_rai | crop_loss_reasons | If crop_loss_reasons = rain failure and 'failed rains' not selected under |
| n | e. epess euses | climate_shock |
| | climate_shock | If crop_loss_reasons = drought and 'drought' not selected under |
| CHECK_crop_loss_reasons_dr | | climate_shock |
| ought | | If crop_loss_reasons = locust and 'locust' not selected under |
| CUECK away lass wasses las | | climate_shock |
| CHECK_crop_loss_reasons_loc ust | | If crop_loss_reasons = flooding and 'dlooding' not selected under climate_shock |
| CHECK_crop_loss_reasons_flo | | 1. Flag the entry. |
| od | | 2. Follow up with the enumerator on whether there is an |
| | | explanation to this contradiction. Change accordingly ifan |
| | | explanation is provided. 3. If an enumerator follow-up is not possible, follow upwith |
| | | the respondent and change. |
| | | 4. If neither of the follow-ups is possible, delete entriesfrom |
| | | the respective columns |
| | | 5. Communicate to the enumerators to make sure the |
| | | question is understood and asked properly. |
| | | |
| CHECK_livestock_livelihoo | livestock_owned | If livestock_owned = none and livestock is the main food_source |
| d | food_source | If livestock_owned = none and livestock is the livelihood_activ |
| CHECK_livestock_food | 100d_source | ii iivestock_owned – none and iivestock is the livelinood_activ |
| | livelihood_activ | A. Floribourt |
| | _ | Flag the entry. Follow up with the enumerator on whether there is an |
| | | Follow up with the enumerator on whether there is an explanation to this contradiction. Change accordingly ifan |
| | | explanation is provided. |
| | | 3. If an enumerator follow-up is not possible, follow upwith |
| | | the respondent and change. |
| | | 4. If neither of the follow-ups is possible, delete entriesfrom |
| | | the respective columns |
| | | 5. Communicate to the enumerators to make sure the |
| | | question is understood and asked properly. |
| CHECK_shock_impact | impact_shock_ma | If impact_shock_markets = yes and impact_access_markets= none |
| | rkets | 1. Flag the entry. |
| | | 2. Follow up with the enumerator on whether there is an |
| | impact_access_ma | explanation to this contradiction. Change accordingly ifan |
| | rkets | explanation is provided. |
| | | 3. If an enumerator follow-up is not possible, follow upwith |
| | | the respondent and change. |
| | | 4. If neither of the follow-ups is possible, delete entriesfrom |
| | | the respective columns |
| | | 5. Communicate to the enumerators to make sure the |
| | | question is understood and asked properly. |
| | | |

All changes to the data must be done ONLY in the Data Checking tab of the macro tool using the keyboard shortcuts. Otherwise no entries in the clean data tab will be made.

CLEANING LOG

Cleaning Log tab is part of the macro enabled cleaning spreadsheet that is created automatically once the data are populated in the cleaning tool.

It should contain the following information:

- 1. **Question** reference to the specific question that is being checked.
- 2. **Follow-up** is the column where the FO / AO outlines the final change that is done on the entry.
- 3. Enumerator code.
- 4. **UUID** unique identifier that is automatically assigned to each interview in the dataset.
- 5. **Community** information on the region where the respective interview was collected.
- 6. Notes used by the FO / AO to communicate the reasons why a particular value got flagged and to suggest solutions
- 7. **Old value** flagged value.
- 8. **New value** value that will be recorded in the clean data tab after the follow-up.
- 9. **Reason** used to outline the reason why a certain value was flagged / changed.
- 10. Name of the person who made changes to be removed before sharing any dataset

After you finish doing records to the cleaning log, look through it attentively. Try to read it as if you were a person that sees the data for the first time. Would you understand the rationale behind each change? Is all information included?



Deletions Tab, likewise, appears automatically in the tool. It contains all entries that were deleted from the questionnaire.

Keyboard Shortcuts for Macro Enabled Tool:

Please close all other MS Office applications during the cleaning process so you can speed up the process and avoid the software crashing.

NB: To allow smooth functionality of the macro sheet, please close other office applications during data cleaning.

| | SHORTCUTS | | | |
|---------------|------------------|--|--|--|
| Name | Shortcut | Function | | |
| Populating | Ctrl + Shift + P | Sets up the Raw Data and Data Checking sheets so they link to the data in the Raw Data sheet. If you get more forms that you want to add later, then you can just add them at the end of the Raw Data sheet and run the Populating function again, to add these new rows to the Data Checking and Clean Data sheets. | | |
| Correcting | Ctrl + Shift + C | Changes the value of a cell and adds an entry to the cleaning log. To be used if you already know what the value of the cell should be andyou do not need to first flag it and get feedback. | | |
| Deleting | Ctrl + Shift + D | Deletes the selected row in all sheets, including the Raw Data sheet. Also adds a Cleaning Log entry and copies the deleted row to Deletions. | | |
| Flagging | Ctrl + Shift + F | Flags a cell for feedback and adds a feedback entry to the cleaning log. | | |
| Toogling | Ctrl + Shift + T | Toogles back and forth between the Cleaning Log and the Data Checking sheet. If on the Cleaning Log and have selected a cell in an entry, takes you to the row and column in the Data Checking script that the entry is about. If on the Data Checking sheet, takes you back to the cleaning log. | | |
| Undoing | Ctrl + Shift + Z | Allows you to undo a change. Select the row on the cleaning log, use the shortcut to run the script and then the value will be brought back to the original one. | | |
| Expanding | Ctrl + Shift + E | Expands and collapses the current column | | |
| Adding column | Alt + I, then C | Adds a new column in a position right before the cell you currently have selected. | | |

| COLOUR CODES | | | |
|--------------|---------------|--|--|
| Colour | Color Name | Meaning | |
| | Blue | Value has been edited (automatically filled when editing in Data Checking) | |
| | Yellow | Value has been flagged for follow-up | |
| | Orange | This is a checking column | |
| | Red | This needs to be filled out (UUID, enumerator, community columns) | |

OTHER DATA CHECKS:

This section outlines data checks that are not included into the macro enabled cleaning tool and therefore require manual checking & cleaning. Manual checks will be performed on a daily basis, at the same time certain checks (e.g. checking enumerator patterns) require looking at the consolidated (weekly, monthly) datasets to allow comparison and are therefore outlined in a separate sub-section.

Daily:

| # | Question | CHECK EXPLAINED | ACTION |
|---|----------|---|---|
| | today | Since the datasets downloaded every dayhave | FO: Use filtering by date before processingthe |
| | | entries from yesterday, check that youprocess the | data. |
| | | data that has been collected the | |
| | | previous day only. | |

| idp_site | Check if all IDP settlements are spelled the | AO: Flag to FO. | |
|---------------|---|---|--|
| | same way. | FO: Adjust the spellings of the sites. | |
| All "other" | Check if translations are done for all relevant 'Other' | AO: Flag to FO. | |
| columns | entries. | FO: Translate if necessary. Reclassify the | |
| | | entry where possible. If an entry cannot be | |
| | | reclassified, leave it as 'Other' | |
| CHECK_settlem | Checking whether all names of the | AO: Flag to FO. | |
| ent_name | settlements are spelled correctly, due to high | FO: | |
| | number of errors in the master list. | 1. | Sort the settlements in alphabetical |
| | | | order (do not forgetto expand |
| | See Annex 1 for detailed instructions to this | | selection, or only thiscolumn will be |
| | <u>check</u> ! | | changed!). Go toConditional |
| | | Formatting – Highlight Cell Rules – | |
| | | | DuplicateValues and choose to |
| | | highlight duplicate values. | |
| | | 2. | After that scroll down checking ifthe |
| | | names of settlements that areshown | |
| | | | as unique within the samedistricts |
| | | | are actually misspelled names of the |
| | | same settlements: | |
| | | e.g. Afgoye and Afgooye. These | |
| | | similarly spelled settlements will | |
| | | have different p-codes. | |
| | | 3. | If two settlements have very similar |
| | | | spelling, cross-check withthe GIS |
| | | | unit to verify whether thesettlements |
| | | | are the same. |
| | | 4. | If you confirm that the two |
| | | | settlements are the same but have |
| | | | different p-codes, make sure you |
| | | | put them under the same p-code |
| | | | (you can pick the one from either of |
| | | | the identified settlements – the most |
| | | | importantduring analysis is to have it |
| | | | the |
| | | | same). |
| | | | |

| Other | Check if the respective settlements can befound | AO: Flag to FO. | |
|-----------------|---|---|--|
| settlements | in the master list (e.g. a settlement was put as | FO: Correct the spelling where relevant and add | |
| | "other" because of different spelling: Basra / | to the list. Leave as 'Other' if no matches | |
| | Basro). | found. | |
| deviceid | Check if the device IDs and the enumeratorcodes | AO: Flag to FO. FO: Flag if inconsistent and change after | |
| enum_code | are consistent. Different device IDmayindicate that | | |
| | the enumerator chose a wrongenumerator code. | double-checking with the enumerator.Inform | |
| | | the enumerator teams they shouldnotify the | |
| | | FOs If they are planning to useanother device. | |
| | | Emphasize that using the same device | |
| | | throughout the whole | |
| | | assessment is strongly advisable. | |
| market_settleme | Check persons reporting long walking times | AO: Flag to FO. | |
| nt | to avoid the errors when a person reports | FO: | |

| distance_to_mar | that it takes him/her half a day to reach theplace | 1. Flag the cell and follow-up with the | |
|-------------------|---|---|--|
| ket | while it is the same settlement / a neighboring | enumerator. | |
| market_district | village. Also if long distances | 2. If a follow up is not possible, delete the | |
| | are reported within the same settlement. | entry from distance_to_market. | |
| settlement_clinic | Check persons reporting long walking time to | AO: Flag to FO. | |
| distance_clinic | avoid the errors when a person reports thatit | FO: | |
| settlement_clinic | takes him/her half a day to reach the placewhile | 1. Flag the cell and follow-up with the | |
| | it is the same settlement / a neighboring | enumerator. | |
| | village. Also if long distances | 2. If a follow up is not possible, delete the | |
| | are reported within the same settlement. | entry from distance_clinic. | |
| barriers_health | Check if for the settlements that report under 30 | AO: Flag to FO. | |
| distance_clinic | minutes of walking to clinic 'distance' wasselected | FO: | |
| | as a barrier for accessing health services. | | |
| | | 1. Flag the entry. | |
| | | 2. Follow up with the enumerator on | |
| | | whether there is an explanation to this | |
| | | contradiction. | |
| | | 3. If an enumerator follow-up is not | |
| | | possible, follow up with the respondent and | |
| | | change accordingly. | |
| | | 4. If neither of the follow-ups is | |
| | | possible, delete entries from the respective | |
| | | columns: | |
| | | barriers_health (<u>only</u> related to | |
| | | distance) | |
| | | barriers_health/distancedistance_clinic | |
| | | 5. Communicate to the enumerators to | |
| | | make sure the question is understood | |
| | | and asked properly | |
| | | | |

| protection_incide | If "none" is reported to protection incidents | AO: Flag to FO. | |
|-------------------|---|--|--|
| nts | question but at the same time the main cause | FO: | |
| dam_shelters_re | of shelters destructed in the last month was | | |
| ason | conflict_looting, it is a logical error. | 1. Flag the entry. | |
| | | 2. Follow up with the enumerator on | |
| | | whether there is an explanation to this | |
| | | contradiction. | |
| | | 3. If an enumerator follow-up is not | |
| | | possible, follow up with the respondent and | |
| | | change accordingly. | |
| | | 4. If neither of the follow-ups is | |
| | | possible, delete entries from the respective | |
| | | columns: | |
| | | protection_incidents | |
| | | protection_incidents/none | |
| | | dam_shelters_reason | |
| | | 5. Communicate to the enumerators to | |
| | | make sure the question is understood | |
| | | and asked properly | |
| uuid | Check for duplications and delete lines with | AO/FO: delete entry. | |
| | the same UUID. | | |

Weekly /:

These checks are done in the consolidated datasets. It is important to do weekly checks, as they allow to figure out data quality issues at an earlier stage.

| # | Question | CHECK EXPLAINED | ACTION |
|---|----------|---|------------------------|
| | | Weekly: Check enumerator patterns. Filter the consolidated dataset by | AO: Flag to FO. |
| | | enumerator and use horizontal checks to see if any of theenumerators tend | FO: Flag the cell and |
| | | to select the same answers all | follow-up with the |
| | | the time. | enumerator. |
| | | Weekly: Check if there are enumerators consistently doing fewerinterviews than the others. | AO: Flag to FO. |
| | | | FO: Follow-up with the |
| | | than the others. | enumerator. |

Data sharing and validation

For the Hard-to-reach Assessment, the following documentation always needs to be shared alongside any dataset submitted for HQ review & validation:

- 1. Raw dataset
- 2. Clean dataset
- 3. A macro-enabled cleaning tool that includes the following tabs
 - Data checking
 - Cleaning log
 - Deletions
- 6. KOBO questionnaire. For all assessments using KOBO/ODK for data collection, the "audit logging meta question type" should be included during tool design; when possible, data from this should be used to monitor enumerators' behavior.
- 7. Data deletion report
- 8. Sampling verification