

Research Terms of Reference

Dadaab cross border monitoring

KEN 1804

Kenya

October 2018

1

REACH Informing more effective humanitarian action

1. Executive Summary

| | | | |
|---|--|--|--|
| Country of intervention | KENYA | | |
| Type of Emergency | <input type="checkbox"/> Natural disaster | <input checked="" type="checkbox"/> Conflict | |
| Type of Crisis | <input type="checkbox"/> Sudden onset | <input type="checkbox"/> Slow onset | <input checked="" type="checkbox"/> Protracted |
| Mandating Body/ Agency | United Nations High Commission for Refugees (UNHCR), Government of Kenya (GOK) | | |
| Project Code | 24DJR | | |
| Overall Research Timeframe (from research design to final outputs / M&E) | 01 /09/2018 to 31/03/2019 | | |
| Research Timeframe¹ Add planned deadlines (for first cycle if more than 1) | 1. Start collect data: 14/11/2018 | 5. Preliminary presentation: 11/12/2018 | |
| | 2. Data collected: 23/11/2018 ² | 6. Outputs sent for validation: 14/12/2018 | |
| | 3. Data analysed: 30/11/2018 | 7. Outputs published: 28/12/2018 | |
| | 4. Data sent for validation: 30/11/2018 | 8. Final presentation: 09/01/2019 | |
| Number of assessments | <input type="checkbox"/> Single assessment (one cycle) | | |
| | <input checked="" type="checkbox"/> Multi assessment (more than one cycle) 2 assessments, one in November 2018 and one in February 2019 | | |
| Humanitarian milestones Specify what will the assessment inform and when e.g. The shelter cluster will use this data to draft its Revised Flash Appeal; | Milestone | Deadline | |
| | <input type="checkbox"/> Donor plan/strategy | _ _ / _ _ / _ _ _ _ | |
| | <input type="checkbox"/> Inter-cluster plan/strategy | _ _ / _ _ / _ _ _ _ | |
| | <input type="checkbox"/> Cluster plan/strategy | _ _ / _ _ / _ _ _ _ | |
| | <input checked="" type="checkbox"/> NGO platform plan/strategy- - Norwegian Refugee Council (NRC) regional advocacy strategy. -REACH Regional durable solutions on intentions and cross border movement strategy | throughout life of project | |
| <input type="checkbox"/> Other (Specify): | _ _ / _ _ / _ _ _ _ | | |
| | Audience type | Dissemination | |

¹ The timeframe indicated here is for the first phase of the activity. The activity will comprise of 2 phases and the last phase will end in March 2019.

² Data collection will be in Somalia and in Kenya. The data collection in Somalia will start a week earlier before the data collection in Kenya

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| Audience Type & Dissemination | <input type="checkbox"/> Strategic <input checked="" type="checkbox"/> Programmatic <input type="checkbox"/> Operational <input type="checkbox"/> [Other, Specify] | <input checked="" type="checkbox"/> General Product Mailing (mail to NGO consortium; Donors) <input type="checkbox"/> Cluster Mailing (Education, Shelter and WASH) and presentation of findings at next cluster meeting <input checked="" type="checkbox"/> Presentation of findings (in Dadaab; Cluster meeting) <input checked="" type="checkbox"/> Website Dissemination (Relief Web & REACH Resource Centre) <input type="checkbox"/> [Other, Specify] |
| Detailed dissemination plan required | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| General Objective | To support evidence-based and targeted refugee response planning in Kenya through providing detailed information on migration trends, border movements, displacement and return of refugees crossing key transit points ³ in Kenya-Somalia border and transit centers in Dadaab refugee complex. | |
| Specific Objective(s) | <ol style="list-style-type: none"> To provide up-to-date information on the demographic characteristics of individuals that are leaving and entering Kenya on the Kenya-Somalia border and transit centers in Dadaab.. To identify potential triggers for displacement by providing information on push and pull factors for movement, as well as information on next destination, intentions and vulnerabilities of those moving. To provide an understanding of the modalities of travel (means of transport, crossing points, etc) | |
| Research Questions | <ol style="list-style-type: none"> What is the demographic make-up and registration status of individuals in transit? What are the previous locations and desired next locations used by those moving, including whether movement from/to a refugee camp and what are the modalities of travel used?. What are the main Pull and push factors for movement from previous location or from the current location to the next desired destination?. What are the vulnerabilities of those moving? What are the intentions in general of those moving? | |
| Geographic Coverage | <ol style="list-style-type: none"> Dadaab Transit Centres (Dagahaley, IFO and Hagadera). Tuula Barwaqo, Deg elema, and Dhobley along the Kenya Somalia border. | |
| Secondary data sources | <ol style="list-style-type: none"> REACH 2017 and 2018 intentions monitoring factsheets and datasets which was conducted in all the three targeted camps. UNHCR Repatriation analysis documents. UNHCR demographics statistics package. REACH Somalia Detailed sites assessment factsheets. IOM Somalia, Displacement Tracking Matrix (DTM). Refugee Consortium of Kenya (RCK) Monthly reports. | |

³ A transit point in this context is a location where persons from Somalia use to cross into Kenya and vice versa as well as centers where refugees enter and exit the camp in Dadaab. These centers are managed and controlled by NGOs.

| | | |
|--|---|---|
| Population(s) | <input type="checkbox"/> IDPs in camp | <input type="checkbox"/> IDPs in informal sites |
| | <input type="checkbox"/> IDPs in host communities | <input type="checkbox"/> IDPs [Other, Specify] |
| | <input checked="" type="checkbox"/> Refugees in camp | <input type="checkbox"/> Refugees in informal sites |
| | <input type="checkbox"/> Refugees in host communities | <input checked="" type="checkbox"/> Refugees in transit. |
| | <input type="checkbox"/> Host communities | <input checked="" type="checkbox"/> Returnees in transit. |
| Stratification | <input type="checkbox"/> Geographical #: ___ Population size per strata is known? <input type="checkbox"/> Yes <input type="checkbox"/> No | <input checked="" type="checkbox"/> Group #: 2 Population size per strata is known? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| | | <input type="checkbox"/> [Other Specify] #: ___ Population size per strata is known? <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Data collection tool(s) | <input checked="" type="checkbox"/> Structured (Quantitative) | <input checked="" type="checkbox"/> Semi-structured (Qualitative) |
| | Sampling method | Data collection method |
| Semi-structured data collection tool (s) # 1 | <input checked="" type="checkbox"/> Purposive <input type="checkbox"/> Snowballing <input type="checkbox"/> [Other, Specify] | <input type="checkbox"/> Key informant interview (Target #): <input checked="" type="checkbox"/> Individual interview (Target #):490 <input type="checkbox"/> Focus group discussion (Target #):_____ <input type="checkbox"/> [Other, Specify] (Target #):_____ |
| Semi-structured data collection tool (s) # 2 | <input checked="" type="checkbox"/> Purposive <input type="checkbox"/> Snowballing <input type="checkbox"/> [Other, Specify] | <input type="checkbox"/> Key informant interview (Target #): <input type="checkbox"/> Individual interview (Target #):_____ <input checked="" type="checkbox"/> Focus group discussion (Target #): 12 <input type="checkbox"/> [Other, Specify] (Target #):_____ |
| Target level of precision if probability sampling | __% level of confidence | __+/- % margin of error |
| Data management platform(s) | <input checked="" type="checkbox"/> IMPACT | <input type="checkbox"/> UNHCR |
| | <input checked="" type="checkbox"/> NRC | |
| Expected output type(s) | <input checked="" type="checkbox"/> Situation overview #: 2 | <input type="checkbox"/> Report #: __ |
| | <input checked="" type="checkbox"/> Presentation (Preliminary findings) #: 1 | <input checked="" type="checkbox"/> Presentation (Final) #: 1 |
| | <input type="checkbox"/> Interactive dashboard #: _ | <input type="checkbox"/> Webmap #: __ |
| | <input type="checkbox"/> [Other, Specify] #: __ | <input type="checkbox"/> Profile #: __ |
| | | <input type="checkbox"/> Factsheet #: __ |
| | | <input type="checkbox"/> Map #: __ |
| Access | <input checked="" type="checkbox"/> Public (available on REACH resource center and other humanitarian platforms) | |
| | <input type="checkbox"/> Restricted (bilateral dissemination only upon agreed dissemination list, no publication on REACH or other platforms) | |
| Visibility | REACH, ECHO NRC | |

3. Rationale

3.1. Rationale

As of September 2018, close to 240,000⁴ mostly Somali refugees reside in Dadaab camps and are vulnerable to pressure to return, despite the continued uncertain situation in Somalia. According to a 2017 assessment conducted by REACH, supported by NRC and RCK through a representative household level interviews, significant “push” factors such as assistance available on return (45%), potential closure of the camp (32%) and lack of livelihood opportunities (17%) were the main reasons households reported, considering return. Despite the ongoing voluntary repatriation programme by UNHCR and the Government of Kenya, there are still pockets of spontaneous

⁴ UNHCR Statistics package, September 2018.

returns to Somalia and re-returns to Dadaab, with the assessment finding 14% of the assessed households having members that returned to Somalia and 53% of these did not return through voluntary repatriation.. In August 2018, REACH conducted a quantitative intentions assessment in Dadaab refugee camps building on the 2017 assessments to understand the factors that drive intentions to return to Somalia. With the available quantitative data on the number of returnees and the push and pull factors⁵, the current assessments will complement the aforementioned intention assessment with more qualitative, in-depth information on intentions to return, main push and pull factors as well as conditions of movement.

To monitor critical displacement trends within the Kenya Somalia border and transit centres in Dadaab camp, REACH will conduct direct Individual interviews with purposively sampled respondents in key transit centres in Dadaab and in Somalia border who are either leaving or entering a border point or a transit centre. In addition, focus group discussions will be conducted with refugees in Dadaab and community leaders as well as managers of border points in the Kenya-Somalia border. Data collection will be conducted in the month of November, 2018 and February 2019. Comparable information across each location will allow for broader analysis on movement and hotspots for migration into and out of the Kenya.

4. Methodology

3.1 Methodology overview

REACH will use a qualitative approach to provide more in-depth information about movements at key accessible border points and transit centres in comparison to household surveys on intentions monitoring that will be conducted parallel to these qualitative assessments. For this purpose, REACH will conduct a series of FGDs and Individual interviews in November and February (coinciding with the data collection for the intentions assessments) at key border points and transit centres in Dadaab camps and Somalia. FGDs will be conducted with selected purposively selected refugee household members in Dadaab camp and community leaders at the Kenya-Somalia border in the accessible border points. The Individual interviews will be conducted with refugees and returnees in transit through the accessible border and transit centres in Dadaab camp. Pilot interviews will be conducted with individuals using the Bus terminus inside the three camps in Dadaab. Refugees not willing to return to Somalia through the voluntary repatriation programme, normally use these Bus terminuses located in different locations within Dadaab complex to return to Somalia. The purpose of the pilot is to test the accessibility of these areas, willingness of the returnees to be interviewed and the volume of spontaneous returns in the terminus. FGDs and individual interviews will be conducted to understand the demographic profile of the people on the move, push and pull factors for movement, modalities of the travel (means of transport, crossing points, sources of funds, etc.).

3.2 Population of interest

- Refugees and returnees in Dadaab camp and those using the transit centres and border points

3.3 Secondary data review

1. REACH 2017 and 2018 intentions monitoring factsheets and datasets which was conducted in all the three targeted camps- *These will be used as a basis for comparison on intentions of return over a period of time. The dynamics of intentions and future return intentions will be analysed against the outcomes of the primary data.*

⁵ REACH Household intentions assessment, August 2018

2. UNHCR Repatriation analysis documents- *Repatriation analysis will provide individual level demographics on intentions of return, possible Areas of return, and others. This data will be useful in comparative analysis with sampled household level data.*
3. UNHCR demographics statistics package- *This will provide the demographics of Dadaab in terms of individual and household population as well as breakdown per camp and will be useful in sampling and development of field data collection plans.*
4. REACH Somalia Detailed sites assessment factsheets- *Data and information from potential areas of returns, as reported in the previous REACH Dadaab assessments and UNHCR repatriation analysis will be the areas of interest. These will provide information on living conditions of returnees from Kenya, specifically Dadaab and will be used to triangulate primary data from Households in Dadaab, who would report having members that returned to Somalia.*
5. IOM Somalia, Displacement Tracking Matrix (DTM)- *This will provide up to date information on displacement from Somalia to Kenya and information on where movements are frequent. This information will be used to inform the methodology as well as triangulate primary data collected.*
6. Refugee Consortium of Kenya (RCK) Monthly reports- *This will provide information on movements dynamics along the borders including number of persons moving, direction of movement, means of transport as well as profiles of persons crossing the border. This information will be useful in triangulating primary data collected.*

3.4 Primary Data Collection

3.4.1 Individual Interviews

Individual interviews will be conducted in three locations at the Kenya Somalia border and in the transit centres in the three camps in Dadaab refugee complex . At the Kenya-Somalia border, enumerators will interview approachable persons and who are ready to be interviewed. Since the individual interview tool is short, each enumerator will be given a target of interviewing a minimum of ten respondents per day for five days amounting to at least 300 interviews on these points. At the transit centres, REACH will be interviewing refugees under the voluntary repatriation programme by UNHCR, being facilitated to return to Somalia. At these centres, the movement is timed and only organized when a minimum of 150 persons have registered with UNHCR for voluntary repatriation. Refugees are not present consistently in the transit centres and data collection will happen on the days, scheduled by UNHCR where groups of refugees are gathered by UNHCR at these centres. During this arranged movement, UNHCR uses only one transit centre, where they gather all refugees willing to return from all the camps . There will be five enumerators at the transit centre where the process will be conducted, and each enumerator will have a target of 10 interviews. The target is to have two rounds of interviews during the first phase with a total target of 100 interviews. . Three Bus terminus in the three camps will be selected for the pilot interviews. One enumerator will be stationed at each selected Bus terminus for three days and will have a target of 10 interviews per day amounting to a total target of 90 interviews. The total target number of individual interviews both at the border and in the camps will be 490. Enumerators will collect individual interview data on mobile phones through the Open Data Kit application (Kobo Toolbox) and will be provided with training on the survey at the start of data collection. An individual interview tool will be used for data collection.

3.4.2 Focus Group Discussions (FGDs).

There will be twelve focus group discussions, six in Dadaab and six in Somalia near the Kenya border. There will be two FGDs in each location⁶, one with males and the other will females aged 18 years and above, each FGD consisting of 10 participants. On the Kenya-Somalia border, FGDs will be conducted with community leaders who might have information about people crossing the border from their communities and government authority staff managing the border points. One FGD will be with the community leaders and another FGD will be with the Government authority staff managing the border points. In Dadaab, FGDs will be conducted with refugee's representatives from households sampled conveniently from each of the three camps in Dadaab (Dagahaley, IF0 and Hagadera. These household representatives will be selected from previous completed intentions household survey in June 2018 . The selection of these participants from the household survey will be based on the time the household first arrived in Dadaab so that there will be a balance between households that have stayed longer in Dadaab and those that have arrived within the last three years. FGDs will be conducted by one facilitator and one note-taker. The facilitator will use an FGD questionnaire with well guided questions and probing questions. The note-taker will use a note-taker version of the paper questionnaire (with additional spaces) to take notes on responses.

3.5 Data Processing & Analysis

Data collected from the Individual interviews , will be uploaded daily on the KOBO server. Daily data checks will be conducted in the evening once enumerators have uploaded the data in the server. The outcomes of the data quality checks will be used as a basis for debriefing the enumerators before further data collection. Once all data has been uploaded, data cleaning will begin and feedback gathered where needed from enumerators. Notes taken from the FGDs will be copied into typewritten form, for ease of digital storage and use at the analysis stage. A simple debrief form filled out with the facilitation team immediately after the discussion that will then be triangulated with the data in the transcripts. Data analysis shall be conducted on mutually established indicators from the individual interviews and the focus group discussions using excel. Once initial data analysis has been done, the findings will be discussed and contextualized with relevant partners and two situation overviews prepared

4. Roles and responsibilities

Table 2: Description of roles and responsibilities

| Task Description | Responsible | Accountable | Consulted | Informed |
|--------------------------------------|----------------------------|--------------------|---|---------------------|
| Research design | Assessment Officer | Assessment Manager | REACH HQ, GIS Officer, UNHCR | Country coordinator |
| Supervising data collection | Field Officer | Assessment Officer | REACH HQ, Assessment Manager, GIS Officer | Country coordinator |
| Data processing (checking, cleaning) | Field Officer, GIS Officer | Assessment Officer | REACH HQ, Assessment Manager | Country Coordinator |

⁶ The assessment will be conducted both in the Kenya Somalia border in accessible border points (3 in total) and in Dadaab transit centers, of which there is one transit center in each camp (3 in total). Locations here refers to the border points and the transit centers, which shall be 6 in total.

| | | | | |
|-------------------------|------------------------------------|-----------------------|--|--------------------------------|
| Data analysis | Assessment Officer, GIS Officer | Assessment Officer | Assessment Manager, REACH HQ, UNHCR | Country coordinator, NRC |
| Output production | GIS Officer, Assessment Officer | Assessment Officer | Assessment Manager, REACH HQ, UNHCR | Country coordinator, NRC |
| Dissemination | Assessment Officer | Assessment Officer | Assessment Manager, REACH HQ, UNHCR | Country coordinator, NRC |
| Monitoring & Evaluation | Assessment Officer | Assessment Officer | Assessment Manager, REACH HQ, UNHCR | Country coordinator, NRC |
| Lessons learned | Assessment Officer | Assessment Officer | Assessment Manager, REACH HQ, UNHCR | Country coordinator, NRC |

Responsible: the person(s) who executes the task

Accountable: the person who validates the completion of the task and is accountable of the final output or milestone

Consulted: the person(s) who must be consulted when the task is implemented

Informed: the person(s) who need to be informed when the task is completed

NB: Only one person can be Accountable; the only scenario when the same person is listed twice for a task is when the same person is both Responsible and Accountable.

5. Data Analysis Plan

5.1 Quantitative Analysis Plan

| Research questions | IN # | Data collection method | Indicator / Variable | Questionnaire Question | Questionnaire Responses | Data collection level |
|---|------|------------------------|---------------------------------|---|---|-----------------------|
| What is the demographic make-up, registration status and displacement profiles of individuals in transit? | 1.1 | Individual Interview | Respondent's gender | What is your gender? | Male Female | Individual |
| | 1.2 | Individual Interview | Respondent's age | How old are you? Give estimate if unknown | integer | Individual |
| | 1.3 | Individual Interview | Respondent's country of origin | What is your country of origin? | List of countries, with an 'Other' option | Individual |
| | 1.4 | Individual Interview | Respondent's region of origin | What is your region of origin in Somalia? | List of Somalia regions | Individual |
| | 1.5 | Individual Interview | Respondent's district of origin | What is your district of origin in Somalia? | List of Somalia districts | Individual |

| | | | | | | |
|--|-----|----------------------|---|--|--|------------|
| | 1.6 | Individual Interview | Respondent's town of origin | What is your town, village or neighbourhood of origin in Somalia? | list of Somalia settlements | Individual |
| What is the demographic make-up, registration status and displacement profiles of individuals in transit? | 2.1 | Individual Interview | Percentage of respondents in transit with their household members | Are you in transit with your other household members? | 1. Yes, all HH members 2. Yes, some HH members 3. No | individual |
| | 2.2 | Individual Interview | Location of HH members not in transit with the respondent | In which country are your other household members? | List of countries, with an 'Other' option | household |
| | 2.3 | Individual Interview | Most commonly reported reasons for not being in transit with household members. | Why are you not in transit with your other household members? | | household |
| What are the vulnerabilities of those moving? | 2.4 | Individual Interview | percentage of persons travelling with family members with vulnerabilities | Are there any people with specific needs among the family members currently travelling with you? ? | Breastfeeding | household |
| | | | | | Critically ill | |
| | | | | | Elderly | |
| | | | | | Mainourished | |
| | | | | | Mentally disabled | |
| | | | | | Physically disabled | |
| | | | | | Pregnant women | |
| | | | | | Separated child in household | |
| | | | | | Single parent | |
| | | | | | Unaccompanied minor | |
| No vulnerable people | | | | | | |
| Other | | | | | | |
| What are the previous locations and desired next locations used by those moving, including whether movement from/to a refugee camp and what are the modalities of travel used? | 3.1 | Individual Interview | Most commonly reported displacement locations. | Which country are you coming from? | List of countries, with an 'Other' option | individual |
| | 3.2 | Individual Interview | | Which region in Somalia are you coming from? | List of Somalia regions | individual |
| | 3.3 | Individual Interview | | Which district are you coming from? | List of Somalia districts | individual |
| | 3.4 | Individual Interview | | What town, village or neighbourhood have you come from? | list of settlements in Somalia | individual |
| | 5 | Individual Interview | percentage of respondents coming from a camp | Are you coming from a camp in your previous Country? | Yes No | individual |
| | 3.6 | Individual Interview | | Which camp in the previous Country are you coming from? | text | individual |
| | 3.7 | Individual Interview | specific place in Kenya | Where in Kenya are you coming from? | Nairobi Dadaab Kakuma | individual |

| | | | | | |
|--|----------------------|--|---|--|------------|
| | | | | Other | |
| 4.1 | Individual Interview | Respondent's destination country | Which country is your intended final destination? | List of countries, with an 'Other' option | individual |
| 4.2 | Individual Interview | Respondent's destination region | In which region in Somalia is your final destination? | List of Somalia regions | individual |
| 4.3 | Individual Interview | Respondent's destination district | In which district in Somalia is your final destination? | List of Somalia districts | individual |
| 3.4 | Individual Interview | Respondent's destination town | What town, village or neighbourhood is your final destination in Somalia? | list of settlements in Somalia | individual |
| 4.4 | Individual Interview | Respondent's destination camp | Are you going to a camp in the Country of destination? | Yes No | individual |
| 4.5 | Individual Interview | Which camp? | Which camp are you going to? | text | individual |
| 4.6 | Individual Interview | Specific place in Kenya | Where in Kenya are you planning to stay? | Nairobi Dadaab Kakuma Other | individual |
| 5.3 | Individual Interview | Average duration of stay in destination area | How long do you intend to stay in the Country of destination? | Less than one month One to three months Three to six months More than 6 months Do not know | individual |
| 5.1 | Individual Interview | Most commonly reported reasons for leaving previous country | Why did you leave your previous country | Actual conflict in community or in surrounding area | individual |
| | | | | Fear of conflict in community | |
| Arrival of armed groups/ security forces | | | | | |
| Withdrawal of armed groups/ security forces | | | | | |
| Personal threats, including persecution | | | | | |
| Flooding | | | | | |
| Drought | | | | | |
| Lack of livelihood opportunities | | | | | |
| Lack of services | | | | | |
| Forced eviction | | | | | |
| Other | | | | | |
| 5.2 | Individual Interview | Most commonly reported reasons for going to the destination location | Why did you choose to go to the Country of destination? | No conflict Law and order Availability of work/ income opportunities | Individual |
| What are the main Pull and push factors for movement from previous location or from the current location to the next desired destination?. | | | | | |

| | | | | | | |
|--|-----|----------------------|--|--|---|------------|
| | | | | | Availability of humanitarian assistance | |
| | | | | | Freedom of movement | |
| | | | | | To be with family or friends | |
| | | | | | Heard services provided here | |
| | | | | | Access refugee registration/ status | |
| | | | | | Other | |
| What are the vulnerabilities of those moving? | 5.4 | Individual Interview | Percentage of respondents who have experienced violence while in transit | Have you been threatened, intimidated, or experienced violence in transit? | Yes | Individual |
| | | | | | No | |
| | 5.5 | Individual Interview | Most commonly reported Most commonly reported types of violence that was experienced | What violence have you experienced? | Beating or other ill treatment | Individual |
| | | | | | Rape or other GBV | |
| | | | | | Do not wish to answer | |
| | | | | | Other | |
| What are the previous locations and desired next locations used by those moving, including whether movement from/to a refugee camp and what are the modalities of travel used? | 5.6 | Individual Interview | Most commonly reported type of transport used in transit | What type of transport are you using for most of your journey? | Bus | Individual |
| | | | | | Lorry/Truck | |
| | | | | | Foot | |
| | | | | | Private vehicle | |
| | | | | | Other(specify) | |
| | 5.7 | Individual Interview | Most commonly reported ways of how transport is paid for | How are you paying for your transport? | Own vehicle (car, canoe, bike) | Individual |
| | | | | | Borrowed vehicle (car, canoe, bike) | |
| | | | | | Own money (savings) | |
| | | | | | Borrowed money | |
| | | | | | Humanitarian assistance (cash) | |
| | | | | | Humanitarian facilitated transport | |
| | | | | | Arranged by authorities | |
| Other | | | | | | |
| What is the demographic make-up, registration status and displacement profiles of individuals in transit? | 5.8 | Individual Interview | Percentage of respondents who possess IDs | Do you have official identity documents in your possession? | Yes | Individual |
| | | | | | No | |
| | 5.9 | Individual Interview | Top type of ID possessed by individuals in transit | What type of identity document do you possess? | Passport from country of origin | Individual |
| | | | | | Birth certificate - Kenyan | |
| | | | | | Birth certificate - Country of origin | |
| | | | | | ID Card - Country of origin | |
| | | | | | ID Card - Kenyan | |

| | | | | | |
|------|----------------------|---|--|-------------------------------------|------------|
| | | | | ID Card - Alien (Issued by GoK) | |
| | | | | Proof of Marriage | |
| | | | | None of the above | |
| | | | | Other | |
| 5.10 | Individual Interview | Top reasons for not possessing ID | Why don't you have an identity document? | Never possessed ID | Individual |
| | | | | Lost documents in transit | |
| | | | | ID was confiscated in this location | |
| | | | | Lost for other reason | |
| 5.11 | Individual Interview | Percentage of individuals who registered for Volrep | Did you register for voluntary repatriation with UNHCR or Kenyan authorities ? | Yes | Individual |
| | | | | No | |
| 5.12 | Individual Interview | Top reasons for not registering for Volrep | Why didn't you register for voluntary repatriation with UNHCR? | Was not aware of assistance | Individual |
| | | | | Was not registered as a refugee | |
| | | | | Was refused registration for Volrep | |
| | | | | Other | |

5.1 Qualitative Analysis Plan

| Research Questions | SUBQ# | Questionnaire QUESTION | Probes | Key disaggregation |
|---|-------|---|---|---|
| N/A | 1.1 | Facilitator name | | |
| | 1.2 | Assistant/Translator | | |
| | 1.3 | Focus group | | |
| | 2.1 | Have you or anybody from your community travelled in the last 6 months crossing the Kenya/Somalia border? | If so, from where had you/they come from? | Male, Female |
| | | | Where did you or they go? | Male, Female |
| What are the main Pull and push factors for movement from previous location or from the current location to the next desired destination?. | 2.2 | What were the main factors that caused you/them to leave the area of origin? | | Male, Female |
| | 2.3 | What were the main factors that caused you/them to choose this destination? | | Male, Female |
| What are the previous locations and desired next locations used by those moving, including whether movement from/to a refugee camp and what are the modalities of travel used?. | 3.1 | Which are the most common border crossing points or transit centres that are used by the people of this area and why? | Bus terminus, transit centres, Why they are common: more secure, more transport available, easily accessible, near the destination points | Community leaders, Government authorities |
| | 3.2 | Which is the most common means of transport that people use to cross the border and why? | bus, trucks, Boats, Foot, Private cars, Etc. Cheaper, most commonly available, more safe, no other options available | Community leaders, Government Authorities |
| | 3.3 | How do they pay for the transport? | savings, Borrowed money, Aid money, Free transport, own vehicle, Etc.) | Community leaders, Government Authorities |
| | 3.4 | Are there any challenges for people crossing the border to access transportation? | If there are, what are the challenges? (expensive, availability, insecurity) ADD Are the same challenges affecting both genders? Are there challenges specific to children? | Community leaders, Government Authorities |
| | 3.5 | At what time during the day are most people crossing the border | morning, Afternoon, Evening, At night etc. Why are these timings most common? (the weather is conducive, there are no many security checks, its more secure during this time, most transport is available during these times) | Community leaders, Government authorities |

| | | | | |
|---|-----|--|--|--|
| What are the previous locations and desired next locations used by those moving, including whether movement from/to a refugee camp and what are the modalities of travel used?. | 4.1 | are the people crossing most commonly alone or accompanied by the rest of their household members? | households? Individuals? | Community leaders, Government authorities |
| | | what is the most common gender and age group of people crossing the border? | adult men? Adult women? Youth males? youth females? Children | Community leaders, Government authorities |
| | 4.2 | What are the main challenges experienced by the people crossing the border | lack of identity documents? Security? Cost of transport? Restriction from the authorities? Duration of travel etc. | Male, Female |
| | 4.3 | Has there been any incidence of violence in these transit points in the last 6months? | Which violence has been experienced? | Male, Female |
| Which was the cause of these violence? | | | Community leaders, Government authorities | |
| How were they resolved and by who? | | | Community leaders, Government authorities | |
| What are the vulnerabilities of those moving ? | 4.4 | Do you know of any individuals that were separated from their families in transit ? | Are they receiving any help to get reunited? If yes, what help are they receiving? | Male, Female |
| | | | What is the impact of living with separated members? | Male, Female |
| | 4.5 | Did you see or do you know any minor(person below the age of 18 years) who travelled alone? | Why were they alone? Are they supported? If yes, by who? | Male, Female |
| What are the previous locations and desired next locations used by those moving, including whether movement from/to a refugee camp and what are the modalities of travel used?. | 5.1 | What are the most common patterns of movement around this transit point | What are the most common areas or locations in Somalia that people come from? | Community leaders, Government authorities |
| | | | What is the most common destination for the people moving into Kenya? | Community leaders, Government authorities |
| | | | What is the most common destination of people moving into Somalia? | Community leaders Government authorities |

| | | | | |
|---|-----|--|--|---|
| | 5.2 | Do you think men and women have different reasons for movement? | If so, what would be their distinct reasons? | Male, Female |
| | | Do you think children and youth would have different reasons for movement? | | Male, Female |
| What are the intentions in general of those moving? | 5.3 | Do people transiting through this border point stay here permanently? Do they stay temporarily and then go to their final destination? | How long do they stay on average?, If they stay temporarily, why? Where do they go next? | Community leaders, Government Authorities |
| | 5.4 | How long do people stay in these transit locations until they continue with their journey to their final destination? | few hours, one day, more than three days, weeks, etc. | Community leaders Government authorities |
| | | Why do members of the community choose these transit points? | | Male, Female |
| | 5.5 | What are the main reasons that are causing members of the community to stay here? | | Male, Female |
| | | What are the main reasons that are preventing these members of your community from returning to the area they departed from? | | Male, Female |
| | | What would make you or the members of your community change the decision to stay here and move to other areas? | | Male, Female |
| | 5.6 | Do you think the community currently has access to regular information on the area of origin? | | Male, Female |
| | | What information would they need ? | From who? Why? | Male, Female |
| | 5.7 | Would members of the same household return all together at the same time | If no, why not, who would return first and why? | Male, Female |
| | 5.8 | Would you or members from your community register for Volrep? | If not, why would you not register? | Male, Female |
| NA | 6.1 | Please feel free to share anything you think is important? | | |

6. Data Management Plan

| Administrative Data | | | |
|---|---|---|---|
| Research Cycle name | <i>Dadaab Cross Border Monitoring</i> | | |
| Project Code | <i>24DJR</i> | | |
| Donor | <i>ECHO</i> | | |
| Project partners | <i>NRC</i> | | |
| Research Contacts | <i>George Kamau nairobi.srao@reach-initiative.org</i> | | |
| Data Management Plan Version | <i>Date: 21/10/2018</i> | <i>Version: 1</i> | |
| Related Policies | | | |
| Documentation and Metadata | | | |
| What documentation and metadata will accompany the data? <i>Select all that apply</i> | <input checked="" type="checkbox"/> | Data analysis plan | <input checked="" type="checkbox"/> Data Cleaning Log, including: <input type="checkbox"/> Deletion Log <input type="checkbox"/> Value Change Log |
| | <input type="checkbox"/> | Code book | <input type="checkbox"/> Data Dictionary |
| | <input checked="" type="checkbox"/> | Metadata based on HDX Standards | <input checked="" type="checkbox"/> Transcripts and debriefing forms |
| Ethics and Legal Compliance | | | |
| Which ethical and legal measures will be taken? | <input checked="" type="checkbox"/> | Consent of participants to participate | <input type="checkbox"/> Consent of participants to share personal information with other agencies |
| | <input checked="" type="checkbox"/> | No collection of personally identifiable data will take place | <input checked="" type="checkbox"/> Gender, child protection and other protection issues are taken into account |
| | <input checked="" type="checkbox"/> | All participants reached age of majority | [Other, Specify] |
| Who will own the copyright and Intellectual Property Rights for the data that is collected? | <i>IMPACT</i> | | |
| Storage and Backup | | | |
| Where will data be stored and backed up during the research? | <input checked="" type="checkbox"/> | IMPACT/REACH Kobo Server | <input checked="" type="checkbox"/> Other Kobo Server: <i>REACH/NRC Kobo server</i> |
| | <input type="checkbox"/> | IMPACT Global Physical / Cloud Server | <input checked="" type="checkbox"/> Country/Internal Server |
| | <input type="checkbox"/> | On devices held by REACH staff | <input type="checkbox"/> Physical location <i>[specify]</i> |
| | <input type="checkbox"/> | [Other, Specify] | |
| Which data access and security measures have been taken? | <input checked="" type="checkbox"/> | Password protection on devices/servers | <input checked="" type="checkbox"/> Data access is limited to <i>REACH staff</i> |
| | <input checked="" type="checkbox"/> | Form and data encryption on data collection server | |
| | <input type="checkbox"/> | [Other, Specify] | |
| Preservation | | | |
| Where will data be stored for long-term preservation? | <input type="checkbox"/> | IMPACT / REACH Global Cloud / Physical Server | <input checked="" type="checkbox"/> OCHA HDX |
| | <input checked="" type="checkbox"/> | REACH Country Server | <input type="checkbox"/> [Other, Specify] |
| Data Sharing | | | |
| Will the data be shared publically? | <input type="checkbox"/> | Yes | <input type="checkbox"/> No, only with mandating agency / body |

| | | | | |
|--------------------------------|--|-----------------------|-------------------------------------|---|
| Will all data be shared? | <input type="checkbox"/> | Yes | <input checked="" type="checkbox"/> | No, only anonymized and data will be shared |
| | <input type="checkbox"/> | No, [Other, Specify] | | |
| Where will you share the data? | <input checked="" type="checkbox"/> | REACH Resource Centre | <input type="checkbox"/> | OCHA HDX |
| | <input type="checkbox"/> | HumanitarianResponse | <input type="checkbox"/> | [Other, Specify] |
| Responsibilities | | | | |
| Data collection | Mohamed Mahat, Dadaab Field Officer, dadaab.fieldofficer@reach-initiative.org Hajir Abdi Hussein, Kismayo Field Officer, kismayo.fieldofficer@reach-initiative.org | | | |
| Data cleaning | Susan Njenga, GIS Officer, nairobi.gis@reach-initiative.org | | | |
| Data analysis | George Kamau, Senior Assessment Officer, george.kamau@reach-initiative.org Alice Mwindi, Assessment Intern, nairobi.assessment-intern@reach-initiative.org | | | |
| Data sharing/uploading | George Kamau, Senior Assessment Officer | | | |

7. Monitoring & Evaluation Plan

- Please complete the M&E Plan column in the table and use the corresponding Tools in the Monitoring & Evaluation matrix to implement the plan during the research cycle.

| IMPACT Objective | External M&E Indicator | Internal M&E Indicator | Focal point | Tool | Will indicator be tracked? |
|---|--|--|-----------------------|---|--|
| Humanitarian stakeholders are accessing IMPACT products | Number of humanitarian organisations accessing IMPACT services/products | # of downloads of x product from Resource Centre | Country request to HQ | User_log | x Yes |
| | | # of downloads of x product from Relief Web | Country request to HQ | | x Yes |
| | | # of downloads of x product from Country level platforms | Country team | | x Yes |
| | Number of individuals accessing IMPACT services/products | # of page clicks on x product from REACH global newsletter | Country request to HQ | | x Yes |
| | | # of page clicks on x product from country newsletter, sending Blue, bit.ly | Country team | | x Yes |
| | | # of visits to x webmap/x dashboard | Country request to HQ | | <input type="checkbox"/> Yes |
| IMPACT activities contribute to better program implementation and coordination of the humanitarian response | Number of humanitarian organisations utilizing IMPACT services/products | # references in HPC documents (HNO, SRP, Flash appeals, Cluster/sector strategies) | Country team | Reference_log | <i>Kenya Comprehensive Refugee response framework 2020</i> |
| | | # references in single agency documents | | | |
| Humanitarian stakeholders are using IMPACT products | Humanitarian actors use IMPACT evidence/products as a basis for decision making, aid planning and delivery Number of humanitarian | Perceived relevance of IMPACT country-programs | Country team | Usage_Feed back and Usage_Survey template | <i>Decisions made and implemented on the basis of the assessment – to be checked with operational and donor partners to ask what actions they took on the basis of the findings and recommendations.</i> |

| | | | | | |
|--|---|--|---------------------|-----------------------|---|
| | documents (HNO, HRP, cluster/agency strategic plans, etc.) directly informed by IMPACT products | <p>Perceived usefulness and influence of IMPACT outputs</p> <p>Recommendations to strengthen IMPACT programs</p> <p>Perceived capacity of IMPACT staff</p> <p>Perceived quality of outputs/programs</p> <p>Recommendations to strengthen IMPACT programs</p> | | | <p><i>This assessment may also be included in a usage survey of partners if one is conducted in the future.</i></p> |
| <p>Humanitarian stakeholders are engaged in IMPACT programs throughout the research cycle</p> | <p>Number and/or percentage of humanitarian organizations directly contributing to IMPACT programs (<i>providing resources, participating to presentations, etc.</i>)</p> | <p># of organisations providing resources (i.e. Staff, vehicles, meeting space, budget, etc.) for activity implementation</p> <p># of organisations/clusters inputting in research design and joint analysis</p> <p># of organisations/clusters attending briefings on findings;</p> | <p>Country team</p> | <p>Engagement_log</p> | <p>x Yes</p> <p>x Yes</p> <p>x Yes</p> |

