Research Terms of Reference

Multi-sectoral needs assessment of newly displaced refugees in the southwest and West-Nile regions of Uganda UGA2206

Uganda

14.07.2022 V1



1. Executive Summary

Country of	Uganda							
intervention								
Type of Emergency		Natural disaster		Con	flict		Other (specify)	
Type of Crisis		Sudden onset		Slov	v onset	Х	Protracted	
Mandating Body/	Alliar	nce2015						
Agency								
IMPACT Project Code	25FD	OV 5DP					_	
Overall Research								
Timeframe (from research design to final outputs / M&E)	11/07	11/07/2022 to 30/09/2022						
Research Timeframe	1. Pil	ot/ training: 21/07/2022						
Add planned deadlines	2. Sta	art collect data: 25/07/2022			7. Outputs sent f	or va	alidation: 19/08/2022	
(for first cycle if more than	3. Da	ta collected: 05/08/2022			8. Outputs publis	shed	30/08/2022	
1)	4. Da	ta analysed: 12/08/2022			9. Final presentation: 02/09/2022			
	5. Da	ta sent for validation: 12/08/	2022)				
Number of	Χ	X Single assessment (one cycle)						
assessments		Multi assessment (more th	nan o	ne cy	rcle)			
		[Describe here the frequen	псу с	f the	cycle]			
Humanitarian	Miles	stone			Deadline			
milestones	Χ	Donor plan/strategy			30/09/2022			
Specify what will the		Inter-cluster plan/strategy						
assessment inform and when		Cluster plan/strategy						
e.g. The shelter cluster	Х	NGO platform plan/strateg	Jy		30/09/2022			
will use this data to draft its Revised Flash Appeal;		Other (Specify):						
Audience Type &	Audi	ence type			Dissemination			
Dissemination Specify	□ Str	ategic					ing (e.g. mail to NGO	
who will the assessment	X Pro	ogrammatic			consortium; HCT p	partic	ipants; Donors)	
inform and how you will	□ One	erational			□ Cluster Mailing (Education, Shelter and WASH)			
disseminate to inform the audience		ther, Specify]			and presentation of meeting	ot tinc	lings at next cluster	
					X Presentation of t	findin	gs (e.g. at HCT meeting;	

				Website Dissemination (Relief Web & REACH esource Centre)
				[Other, Specify]
Detailed		Yes	Х	No
dissemination plan required				
General Objective	countr to und centre and lo	lerstand push and pull factors influents' s' decisions to settle or not in Ugand ng-term needs; Specifically the WAS rly arrived refugees in Rhino Camp a	Col cing a ar H, I nd I	ngo (DRC) and South Sudan) and Uganda, in new arrivals residing in the hoding and to identify the most urgent short-term ivelihoods and food security-related needs Nakivale refugee settlements.
Specific Objective(s)	2) 3) 4)	between countries of origin and U Uganda and refugee settlements. To understand most urgent needs Rhino Camp (particularly WASH, i To understand medium-long term refugees in Nakivale and Rhino C	gan of I food live amp	provision to new arrivals residing in
Research Questions	2,	what are the push and pull factors arrivals' movements inside Ugand a. What are the main factor Uganda from their countres. What are the main factor remain in Uganda or returned c. What are the main factor request to be relocated in refugee status? d. How long do most new a making a descision to eit a refugee settlement? e. Do refugees intend to refuge settlement? e. Do refugees intend to refuge settlement what are the differences in south-west and West Now that are the newly arrived refuge energy needs? a. Differences between new settlement b. Differences between fem	s info a (f s th ies s th rrn t s th rriva her urn s e.ç in r Nile es r	luencing cross-border movement and new rom holding centres to settlements)? at have pushed new arrivals to move to of origin since the beginning of 2022? at encourage/discourage new arrivals to o their country of origin? at encourage/discourage new arrivals to e refugee settlements and apply for a als intend to stay in holding centres before return to their country of origin or move to to countries of origin? What would be the g security, services, governance, cured land tenure, other?
	3,	What do the newly arrived refugee	es n	larger burden of care etc. eed in order to build their livelihoods and nitarian aid in the medium- to long term?

		a.	What skills and				, ,		
		l _a							ng their stay in Uganda?
		b.	economic indep				•	•	re to facilitate their
		0					,	_	
		C.	Nakivale refuge			-	illived relugees	Ш	Rhino Camp and
		d.	•				and male heads	h.	households
		d. Differences between female and male headed householdse. Differences for households with vulnerable members such as elderly,							
		individuals with disabilities, a larger burden of care etc.							
	_	4) What ar					•		d to new arrivals in
	,	*	centeres and refu				· · · · · · · · · · · · · · · · · · ·	,,,,	a to now annivato in
		_		-				for	the newly arrived
			refugees in hold		•		,		, , , , , , , , , , , , , , , , , , , ,
		b.	•	_			wly arrived refu	ge	es facing while settling
			into the settleme	ents	?		•	•	
		C.	Differences bety	wee	n Nak	kival	e and Rhino Ca	mŗ	settlement
Geographic Coverage	Nakiv	ale refugee	settlement in the	e so	uth-w	est	region and Rhin	0 (Camp (Rubondo Zone)
	in the	West Nile	region in Uganda						
	-		ding centre (soutl	h-we	est)				
			re (West Nile)						
Secondary data		CR situatior							
sources		0	influx dashboard						
		-				_	_	-	nse – Assessment of
Denulation(s)	1		es (for financial ar	10 C	iigiai ii		IDPs in inform		- '
Population(s) Select all that apply		IDPs in ca	ost communities						
Ociect all trial apply	X	Refugees				□ IDPs [Other, Specify] □ Refugees in informal sites			
			in host communi	ities			X Refugees in holding centres		
		Host com		11100			[Other, Specify]	Tall	ng contros
Stratification	X		nical #:Nakivale		Gro				[Other Specify] #:
Select type(s) and enter		and Rhine				•	ion size per		Population size per
number of strata			n size per strata				known?		strata is known?
			Y X Yes □ No		□ Y	es 🗆	ı No		□ Yes □ No
Data collection tool(s)		Structure	d (Quantitative)		•		Semi-structure	:d (Qualitative)
	Samp	oling metho	od			Da	nta collection n	net	hod
Structured data	□ Pu	rposive				□ Key informant interview (Target #):			
collection tool # 1		bability / Sim	nole random			☐ Group discussion (Target #):			
Select sampling and data		•	atified simple rando	nm		X Household interview (Target #): 100 in Rhino			
collection method and specify target # interviews		•	·	וווע					
specify target # interviews		•	ster sampling				·	1KI	vale (based on 95/10 +
		•	atified cluster samp	oling		10% buffer)			
	□ [Ot	her, Specify]							(Target #):
							Direct observatio	ns	(Target #):
							[Other, Specify] (Ta	rget #):
Semi-structured data	У D	rposive				_	Key informant int	۵n	riew (Target #):
collection tool (s) # 1		•							
	□ Sn	owballing					inuividuai intervie	:W	(Target #):

Select sampling and data collection method and specify target # interviews	□ [Other, Specify]				X Focus group discussion (Target #): 2 in each settlement (4 total); disaggregated by gender; if possible including at least one elderly individual and one person with a disability □ [Other, Specify] (Target #):			
Semi-structured data collection tool (s) # 1 Select sampling and data collection method and specify target # interviews	X Purposive Snowballing [Other, Specify]			X Key informant interview (Target #): 14 (5 in each settlement; targeting settlement commandants or similar, key UN or NGO staff responding to new arrivals' needs in each location, and key community leaders) 2-3 remote KIIs in each holding centre tagreting one key INGO/NGO and one community representative. □ Individual interview (Target #): □ Focus group discussion (Target #): 4 in each location (8 total); disaggregated by gender and including at least one elderly individual and one person with a disability □ [Other, Specify] (Target #):				
Target level of precision if	95%	level of confidence			10+/- % margin of error			
probability sampling								
Data management	Х	IMPACT						
platform(s)								
		[Other, Specify]						
Expected ouput type(s)	Х	Situation overview #: 1		Rep	oort #:		Profile #:	
		Presentation (Preliminary			sentation (Final)		Factsheet #:	
			 /ebmap #:					
		[Other, Specify] #:						
Access	Х	Public (available on REAC	H re	sour	ce center and other	hu	manitarian platforms)	
		Restricted (bilateral dissemination only upon agreed dissemination list, no publication on REACH or other platforms)						
Visibility Specify which	REA	СН		-	•			
logos should be on	Done	or: Alliance2015						
outputs	Cool	rdination Framework: A201	5					
	Partners: ACTED							

2. Rationale

2.1 Background

Since late March 2022, the Uganda-DRC border has been the site of unprecedented displacement of Congolese asylum-seekers forced to flee their country due to the escalation of violence in the North Kivu Province. In parallel, the caseload of South-Sudanese arrivals in the West Nile region has increased since January 2022 due to ethnic clashes, persecution, insecurity, cattle raid and climate change-related causes (e.g. flooding).

As of May 12, 2022¹, 50,860 new arrivals were recorded in Uganda in 2022, of which 36,563 from DRC and 14,297 from South Sudan. This resulted in 24,221 individuals hosted in collection points, transit and reception centres and holding centres, with the majority of them residing in South and mid-West of Uganda (21,091 individuals) and 3,130 in West Nile. Specifically, Kisoro and Bundibugyo districts showed the highest numbers of arrivals from DRC, with 22,433 individuals registered in Kisoro and 9,380 asylum-seekers who arrived in Bundibugyo, followed by Kikuube (2,570) and Kasese (1,216) districts.

As of May 19, 2022, 16,293 individuals crossed the border from South Sudan and were received in the reception and holding centres before being relocated in the settlements established in the region.

Amuru district recorded the highest number of arrivals from South Sudan (7,173), followed by Koboko (2,884) and Lamwo (2,514) and Arua (1,028).

Considering this significant refugee influx in Uganda and the peculiarity of the emergency, through ANIF funding and under IMPACT's REACH initiative, the Alliance 2015 (A2015) members (ACTED, CESVI and WHH) aim to roll out a multi-sectoral rapid need assessment focusing on the humanitarian and medium-long-term needs of the newly displaced population, with a particular focus on two settlements (Nakivale and Rhino Camp).

2.2 Intended impact

Since the outbreak of violent clashes in DRC and South Sudan and the consequent forced displacement of asylum seekers in Uganda, daily situation reports and updates have been shared by UNHCR, along with ad hoc emergency assessments on short-term needs at border level. However, A2015 partners have observed a lack of a thorough, comprehensive assessment tackling not only the short-term but also the longer-term needs of the newly displaced target group, as they are relocated to settlements within Northern and Southwestern Uganda. The lack of comprehensive evidence-based data and in-depth information on the new arrivals also impacts on the low level of visibility of the above-mentioned crisis, due to: i) lack of quality assessment data; ii) focus of the internal community on the current Ukraine crisis, which impeded advocacy efforts to advocate resources to protracted, equally impacting emergencies.

The proposed assessment will therefore serve as a basis for joint programme design and preparation work, which will enable A2015 partners to acquire reliable and timely data to develop a needs-driven, gap-filling multi-sectoral response as per each partner's capacity. In parallel, as a scoping study, the assessment will give the opportunity to A2015 partners to scale up an influx-response programme through an integrated cooperation and broad programmatic strategy, which aims to focus on both in-country and cross-border innovative interventions. In line with this approach, the outcome of the assessment will consist of the creation of a joint-projects pipeline for the target region of influx, serving as a tool to enhance advocacy at civil society, government, and donor levels to allocate resources for a coordinated and effective response within the Ugandan Comprehensive Refugee Response Framework.

3. Methodology

3.1 Methodology overview

This is a rapid needs assessment using a mixed-methods approach. A quantitative household-level survey will be rolled out based on population numbers from UNHCR and the Office of the Prime Minister (OPM). It will serve to gather information on the new arrivals' most urgent needs and medium-long term livelihoods needs to facilitate long term self-reliance and

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¹ UNHCR Refugee Influx Dashboard, Uganda Refugee Response,

economic independence. In addition, gender-disaggregated Focus Group Discussions (FGDs) and Key Informant Interviews (KIIs) with key stakeholders will serve to triangulate quantitative information, to reveal links between push and pull factors affecting the new arrivals' decisions to stay in Uganda or return to their countries of origin, when possible, to understand key issues faced by newly arrived refugees and service provision gaps in the response.

3.2 Population of interest

This rapid assessment will focus exclusively on:

- 1) Refugees in Nakivale refugee settlement and Rhino Camp who have "recently" arrived from their countries of origin. For the purposes of this assessment "recently" includes all households which have arrived in Uganda in 2022.
- 2) Community leaders, government officials, and humanitarian staff working on the response to the influx of new arrivals to Uganda in the assessment areas.

3.3 Primary Data Collection

Settlements

The quantitative household survey will be collected from amongst approximately 150 households in each settlement location using a survey coded in Kobo and administered through ODK installed on smartphones or tablets. Sampling will be done using randomly generated GPS points allocated in an area that has been pre-determined to house the newest arrivals in each settlement. The head of the household will be interviewed, or alternatively, another adult belonging to the household and who has agreed to and is able to speak on behalf of the head of the household.

Qualitative data will be collected in the form of FGDs and KIIs. Sampling for FGDs will be purposive based on time of arrival of the individual and their gender. Field teams will aim to include at least one elderly individual and one person with a disability in each FGD if such individuals are amongst new arrivals. A minimum of two FGDs will be held in each assessment location disaggregated by gender.

KIIs will be conducted with key stakeholders such OPM settlement commandants (1 per location), UNHCR field-based staff (1 per location), Refugee Welfare Council (RWC) representatives (2 per location), humanitarian partner staff involved in the newly arrived reponse (1 per location).

Data Processing & Analysis

Quantitative data will be cleaned and analysed using automated R scripts while qualitative data will be coded using MAXQDA with the aim to produce a data saturation grid (DSAG) or with the IMPACT Data Saturation Grid excel template.

TOOL 1 - HOUSEHOLD SURVEY

Consent

Hello, I am [NAME] and I work for REACH. We are conducting an assessment on the situation and the needs of the recently arrived refugees in this settlement. The purpose of this assessment is to better understand the overall situation of the households that have arrived in Uganda since the start of 2022 so that services can be strengthened. We would like to ask you some questions about your experience as a newly arrived refugee in Uganda. The whole interview should take less than one hour. Your household has been randomly selected to participate in this interview, and your participation is completely voluntary. You may choose not to respond to questions or end the interview at any time. As part of this assessment, we are interviewing more than 100 households and your participation is completely anonymous. No identifying details will be shared with any party. Do you have any questions?

Do you consent to participate in this interview?

Do you assent for the information you provide to be anonymously shared with other parties?

Is this the first time you have come to Uganda?

If so, how long have you been in Uganda?

If not, how long have you been in Uganda this time around?

In which settlement is this interview taking place?

If refugee, in which zone of \${refugee_settlement} is this interview taking place?

Are you the head of the household or able to speak on their behalf?

Demographics

What is your sex?

What is your age?

How many members does your household have?

How many of the members are below 18 years old?

Does anyone in this household have a disability or chronic illness that affects their abilities to perform routine tasks? Please consider members of the household who may have difficulty seeing, hearing, walking, concentrating, communicating in your language and making themselves understood, or with self-care such as washing or getting dressed without help.

Does your household include an elderly person?

What is the highest level of education you have completed?

- 1. None
- 2. Incomplete primary
- 3. Complete primary
- 4. Incomplete secondary
- 5. Complete secondary
- 6. Incomplete higher education or vocational training
- 7. Complete higher education or vocational training
- 8. I don't know or don't wish to say

Have you ever received financial literacy training?

- 1. Yes
- 2. no
- 3. I don't know

Do you know how to... (select all that you CAN)

- 1. ... count how much money you have
- 2. ... calculate how much money you will have left after you buy items?
- 3. ... calculate how much money you will have when you saved some money and are also getting money from another source?
- 4. ... calculate how much you can buy with a set amount of money?

Do you ... (select all that apply)

- 1. Track how much money you are spending compared to how much money you are getting
- 2. Allocate money to different household expenses (food, clothing, education, health etc.)
- 3. Save some money for later or for emergencies
- 4. Make a plan so you dont build up too much debt
- 5. Know what an interest rate is
- 6. Invest some of the money you saved

Have you ever received digital literacy training?

- 1. Yes
- 2. no
- 3. I don't know

Do you know how to operate the following? (select all that you CAN operate)

- 1. Turn the mobile phone on
- 2. Turn the mobile phone off
- 3. Lock and unlock the phone using pin pattern etc.
- 4. Charge the battery
- 5. Remove and insert the sim card
- 6. Use the torch flashlight
- 7. Make and receive calls
- 8. Send and receive text messages SMS
- 9. Send and receive emails
- 10. Top up airtime
- 11. Use USSD e.g.*131# or *170# (follow prompts)
- 12. Send and receive instant text based messages
- 13. Send and receive voice messages
- 14. Photos and record videos
- 15. Visit a specific website with a browser
- 16. Search for specific information
- 17. Use social media
- 18. Listen to FM radio
- 19. Check the weather forecast including severe weather alerts

Push and Pull - Data from this section will inform actors on movement intentions of the target population and factors that are most likley to influence further population movements

When did you and your household arrive in [settlement name]?

What is the main reason for which you and your household left your country of origin?

- 1. Conflict made it unsafe to live / work there
- 2. My family did not have enough food / could not earn a living there
- 3. Floods / droughts / other natural disasters became a threat to my family
- 4. My friends / family had moved far away
- 5. Other, please specify

What are the main three reasons for which you and your household moved from the holding centre to [settlement name] and settle longer-term in Uganda?

- 1. My family can live in peace in Uganda, therefore I want to settle long term here in Uganda
- 2. I do not think that the violence in my home country will stop soon, therefore my household and I are not planning to come back there
- 3. My family has enough food / can earn a living in Uganda.
- 4. I do not think that my family would have enough money / food in my country of origin if we returned there soon.
- 5. My family is safe from droughts / floods and other natural disasters in Uganda.
- 6. I do not think that my family would be safe from droughts, flood, and other natural disasters in my home country if we returned there son.
- 7. My family and friends live close by in Uganda.
- 8. My family and friends would be far away if my family and I would return to our home country soon.
- 9. Other, please specify

Do you and your household have plans to return to your home country right now?

- 1. No We plan to stay in Uganda long term or indefinitely and make a life here
- 2. We plan to travel in between Uganda and our home country repeatedly
- 3. Yes We plan to return to my home country as soon as we can to live there
- 4. I don't know or I do not want to answer

What would have to change in your country of origin for you and your family to consider returning to it now? Select all that apply.

- 1. Violent conflict needs to end
- 2. Crime rates need to be lower
- 3. More jobs need to be available
- 4. We need more / better agricultural inputs like seeds, fertile land, and tools
- 5. We need to be given (more) humanitarian assistance

- 6. We need to be protected from floods, droughts, and other natural disasters
- 7. My family / friends need to come back to live there with me
- 8. We need to be provided with better education and health services
- 9. We need to be provided with better water and sanitation services

Do you think there are more people like you still in your home country who might want to come to Uganda in the next 6 months?

- 1. Yes, most people from my community want to leave and come to Uganda (more than half)
- 2. Yes, some people from my community want to leave and come to Uganda (between one quarter and one half)
- 3. Yes but very few people form my community want to leave and come to Uganda (less than one quarter)
- 4. No
- 5. I don't know or don't wish to answer

Food security

How has the amount of food that the members of your household are eating since you left your home country changed?

- 1. We are now eating more than we were in our home country
- 2. We are now eating less than we were in our home country
- 3. We are eating about the same amount
- 4. I don't know or don't want to answer

Currently, how many meals are the members of your household eating on an average day?

- 1. More than three meals
- 2. Three meals
- 3. Two meals
- 4. Less than two meals

From today onwards, how long will your households food stocks last? Please select the appropriate length of time for each category of food: cereals and roots; pulses and legumes; oils and fats

- 1. Less than 1 week
- 2. 1-2 weeks
- 3. More than 2 weeks

Currently, what is your family's main source of food?

- 1. Own production
- 2. We buy food at the market
- 3. Humanitarian assistance
- 4. Well-wishers (e.g. other community members, host community, friends)
- 5. Food for work
- 6. Other, please specify

If your household does not have enough food or money to buy food, how do you cope with the situation? (Select all that apply)

- 1. Rely on less preferred and less expensive food
- 2. Borrow food/money or rely on help from well-wishers, friends or relatives
- 3. Limit portion size at meals
- 4. Restrict consumption by adults so that small children have something to eat
- 5. Reduce number of meals eaten per day
- 6. Do not eat the whole day
- 7. Collect more wild foods than usual for the season
- 8. Send children out to eat with neighbours or beg for food
- 9. Sell productive assets e.g. chicken, livestock
- 10. Consume seed stocks held for the next season
- 11. Engage in sex in exchange for money or goods
- 12. Engage children in working / looking for food (Child Labour)
- 13. Having daughters or sons marry or enter into betrothals below the age of 18
- 14. Migrate to other areas
- 15. Other (specify)

WASH

Currently, what are the main water sources for your household for cooking, drinking, and bathing?

- 1. Hand pump
- 2. Well
- 3. Stream or river
- 4. Surface water or pond

- 5. Piped water network
- 6. Water truck
- 7. Rain water
- 8. Other, please specify

How many water containers does your household have for storing and transporting water?

What is the volume of the container (in litres)?

- 1. 5 liters
- 2. 10 liters
- 3. 20 liters
- 4. 25 liters
- 5. other, please specify
- 6. I don't know

How many times were the water containers filled on the last day that water was collected?

How much time does it take for your household to collect the water you need (this should include travelling to and from the water source, waiting, and filling up the containers)?

- 1. Less than 30 minutes
- 2. 1-2 hours
- 3. 2-4 hours
- 4. More than 4 hours

What problems, if any, have you and your household faced with access to water or the quality of the water you could access in [settlement name]? (Select all that apply)

- 1. No / not enough clean drinking water
- 2. No / not enough clean water for cooking
- 3. No / not enough clean water for washing
- 4. Water smells/appears/tastes bad
- 5. Many people got sick after drinking the water
- 6. Not enough water containers e.g. jerrycans
- 7. Transporting water from the source to our shelter is difficult
- 8. Water cost too high
- 9. Water source destroyed
- 10. Distance to water source too far
- 11. Long waiting time at water point
- 12. Harassment on way to / at water point
- 13. Other (specify)
- 14. We have not faced any issues with access to water or water quality

How does your household store your drinking water?

- 1. Jerrycans
- 2. Private storage tanks
- 3. Clay pots
- 4. Bottles
- 5. Other, please specify

What methods, if any, does your household currently use to make the water you use safe for drinking?

- 1. Boiling
- 2. Aqua tabs
- 3. Choosing safe water sources
- 4. Filtering using cloth
- 5. Filtering using another method
- 6. Solar sack
- 4. Other, please specify
- 5. No, we do not have access to any of the options listed
- 6. No, we do not need to or we do not like to use any of the options listed

Currently, where are the members of your household defecating?

- 1. In the open / not in a managed and not in a defined area
- 2. In the open/ not in a managed but in a defined area
- 3. In public toilets (pit latrines or flushing toilets)
- 4. In shared family / household toilets (pit latrines or flushing toilets shared between all HH members)
- 5. In individual family / household toilets (pit latrines or flushing toilets for each HH member)
- 6. Other, please specify

What problems, if any, have you and your household faced with access to WASH facilities including latrines or toilets and handwashing facilities in [settlement name]? (Select all that apply)

- 1. Facilities are far away
- 2. There are not enough facilities
- 3. Facilities are do not have light
- 4. Toilets / pit latrines do not have handwashing facilities
- 5. Toilets / pit latrines are not separated by sex
- 6. Facilities are not in a safe place
- 7. Facilities are dirty / not maintained
- 8. facilities are not accessible for PSNs
- 9. Other (specify)
- 10. We have not faced any issues with access WASH facilities

Can loose / unmanaged garbage be seen close to where your household is living?

- 1. Yes
- 2. No
- 3. I don't know

Are there pools of stagnant water within 20 meters of where your household is currently living?

- 1. Yes
- 2. No
- 3. I don't know

Energy

Does your household currently have access to fuel for cooking?

- 1. Yes, we use gas
- 2. Yes, we use charcoal
- 3. Yes, we use electricity
- 4. Yes, we use firewood
- 5. Yes, we use a mixture of different energy sources
- 6. Other, please specify
- 7. No, we do not currently have access to energy for cooking food

Does your household currently face any barriers to accessing fuel for cooking?

- 1. Fuel prices are high
- 2. We do not have the right materials to cook with the type of fuel we can access
- 3. We cannot access our preferred type of fuel
- 4. The place we buy fuel from is far away
- 5. There is not always enough supply in the market
- 6. The place where we collect fuel (firewood / charcoal) is unsafe
- 7. Other (specify)
- 8. We do not face any barriers to accessing fuel for cooking

Does your household currently have access to electricity?

- 1. Yes, we have a solar panel
- 2. Yes, our house is connected to the electrical grid
- 3. Yes, we use publicly available electricity for a fee
- 4. Yes, we can access friends / neighbours' electricity
- 5. Yes, we use a generator to access electricity
- 6. Other, please specify
- 7. No, we do not have access to any source of electricity

Does your household currently face any barriers to accessing electricity?

- 1. Prices are high
- 2. We do not have the right materials to connect to the source of electricity which we can access
- 3. We cannot access our preferred source of electricity
- 4. The source of electricity we can access is far away
- 5. Transportation to the source of electricity is difficult
- 6. Electricity supply is often interrupted
- 7. Other, please specify
- 8. No barriers
- 9. We do not need / want to access electricity

How long does it take for your household to walk to the source of electricity closest to you?

1. Less than 30 minutes

- 2. 1-2 hours
- 3. 2-4 hours
- 4. More than 4 hours

Other Sectors

How many of the [insert number of school aged children (e.g., children between the ages of 6 and 17) are currently enrolled in school?

Since you arrived in [settlement name], have you or other members of your household accessed any of the following services or support groups?

- 1. MHPSS support from an NGO group sessions
- 2. MHPSS support from an NGO individual sessions
- 3. Women's group
- 4. Community support group
- 5. Maternal and young child feeding groups
- 6. Church, mosque, or other religious organization
- 7. Sports group
- 8. MHPSS support accessed through an employer or similar organization
- 9. Other, please specify
- 10. None of the above

Do you or the females in your household have access to menstrual hygiene management items/ kits? If yes, which items do you or the females in your household have access to?

- 1. We do not need to access these items because there is no female of menstruating age in this household
- 2. Reusable pads
- 3. Single-use pads
- 4. Tampons
- 5. Underwear
- 6. Wash cloth
- 7. Soap
- 8. Detergent in powder form
- 9. Other, please specify
- 10. None of the above

If you think about the safety situation in [settlement where they live], what concerns you the most?

- 1. Conflicts / clashes within the refugee community
- 2. Conflicts / clashes between the refugee and host community
- 3. Violence against children
- 4. Violence against women
- 5. Violence against minority groups
- 6. Other security issues, please specify
- 8. No specific safety concern

Access to Services - Data from this section will help inform actors about the gaps in service provision within settlements.

Since your arrival in [settlement name], has your household received humanitarian assistance?

- 1. Yes
- 2. No
- 3. I don't know or don't want to answer

If yes, what assistance has been received? (select all that apply)

- 1. Shelter
- 2. Non-Food Items
- 3. Cash
- 4. Water
- 5. Sanitation and hygiene services/products
- 6. Food
- 7. Education
- 8. Nutrition services
- 9. Health
- 10. Livelihood support
- 11. Protection
- 12. Don't know

Since your arrival in [settlement name], has your household faced problems in obtaining assistance?

- 1. Yes
- 2. No
- 3. I don't know or don't want to answer

If yes, what sort of problems have you faced? (select all that apply)

- 1. Not enough assistance for all entitled
- 2. Assistance provided does not take the needs of elderly or individuals with disabilities into account
- 3. Assistance does not respond to actual needs
- 4. Lack of documentation
- 5. Request for favours or money in exchange for assistance
- 6. Distribution/distribution methods exclude people with disabilities
- 7. Distribution methods/layout exclude child-headed or single-parent households
- 8. Distribution/distribution methods exclude elderly people
- 9. The distribution point is far away from my shelter
- 10. Other (specify)
- 11. I don't know or don't want to answer

If you and your household could choose freely what form of assistance you receive, which would you choose?

- 1. Money in the form of direct cash
- 2. Money in the form of mobile money
- 3. Money in the form of a bank transfer
- 4. Money in any form
- 5. In-kind assistance
- 6. I don't know or don't want to say

Livelihoods

What were the three main sources of income / revenue through which you and other members of your household earnt money in your country of origin?

- 1. None
- 2. Farming
- 3. Fishing
- 4. Food or cash for work from NGOs or UN organizations
- 5. Business activities (non-farming)
- 6. Wages or salaries
- 7. Pension / support from the government
- 8. Remittances from family members / friends living abroad
- 9. Support by family members / friends
- 10. Other, please specify
- 11. I don't know or don't wish to answer

What specific type of business activity or paid job were you and other members of your household doing in your country of origin to earn money? Please select a maximum of three options.

- 1. Hairdresser
- 2. Tailor/clothing store
- 3. General store
- 4. Food stuffs store
- 5. Market stand
- 6. Crafts business
- 7. Carpenting/construction business
- 8. Financial service provider
- 9. Butchery
- 10. Bakery
- 11. Hotel
- 12. Restaurant
- 13. Mechanic
- 14. Charcoal or firewood dealership
- 15. Agricultural/veterinary inputs dealer
- 16. Miller
- 17. Pharmacy
- 18. Crop/fruits/nuts processor
- 19. Other (specify)
- 20. Prefer not to answer

What are the three main sources of income your household has in Uganda?

- 1. None
- 2. Farming
- 3. Fishina
- 4. Food or cash for work from an NGO or UN organization

- 5. Business activities (non-farming)
- 6. Wages or salaries
- 7. Receiving a pension
- 8. Remittances from family members / friends living outside Uganda
- 9. Support by family members / friends living in Uganda
- 10. Other, please specify
- 11. I don't know or don't wish to answer

Do you or any member of your household currently have a membership or account with any of the following:

- 1. SACCO
- 2. VSLA
- 3. Other community based savings group, please specify
- 4. Bank
- 5. Mobile money
- 6. Other, please specify
- 7. None of the above
- 8. I don't know or don't want to say

Does your household currently own or have permanent or semi-permanent access to any of the following categories of assets?

- 1. Small livestock (chickens, goats, sheep etc.)
- 2. Large livestock (cows, horses, donkeys etc.)
- 3. A bicycle or other man- or livestock-powered vehicle
- 4. A motorized vehicle (motorcycle, car etc.)
- 5. A mobile phone
- 6. A working Ugandan SIM card
- 7. A working SIM card valid for another country
- 8. Solar panel
- 9. Agricultural tools
- 10. Seeds
- 11. Land for cultivation
- 12. Access to a bank loan or credit or similar (informal or formal lending)
- 13. Savings in the form of cash, mobile money, on a bank account or similar
- 14. Other, please specify
- 15. None of the above

Through what channel are you able to access credit or a loan?

- 1. Bank
- 2. SACCO, VSLA or other community-based structure
- 3. Friends or family
- 4. Informal loan through shop keeper or other local business
- 5. Other, please specify

What are the top two economic activities that your household is NOT currently doing but would like to start doing?

- 1. None
- 2. Farming
- 3. Fishing
- 4. Food or cash for work from an NGO or UN organization
- 5. Business activities (non-farming)
- 6. Wages or salaries
- 7. Other, please specify
- 8. I don't know or don't wish to answer

What specific type of business activity or paid job would you or members of your household be most interested in? Please select a maximum of three options.

- 1. Hairdresser
- 2. Tailor/clothing store
- 3. General store
- 4. Food stuffs store
- 5. Market stand
- 6. Crafts business
- 7. Carpenting/construction business
- 8. Financial service provider

- 9. Butchery
- 10. Bakery
- 11. Hotel
- 12. Restaurant
- 13. Mechanic
- 14. Charcoal or firewood dealership
- 15. Agricultural/veterinary inputs dealer
- 16. Miller
- 17. Pharmacy
- 18. Crop/fruits/nuts processor
- 19. Other (specify)
- 20. Prefer not to answer

What skills and resources is your household missing in order to start engaging in [selected desired economic activities]?

- 1. Agricultural inputs like seeds and tools
- 2. Land
- 3. Money to start up a business
- 4. A mobile phone
- 5. Access to electricity, water, or other infrastructure
- 6. Reference letters documenting skills
- 7. Identification documents
- 8. Basic reading or writing skills
- 9. Financial literacy skills
- 10. Digital literacy skills
- 11. Business or entrepreneurship skills
- 12. Other, please specify
- 13. We are not missing any skills or resources

What other barriers does your household face to start engaging in [selected desired income-generating activities]?

- 1. The members of our household are already busy doing other work
- 2. Engaging in these activities would mean that children in this household would no longer go to school
- 3. The location in which we can engage in these activities is far away from our home
- 4. The location in which we can engage in these activities, or the road there, is unsafe
- 5. There are no available / advertised paid positions in our area
- 6. Someone else is offering the same services that we would be able to offer
- 7. The members of this household are not physically fit enough to engage in these activities
- 8. Due to our status as refugees, we are not allowed to own land in this country
- 9. We lack knowledge around our rights when it comes to owning land
- 10. The laws around land ownership are not enforced
- 11. Due to our refugee status we cannot access credit / loans to start a business or buy resources
- 12. If we wanted to access land in this area, it would cause tensions with the host community
- 13. If we wanted to access land in this area, it would cause tensions with other refugees
- 14. Engaging in paid labour would result in tensions with host community members
- 15. Engaging in paid labour would result in tensions with refugees who have been in this settlement longer
- 16. Other, please specify
- 17. No barriers

What is the households' shelter mainly made of?

- 1. Concrete/stones
- 2. Cement blocks
- 3. Burnt stabilized bricks
- 4. Unburnt bricks with cement
- 5. Unburnt bricks with mud
- 6. Wood
- 7. Mud and poles
- 8. Ton/iron sheets
- 9. Tarpaulin and poles
- 10. Other, please specify
- 11. This households' shelter is currenlty under construction

What is the condition of the households' shelter?

- 1. The household's shelter is newly built
- 2. The household's shelter is in very good conditions
- 3. The household's shelter is in good condition
- 4. The household's shelter is in fair condition
- 5. The household's shelter is in bad condition
- 6. The household's shelter is in very bad condition
- 7. The household does not currently have a shelter

TOOL 2 - KEY INFORMANT INTERVIEW

Target KIs:

- 1 x Settlement commanders Nakivale and Rhino Camp
- 1 x UNHCR officials responsible for intake of new arrivals in Nakivale and Rhino Camp
- 1-2 x Key INGO or NGO staff in Nakivale and Rhino Camp (target NGOs that have the largest operations in each settlement)
- 1-2 x community leaders representing new arrivals in Nakivale and Rhino Camp
- UNHCR officials or biggest INGO responsible for Nyakabande Holding Centre + ?? Holding Centre near Rhino Camp
- 1-2 community leaders representing populations of new arrivals in holding centres

Interviewer ID:	Start time:	End time:	Interview location (please also note if it was done remotely):

Participant Name	Interviewee organization	Interviewee position:	Phone number:

Introduction [5 minutes]

Hi, my name is _____ I am a staff member of IMPACT Initiatives. We are conducting a Rapid Needs Assessment targeting the population of new arrivals from DRC and South Sudan and currently being transferred to Nakivale refugee settlement and Rhino Camp. The objective of this interview is to document the movement intentions of the new arrivals, their immediate WASH, energy, and food security needs, and their medium- to long-term needs to build independent livelihoods.

Time: The interview will last for about 60 minutes. During the interview you may choose to leave if you feel that you cannot complete the interview for any reason. However, I would be grateful if you take the time to complete the interview.

Note: Please note that we will focus on the new arrivals throughout this interview. For the purposes of this project, "new arrivals" include only those individuals and households who have arrived in Uganda in 2022 (staring January

1st). New arrivals include both populations currently residing in this refugee settlement (Nakivale or Rhino) and the holding centres closer to the border of DRC / South Sudan.

Do you have any questions?

Anonymity: Please be assured that information that you share during this interview will be analysed in an aggregated or anonymized manner so your individual perspective will not be shared with or identifiable to others. If there are any questions that you do not wish to answer, you do not have to do so. Are you happy to continue with the interview?

To ensure accurate documentation of this interview, I would like to request for your permission to record this conversation. The recording will only be used for analysis purposes. It will not be shared with any third party and will be deleted upon the completion of the analysis. Do you consent to the interview being recorded?

Note to the interviewer: if you are conducting the interview in a settlement, you shall **not** cover question 6; if you are conducting the interview remotely with someone working or living in a holding centre you shall **not** cover question 7.

- 1. To the best of your knowledge, what are the key factors that caused the new arrivals to leave their country of origin? Why did they come to Uganda?
 - a. What were the issues that made life in their countries of origin unsustainable?
 - b. What are the factors that make life in Uganda comparatively better?
 - c. Please think about economic, environmental, social, and political/ security factors in the country of origin that may have influenced the new arrivals' decision to leave their home.
- 2. In your opinion, how long do the newly arrived refugee households living in this refugee settlement intend to stay in Uganda? Why?
 - a. Are they planning to stay only several weeks or months or are they planning to settle here longer term (e.g., one year or longer)?
 - b. What are the factors that are encouraging / discouraging their return to their home country?
 - c. What are the factors that are encouraging / discouraging them to stay in Uganda?
- 3. In your opinion, what are the main reasons for which some people want to remain in the holding centre(s) instead of moving to a refugee settlement?
 - a. Are there economic, environmental, social, or political/ security factors that influence the decision to avoid settling in Uganda longer term?
 - b. What, if any, are the main factors that make these households want to return to their country of origin as soon as possible?
 - c. What, if any, effect does household composition have on the decision not to move to a refugee settlement?
 - d. What, if any, effect does economic status have on the new arrivals' decision not to move to a refugee settlement?
 - e. Where are most of the families in the holding centre from in DRC / South Sudan? Does their region or origin influence their decision not to move to a refugee settlement?
 - f. What, if any, are the differences in the provision of services in the holding centre vs. the settlement?
- 4. In your opinion, why did some of the newly arrived households choose to move to the settlement from the holding centre?

- a. What are the economic, environmental, social, or political/ security factors that influence the decision to settle longer term?
- b. What, if any, are the differences in family composition between the group that has remained in the holding centre and the group that has decided to move to the settlement?
- c. What, if any, are the differences in the main reasons for having come to Uganda between the two groups?
- d. What, if any, are the differences in the economic status of households in the two groups?
- e. What, if any, are the differences in where the households in each group come from inside their country of origin?
- f. What, if any, are the differences in the provision of services in the holding centre vs. the settlement?
- 5. Do you think there are more people like the new arrivals still living in {insert appropriate country of origin] who might want to come to Uganda in the next 6 months? If so, can you comment on how many there might be and what factors contribute to the continued population movements to Uganda?
 - a. Thinking of the number of inhabitants in the affected areas/communities in DRC / South Sudan (from where new arrivals are coming from) prior to 2022, how many of these people have already left these areas? Less than half, half, or more than half?
 - b. What are the characteristics of the population groups that remain in the affected areas/communities in DRC / South Sudan from where new arrivals are coming from?
 - c. Why did these individuals and households not leave their country of origin when others have already decided to move to Uganda?
 - d. Have some people from DRC / South Sudan, chosen to migrate to another country? Why did they choose that country over Uganda?
- 6. In your opinion, are humanitarian partners working in {holding centre name], able to meet the needs of the new arrivals? Why or why not? *only for KIs in holding centres*
 - a. Which organization, if any, is leading the response to the new arrivals in each sector (WASH, protection and specifically child protection, shelter, housing land and property, energy, health, and nutrition)?
 - b. In your opinion, has the response in the holding centre grown with the increasing needs? Please explain your answer.
 - i. Have new partners joined the response in this holding centre since the new arrivals started arriving late 2021, 2022?
 - ii. How do the funding levels relate to the levels of needs of the population in the holding centre?
 - c. How does the physical capacity (e.g., size) of the holding centre match the number of new arrivals that require shelter?
 - d. Do you think the services delivered at the holding centres are sufficient to meet the needs of the new arrivals? If no, what are the main gaps and what needs to be done to bridge these gaps?

Sector or sub-sector	Leading partner	New partners	Service gaps
Food security			
WASH			
Shelter / housing, land, and property			
Protection			
Child protection			

Energy		
Health and nutrition		

- 7. In your opinion, are humanitarian partners working in [settlement name], able to meet the needs of the newly arrived refugee households? Why or why not? *only for KIs in settlements*
 - a. Which, if any, partner is leading the response to the newly arrived refugees in each sector (WASH, protection and specifically child protection, shelter, housing land and property, energy, health, and nutrition)?
 - b. In your opinion, has the response in the settlement grown with the increasing needs? Please explain your answer.
 - i. Have new partners joined the response in this holding centre since the new arrivals started arriving late 2021, 2022?
 - ii. How do the funding levels relate to the levels of needs of the population in the holding centre?
 - c. How does the physical capacity (e.g., size) of the settlement match the number of new arrivals that require shelter?
 - d. Do you think the services delivered in this settlement are sufficient to meet the needs of the new arrivals? If no, what are the main gaps and what needs to be done to bridge these gaps?

Sector or sub-sector	Leading partner	New partners	Service gaps
Food security			
WASH			
Shelter / housing, land, and property			
Protection			
Child protection			
Energy			
Health and nutrition			

TOOL 3 - FOCUS GROUP DISCUSSION

Target population: any community members that have arrived in the settlement in question in 2022. E.g., "new arrivals". One FGD will be with women and the other with men.

Interviewer ID:	Location:
Date:	Group characteristics:

Start time:	Interpreter needed:
End time:	If yes, please specify the language that was interpreted:

My name is ____ and I am a staff member of IMPACT Initiatives. We are conducting a Rapid Needs Assessment targeting the population of new arrivals from DRC and South Sudan and currently being transferred to Nakivale refugee settlement and Rhino Camp. The objective of this interview is to document the immediate needs of the newly arrived refugees in terms of WASH, energy, and food security, and their medium- to long-term needs to build independent livelihoods.

To help us understand your experiences since you arrived in this refugee settlement we are conducting this focus group discussion today. Please be completely frank and say what you really feel. There are no right or wrong answers, and we are interested in and respect different perspectives. We therefore ask that only one person speaks at a time, and that everyone is allowed to finish their point. It is fine to disagree, but we should first listen to their point.

Be aware that all the information will be treated confidentially, your names will not be shared and the information will be reported from the group not from you as individuals. Your participation is voluntary, and you can choose not to participate or to only answer some questions. The session will take about one hour. If you are not sure what a question means, please ask and I will try and explain.

Does anybody have any questions?

If you do not have any questions, we may start the interview. During the interview you may choose to leave if you feel that you cannot complete the interview for any reason. However, I would be grateful if you take the time to complete the interview.

Note: When we ask about "members of your community" we mean to ask about all newly arrived refugee households who live in your settlement. By "newly arrived refugee households" we mean all households and individuals that have arrived since the beginning of 2022.

- 1. Why did members of your community decide to leave the holding centre and come to [settlement name]?
 - a. Why might members of your community decide to return to your area of origin? Do you think some members of your community will return to your country of origin soon?
 - b. What were the living conditions in the holding centre like?
 - c. Do the living conditions in [settlement name] match your expectations you had before you came to the settlement? Why or why not
- 2. Since you and your household arrived in [settlement name] in Uganda, has the humanitarian assistance you have received been very good, good, bad, very bad, or neither good nor bad? Please explain your answer.

 Interviewer: please insert the number of participants that gave each response

Very bad	Bad	Neither good or bad	Good	Very Good

- a. Are there members of your community who have special needs (a pregnant woman, an elderly person, children under five years old, a person with a disability etc.)? If so, have these needs been catered to?
- b. Please think about the timeliness and amount of assistance received. With this in mind, was the assistance you received satisfactory? Why or why not?
- c. What is the dynamic between staff in [settlement name] and members of your community when receiving assistance? If disrespectful behaviour was experienced, can you give an example?
- 3. Since you arrived in [settlement name], have there been any issues with service provision and if so, please name the areas in which services have not met your needs?
 - a. Please think about your needs for a safe shelter to sleep, food, cooking fuel, water to cook and to bathe with, a place to go to the bathroom, health et.
 - b. Which of these needs are the most urgent unmet needs in your community and why are these the most urgent?
 - c. How have the needs of the people in your community who have special needs been met? Please think about elderly individuals, pregnant or lactating mothers, people with disabilities, single-headed households, households with many children etc.
 - d. Where do you and other members of your community get information about the services available to you in [settlement name]?
 - e. How can you and other members of your community give feedback or lodge complaints about services provided to you in [settlement name]?
- 4. Do you and other members of your community have skills and items that could be useful to earning money in Uganda?
 - a. How did you and other members of your community earn money in your home country?
 - b. What was the most common way to earn a living amongst your community in your home country?
 - c. What is the average level of education amongst members of your community, and did you or any member of your household ever attend skills training or a vocational course? If yes, what was the training or course?
 - d. What is the most common level of education amongst community members in your country of origin?
- 5. If you or other members of your community were to stay in Uganda for much longer, what items and skills, would you need to make enough money to live here without receiving humanitarian assistance?

- a. Would you or other members of your community need physical assets like a vehicle (bicycle or motorcycle), agricultural equipment including tools and seeds, land, phones, identification documents, reference letters? Please explain why you would need each item.
- b. Would you or other members of your community need skills and trainings like financial literacy, digital literacy, business skills, apprenticeships etc.? Please explain why you would need each skill.
- c. If you or other members of your community need money, what specific things would you use that money for?
- 6. What factors prevent you or other members of your community from building livelihoods that would support your family without humanitarian assistance? By "livelihoods" we mean economic activities or activities that generate an income.
 - a. If you or other members of your community are currently not able to earn enough money to survive without humanitarian assistance, why is that?
 - b. What, if any, are the legal and official barriers preventing you or other members of your community from working or from accessing assets that you need to work?
 - c. Are jobs available in your community? If yes, does someone in your household or in your community have the skills necessary to do these jobs?
 - d. How does the pay of those people in your community who work relate to the cost of living or the cost of necessary items in the market?
 - e. For the members of your community who have livestock and or land that can be used to grow food, how does their food production compare to their household needs? How much are they producing and does your household or other households in your community produce enough food so that some of it can be sold on the market?