Research Terms of Reference

Urban Gozar Mapping AFG2306 Afghanistan

March 2022 Version 1



1. Executive Summary

Country of intervention	Af	Afghanistan					
Type of Emergency		Natural disaster	Х		Conflict		
Type of Crisis		Sudden onset			Slow onset X Protracted		
Mandating Body/ Agency	W	WFP					
Project Code	02	AZZ					
Overall Research	07	7/03/2023 to 31/12/2023					
Timeframe							
Research Timeframe							
Phase 1. Kunduz, Mazar	1.	Pilot/training: 30/05/2023			5. Data sent for validation: 03/07/2023		
Gozar Mapping	2.	Start collect data: 01/06/202	23		6. Outputs sent for validation: 15/07/2023		
	3.	Data collected: 15/06/2023			7. Outputs published: 30/07/2023		
	4.	Data analysed: 01/07/2023					
Phase 2. Kabul Gozar	1.	Pilot/training: 23/7/2023			5. Data sent for validation: 26/8/2023		
Mapping	2.	Start collect data: 25/7/2023	3		6. Outputs sent for validation: 7/9/2023		
	3. Data collected: 8/8/2023			7. Outputs published: 21/9/2023			
	4.	Data analysed: 24/8/2023					
Phase 3: Gozar Mapping	1.	Pilot/training: 20/8/2023			5. Data sent for validation: 23/9/2023		
of Herat, Jalalabad, Kandahar, Lashkargah,	2.	Start collect data: 22/8/2023	}		6. Outputs sent for validation: 5/10/2023		
Maymana, Puli-Khumri,	3.	Data collected: 5/9/2023			7. Outputs published: 19/10/2023		
Sheberghan and Taloqan	_	Data analysa dy 04/0/0002					
	4.	Data analysed: 21/9/2023					
Number of assessments	Х	Single assessment (one cy	/cle)):	: three phases of the same research cycle		
		Multi assessment (more th	an c	on	one cycle)		
Humanitarian milestones	Mi	ilestone			Deadline		
Specify what will the	Χ	Donor plan/strategy			31/12/2023		
assessment inform and when e.g. The shelter cluster will		Inter-cluster plan/strategy					
use this data to draft its		Cluster plan/strategy					
Revised Flash Appeal;		NGO platform plan/strateg	у				
	Αι	udience type			Dissemination		

Audience Type &	X Strategic	X Dissemination to WFP focial points
Dissemination Specify	X Programmatic	X General Product Mailing (e.g. mail to Donors,
who will the assessment	X Operational	ICCT, HCT)
inform and how you will disseminate to inform the	- Other Speciful	□ Cluster Mailing (Education, Shelter and WASH) and presentation of findings at next cluster meeting
audience		X Presentation of findings (e.g. at IMWG and AAWG meetings)
		X Website Dissemination (Relief Web & REACH Resource Centre)
Detailed dissemination	X Yes	□ No
plan required		
General Objective	on the gozar and informal settlement (ISE available key basic services at the sub-ur demographics of 'formal' communities—t well as infrastructure will better inform corprogramming in urban areas with clear agreement of the programming in urban areas with clear agreement of the proprietize populations at discommunity to prioritize populations at discommunity.	nd prioritization with evidence-based information ET) boundary delineation, demographics, and ban level. Mapping gozar boundaries, the hrough male and female leaders—and ISETs, as mmunity-based targeting for WFP's emergency oplications for supporting the wider humanitarian trict and sub-district levels who are most a lack of basic services and infrastructure, as
Specific Objective(s)	 To map out the perceived boundar informal settlement (ISET) and sh (Herat), Jalalabad (Nangarhar), K (Kunduz), Lashkargah (Helmand), N Khumri (Baghlan), Sheberghan (Jawz 2. To map out the key infrastructure av points and systems, healthcare faciliti markets, community centers, recreati 3. To identify the estimated number of Host Communities, Recent IDPs, P returnees, IDP returnees, Economic n Households within the eleven assess 4. To identify what makes a gozar and to lack of access to basic services an 	es, mosques, community centers, schools, roads, ional spaces/parks, and food distribution points). households of each population groups, including Prolonged IDPs, Protracted IDPs, Cross border nigrants, Refugees Nomads and Female-Headed and urban centers. household vulnerable to shocks and hunger due and infrastructure.
Research Questions	recognized by the community leaders What is the estimated number of peo 2.1. What is the settlement status a and informal settlement? (Object What is the location of key infrastruct food distribution points, etc.) within the 3.1. What are the characteristics of type of market, type of school, etc. What is the functionality of those medical treatment, available sc	ple living in each gozar and informal settlement? nd gender of the households living in each gozar ctive 3) cture (water, healthcare facilities, schools, roads, ne identified geographies? (Objective 2) f those infrastructures (e.g., type of water point, etc.)? se infrastructures (e.g., available water, available

	 Are there any areas around the Gozar or ISET that are unsafe for certain groups? (Objectives 2 and 3) What makes a gozar and household vulnerable to shocks due to lack of access to infrastructure and basic services, and what are the characteristics of a household experiencing hunger? (Objectives 2, 3 and 4) 								
Geographic Coverage	Eleven cities of eleven provinces: Herat (Herat), Jalalabad (Nangarhar), Kabul (Kabul), Kandahar (Kandahar), Kunduz (Kunduz), Lashkar Gah (Helmand), Maymana (Faryab), Mazar-i-Sharif (Balkh), Pul-i-Khumri (Baghlan), Sherberghan (Jawzjan), and Taloqan (Takhar).								
Secondary data sources	•	UN-Habitat, State of Afghan AREU, Urban Governance Programme and its Implemen REACH, Area Based Res February 2021	e in	Afgl on, Ju	nanis	stan: Assessing t 017	he N	New Urban Development	
Population(s)		IDPs in camp				IDPs in informal	sites	3	
Calant all that anniv		IDDs in heat communities			X	IDD-			
Select all that apply		IDPs in host communities			X	IDPs Refugees in info	rmal	citos	
		Refugees in camp Refugees in host communi	tipe		X	Refugees	ııııaı	Siles	
	X	Non-displaced (hosting)	1100	X Non-displaced (i			not hosting)		
	Х	Returnees			Х	. ,		• • • • • • • • • • • • • • • • • • • •	
Stratification Select type(s) and enter number of strata Data collection tool(s) Semi-structured data collection tool (s) # 1 Mapping	X Sa X	Geographical #: 11 urban centers (Kabul, Herat, Jalalabad, Kandahar, Kunduz, Lashkargah, Maymana, Mazar, Puli-Khumri, Sheberghan and Taloqan) Population size per strata is known? X Yes Structured (Quantitative) Impling method Purposive Snowballing [Other, Specify]	X	infordisp Pop strat	up # mal lace ulati a is es X	Female Community Leaders #: IDPs in			
Data management	Х	IMPACT				[Other, Specify] (/	
platform(s)									
		[Other, Specify]	1 1					I = #: #	
Expected output type(s)		Situation overview #:				#:		Profile #:	
		Presentation (Preliminary findings) #:				ation (Final) #:		Factsheet#:	
		Interactive dashboard #:_		Web	ma	p #:	Х	Map #: 11 city level mapping booklets	

						identifying Nahiya and Gozar boundaries, and key basic services infrastructure (1 per city)
	X	Datasets #: 11 dataset of quantitative information collected during mapping FGDs (1 per city)	Х	Shapefiles #: 11 shapefiles of digitized mapping FGD outcomes (1 per city)		
Access	Χ	Public (available on REACI	H re	source center and other hu	uman	itarian platforms)
		Restricted (bilateral disserron REACH or other platform		tion only upon agreed disse	emina	ation list, no publication
Visibility Specify which	RI	REACH				
logos should be on outputs	Do	onor: WFP				

2. Rationale

2.1. Rationale

The compounding effects of chronic poverty, increasing climate shocks such as drought and flooding, and the recent economic crisis have generated desperate needs and vulnerabilities across the country. Despite the considerable scale up in provision of humanitarian assistance following the take-over by the new De facto Authorities (DfA) in August 2021, the Afghan population is characterized by limited coping capacity, barriers to accessing basic services, and poor food security outcomes. According to the 2023 Afghanistan Humanitarian Needs Overview, 28.3 million people are currently in need of humanitarian assistance, an estimated 6.1 million of them are residing in urban areas.

Increasing urbanization trends across Afghanistan have resulted in approximately 24% of the population, or 9.9 million people, living in cities. Around a third of WFPs national caseload for emergency programming covers populations in main urban centres, including: Herat (Herat), Jalalabad (Nangarhar), Kabul (Kabul), Kandahar (Kandahar), Kunduz (Kunduz), Lashkar Gah (Helmand), Maymana (Faryab), Mazar-i-Sharif (Balkh), Pul-i-Khumri (Baghlan), Sherberghan (Jawzjan), and Taloqan (Takhar). Whilst formal boundaries for police districts (PD), or 'Nahiyas', exist, the increasing density of many urban networks means that more granular territorial entry points are required to effectively inform community-based targeting. Within Afghanistan, the Sub-Nahiya level is known as the 'Gozar' or 'community'. Whilst the concept is widely understood at local level, there is limited publicly available and standardized geo-spatial data that outlines the boundaries of Gozars and provides an overview of demographics and access to basic services for the populations within them. Different from ISETs, gozars are formally recognized by municipal governments in which their leadership (wakil-i-gozars) participates. Gozars do not necessarily conform to the main criteria for ISETs in Afghanistan: sub-standard shelter, lack of secure tenure, perception of the site as informal, and inclusion of displaced groups. This distinction is defined in more detail below in Table 2.

REACH in coordination with WFP is implementing an urban Gozar mapping exercise to inform community-based targeting for WFP's emergency programming in urban areas, with clear applications for supporting the wider humanitarian community. REACH will follow an integrated community-based approach for this assessment to ensure a full understanding of the boundaries, population figures and existing infrastructure within eleven urban centres, REACH will map out Gozars and ISETs through organising participatory mapping focus group discussions (MFGDs) with community leaders. In addition to host and ISET community leaders, this exercise will include both male and female community leaders in order to better understand how gender informs the needs and basic services of communities at the sub-district level vis-à-vis various types

¹ Provisional HNO 2023 figures. Unpublished.; OCHA, Afghanistan: Humanitarian Response Plan 2022 Response Overview (1 January – 31 August 2022). Available here.

² UNOCHA, Afghanistan Humanitarian Needs Overview 2023 (January 2023)

of infrastructure, including water, health, education, markets, recreational spaces, food distribution points, and transportation.

3. Methodology

3.1. Methodology overview

The assessment will use a mixed method approach consisting of:

- a) In-depth secondary data review to gather available information on neighborhood mapping;
- b) A qualitative participatory mapping exercise with Wakil-i-Gozars and ISET community leaders to identify boundary delineations, population figures and key basic infrastructures in the urban neighborhoods of the target cities to understand what makes a community vulnerable:
 - In each Nahiya, three MFGDs in total will be conducted one with male and one with female Wakil-i-Gozars/community leaders - to understand differences in their perceptions, awareness, and access to basic services.
 - ii. One MFGD will be conducted in ISET level with male community leaders, focusing on the ISET boundaries and location of basic services, additional information regarding population figures and access (for both men and women) will be available from the REACH facilitated ISET Sweep Assessment (forthcoming May/June 2023).

While most Nayihas are anticipated to consist of 30 Gozars or less, one additional MFGD will be conducted should this number be exceeded. In case of more than 50 Gozars, at least four separate MFGDs will be conducted. The total number will be determined using a list of wakil-i-gozars prior to data collection.

REACH will explore all possible options to conduct MFGDs with female participants, recognizing that this may not be feasible in all Nahiyas due to social norms and the current ban on female NGO/UN workers thus. While the perspectives of women is key in understanding their accessibility and needs, previous similar assessment have shown that male participants were often better informed about administrative boundaries and public infrastructures. In locations where female MFGDs may not be feasible, reliable results in line with the proposed objectives should still be obtained.

Table 1: Target sampling overview

Location	Population group	Gozar MFGDs	ISET MFGDs	Number of Nahiya	Total MFGDs
Herat (Herat)	Community leaders	2	1	15	45
Nangarhar (Jalalabad)	Community leaders	2	1	8	24
Kabul (Kabul)	Community leaders	2	1	22	66
Kandahar (Kandahar)	Community leaders	2	1	15	45
Helmand (Lashkargah)	Community leaders	2	1	16	48
Faryab (Maymana)	Community leaders	2	1	10	30
Kunduz (Kunduz)	Community leaders	2	1	8	24
Balkh (Mazari- Sharif)	Community leaders	2	1	10	30
Baghlan (Pule-Khumri)	Community leaders	2	1	6	18
Jawzjan (Sheberghan)	Community leaders	2	1	4	12
Takhar (Taloqan)	Community leaders	2	1	6	18
Total				120	360

Population of interest

To map out the Gozars and ISETs of 11 target cities, REACH will first review secondary data to identify existing Nahiya within each city. REACH will then contact the local municipal and district leadership to inform them about the exercise and identify community leaders (Wakil-i-Gozars) of each Gozar and ISET with in-depth understanding of their neighborhood to participate in the mapping focus group discussion. REACH will use its learning from the Whole of Afghanistan Assessment 2022 to triangulate the urban boundaries and also include new Nahiyas and its Gozars falling under urban boundaries created after the takeover by the de-facto authorities.

The following area definitions will be used throughout the assessment:

Table 2: Area definitions

Status	Definition			
Nahiya	City district level within a municipal or administrative boundary through which local services are managed and distributed.			
Gozar	Neighbourhood which is also a sub-division of the Nahiya and is recognized by municipality. It has a specific number of households living in it, has streets/roads, and the community that lives within the Gozar. This community that belongs to the Gozar has a shared sense of belonging to the Gozar, shares the same community representative (wakil-e-Gozar), and solves problems together.			
ISET	Defined as having the following characteristics: • Shelter is sub-standard (critical shelter) • Lack secure tenure for their shelter and/or tenure recognized as informal • Perception of site as informal or unplanned by government and/or wider community • Displacement: presence of IDPs (as defined by the Guiding Principles on Internal Displacement)			

The population groups are defined in greater detail below:

Table 3: Population group definition

Status	Definition
Host community	Members of the community considered to originate form this location.
Recent IDPs	Afghan Nationals who have been forced to flee or to leave their homes or places of habitual residence in the last 6 months.
Prolonged IDPs	Afghan Nationals who were forced to leave their homes between 6 months and 2 years ago.
Protracted IDPs	Afghan Nationals who were forced to leave their homes a minimum of 2 years ago have since managed to re-establish their lives in new locations. They may or may not own land or hold well-paying jobs but are not considered by local residents to be part of the host community or be from the area.
Cross border returnees	Afghan Nationals that have returned to Afghanistan after living in other countries.
IDP returnees	Afghan nationals within Afghanistan returned to their area of origin.

Economic migrants	Afghan Nationals who have left their homes by choice to seek employment or obtain money or resources. They did not leave home due to the threat of violence, human right violations, or man-made disasters.
Refugees	Nationals of other countries outside of Afghanistan who have been displaced and fled their countries and are now residing in Afghanistan.
Nomad	Afghan nationals that traditionally do not have a fixed home or location of living, and regularly move throughout the country as part of their livelihoods. For instance, Kuchi populations.

Secondary data review

The following resources will be reviewed as part of the secondary review phase to build a contextual basis for the analysis of primary data. These sources will provide context for the elaboration of the MFGD questionnaire as well as provide background for the analysis of results.

Table 4: Data sources for secondary data review

Source	Document			
UN-HABITAT	State of Afghan Cities Report: Volume I, December 2015			
UN-HABITAT	State of Afghan Cities Report: Volume II, December 2015			
REACH	Area Based Response Assessment and Planning Framework, Afghanistan, February 2021			
OCHA	Pilot Initiative: Area-Based Response in Afghanistan, February 2021			
AREU	<u>Urban Governance in Afghanistan: Assessing the New Urban Development</u> <u>Programme and its Implementation, June 2017</u>			
Integrity Watch Afghanistan	Review of Wakil-e-Gozars' Duties and Their Relationship with Administrative Corruption, 2013			
Nagoya Institute of Technology	A Study on Neighborhood Functions of "Gozars" in Kabul, Afghanistan, Shahab and Kaneda, 2015			
FMO	Thematic Guide: Camps versus settlements, Anna Schmidt			
Task Force on the Kabul Informal Settlements	Winter Assistance in the Kabul Informal Settlements, January 2016			
UNOCHA	Afghanistan: Humanitarian Needs Overview (2023)			
UNOCHA	Afghanistan: Humanitarian Needs Overview (2021)			
UNOCHA	Afghanistan Humanitarian Response Plan 2021			
UNOCHA	Afghanistan Humanitarian Response Plan 2022			
IOM DTM	Afghanistan: Informal Settlements Infosheet, Round 9, October - December 2019			
Global Shelter Cluster	Settlements Approach Guidance Note, December 2020			

3.2 Primary Data Collection

The REACH team will engage and mobilize community leaders of the Gozars and ISETs for MFGDs by using the REACH database from previous assessments and liaising with the municipalities, and other key stakeholders. Each mapping exercise will involve ten to twelve individuals, chosen based on the criterion of a "community leader" who is someone with

in-depth knowledge on the area where they reside. The participants of each MFGD should be representatives of different Gozars and ISETs within one Nahiya. To include a diverse range of perspectives REACH will aim to conduct three MFGDs in each Nahiya, one with male community leaders, one with male ISET community leaders, and one with female community leaders for each Nahiya, where possible, keeping in mind social norms and the ongoing ban on female NGO staff.

MFGDs will take place in ACTED/IMPACT offices or in relevant Nahiya's premises where possible. It will be conducted by a facilitator and note taker. A GIS officer will also be present if possible. With the consent of participants, the discussion will be recorded to enable retrospective checks. This qualitative data will be recorded both on the map and in the mapping exercise transcript while quantitative data (such as population numbers) will be recorded in KOBO tool (see Figure 1).³

After gaining consent and reaching consensus on the MFGD rules, the facilitator will begin by familiarizing the participants with the corresponding Nahiya reference map. The facilitator will then proceed to work through the topic guide and the associated probes, following the allocated time for each section. The facilitator will allow the conversation to be led by the participants, although ensuring the participation of all attendees. REACH will conduct 20 spot-checks for infrastructure in each urban centre, prioritizing conflicting points for physical field verification.

Facilitators and transcribers will be requested to complete the debrief form after each mapping exercise. This form will help to fine tune the question route (including whether the participants understood the questions, flagging any missing key points from the discussion), understand group dynamics, and improve set-up of MFGDs.

AFGHANISTAN - Ballsh Province
Manuer-Short District / Mahiya 60 01 / ABR (Set Mapping - Nev 2021)

Figure 1: MFGD notes from Balkh province, November 2021

3.4. Data Processing & Analysis

³ Please refer to the tool with components to be recorded in KOBO marked in purple.

All of the data from maps will be digitized into a database. Firstly, field teams will take photographs of maps produced during MFGDs and then send them to a GIS officer for uploading the data into GIS software. The GIS Officer will compile results from all MFGDs and look for overlapping boundaries. In case there is a good saturation of data then the GIS team will proceed with the mapping of the area. If there is conflict or no data saturation, a spot-check will be conducted by a local staff or GIS officer to validate the information. In line with IMPACT guidelines all qualitative data will be reviewed, processed, translated into English without paraphrasing or summarising on daily basis and then analysed by the Project Specialist using Data Saturation and Analysis Grid (DSAG).

Output production

As a result of the assessment, following products will be developed:

- 11 x City level mapping booklets identifying Nahiya and Gozar boundaries, key basic services infrastructure and findings of protection and vulnerability to shocks and hunger (1 per city)
- 11 x shapefiles of digitized mapping FGD outcomes (1 per city)
- 11 x dataset of quantitative information collected during mapping FGDs (1 per city)

4. Roles and responsibilities

Table 5: Description of roles and responsibilities

Task Description	Responsible	Accountable	Consulted	Informed
Research design	Assessment Officer and Project Specialist	Research Manager	WFP GIS Officer Deputy Country Coordinator IMPACT HQ	HLP Cluster
Supervising data collection	Senior Project Officer	Programme Manager	Project Specialist	Research Manager, Deputy Country Coordinator
Data processing (checking, cleaning)	Senior Project Officer	Find the second state of t		Research Manager, Deputy Country Coordinator
Data analysis qualitative/quantitative	Assessment Officer and Project Specialist	Research Manager	GIS Officers, Database Officer, IMPACT HQ	Deputy Country Coordinator
Output production	Assessment Officer and Project Specialist Research Manager WFP GIS Officers, Database Officer, Deputy Country Coordinator, IMPACT HQ		Country Coordinator	
Dissemination Assessment Officer and Project Specialist Project Specialist Manager WFP, Deputy Country Co		WFP, Deputy Country Coordinator, Country Coordinator	IMPACT HQ	

Monitoring &	Assessment Officer and	Assessment Officer and Research		IMPACT
Evaluation	Project Specialist Manager Country Coordinator			HQ
Lessons learned	Assessment Officer and Project Specialist	Research Manager	WFP, GIS Officers, Database Officers, Senior Project Officer, Program Manager Deputy Country Coordinator	IMPACT HQ

Responsible: the person(s) who executes the task

Accountable: the person who validates the completion of the task and is accountable of the final output or milestone

Consulted: the person(s) who must be consulted when the task is implemented **Informed:** the person(s) who need to be informed when the task is completed

5. Data Analysis Plan

The data analysis plan will be finalized after the development of the tool, which is in progress. (See draft shared).

6. Data Management Plan

7. Monitoring & Evaluation Plan

IMPACT Objective	External M&E Indicator	Internal M&E Indicator	Focal point	Tool	Will indicator be tracked?
	Number of humanitarian organisations accessing IMPACT services/product s Number of individuals accessing IMPACT services/product s	# of downloads of x product from Resource Center	Country request to HQ		x Yes
11		# of downloads of x product from Relief Web	Country request to HQ		□ Yes
Humanitarian stakeholders		# of downloads of x product from Country level platforms	Country team		□ Yes
are accessing IMPACT		# of page clicks on x product from REACH global newsletter	Country request to HQ	User_log	x Yes
products		# of page clicks on x product from country newsletter, sendingBlue, bit.ly	Country team		□ Yes
		# of visits to x webmap/x dashboard	Country request to HQ		□ Yes
IMPACT activities contribute to	Number of humanitarian	# references in HPC documents (HNO, SRP, Flash appeals, Cluster/sector strategies)			
better program implementati on and coordination of the	organisations utilizing IMPACT services/product s	# references in single agency documents	Country team	Reference_log	X Yes

humanitarian					
response					
Humanitarian stakeholders are using IMPACT products	Humanitarian actors use IMPACT evidence/produc ts as a basis for decision making, aid planning and delivery Number of humanitarian documents (HNO, HRP, cluster/agency strategic plans, etc.) directly informed by IMPACT products	Perceived relevance of IMPACT country-programs Perceived usefulness and influence of IMPACT outputs Recommendations to strengthen IMPACT programs Perceived capacity of IMPACT staff Perceived quality of outputs/programs Recommendations to strengthen IMPACT programs	Country team	Usage_Feedba ck <i>and</i> Usage_Survey template	The usage survey to be implemente d for this research cycle related to all output.
Humanitarian stakeholders are engaged in IMPACT programs throughout the research cycle	Number and/or percentage of humanitarian organizations	# of organisations providing resources (i.e. staff, vehicles, meeting space, budget, etc.) for activity implementation	Country team	Engagement_I og	□ Yes
	directly contributing to IMPACT	# of organisations/clusters inputting in research design and joint analysis			X Yes
	programs (providing resources, participating to presentations, etc.)	# of organisations/clusters attending briefings on findings;			X Yes