

Research Terms of Reference

Profiling the Population and Displacement Situation

UKR2315b

Ukraine

February 2024

V1

REACH Informing
more effective
humanitarian action

1. Executive Summary

| | | | | | |
|----------------------------|---|--|--|------------|--|
| Country of intervention | Ukraine | | | | |
| Type of Emergency | <input type="checkbox"/> | Natural disaster | <input checked="" type="checkbox"/> | Conflict | <input type="checkbox"/> Other (specify) |
| Type of Crisis | <input type="checkbox"/> | Sudden onset | <input type="checkbox"/> | Slow onset | <input type="checkbox"/> Protracted |
| Mandating Body/ Agency | N/A | | | | |
| IMPACT Project Code | UKR2315b | | | | |
| Overall Research Timeframe | 01/03/2024 to 20/05/2024 | | | | |
| Research Timeframe | 1. Pilot/ training: 01/03/2024 | | 6. Preliminary presentation: 06/05/2024 | | |
| | 2. Start collect data: 04/03/2024 | | 7. Outputs sent for validation: 13/05/2024 | | |
| | 3. Data collected: 04/04/2024 | | 8. Outputs published: 20/05/2024 | | |
| | 4. Data analysed: 15/04/2024 | | | | |
| | 5. Data sent for validation: 26/04/2024 | | | | |
| Number of assessments | <input checked="" type="checkbox"/> | Single assessment (one cycle) | | | |
| | <input type="checkbox"/> | Multi assessment (more than one cycle) [Describe here the frequency of the cycle] | | | |
| Humanitarian milestones | Milestone | | Deadline (can be tentative) | | |
| | <input type="checkbox"/> | Donor plan/strategy | _/_/_/_/_ | | |
| | <input checked="" type="checkbox"/> | Inter-cluster plan/strategy | 09/03/2024 | | |
| | <input type="checkbox"/> | Cluster plan/strategy | _/_/_/_/_ | | |
| | <input type="checkbox"/> | NGO platform plan/strategy | _/_/_/_/_ | | |
| | <input type="checkbox"/> | Other (Specify): | _/_/_/_/_ | | |
| | | Audience type | Dissemination | | |

| | | | | |
|--|--|-----|---|----|
| Audience Type & Dissemination | X Strategic X Programmatic <input type="checkbox"/> Operational <input type="checkbox"/> [Other, Specify] | | X General Product Mailing (e.g. mail to NGO consortium; HCT participants; Donors) X Cluster Mailing (Data for Solutions and Recovery Working Group; Community Planning for Durable Solutions and Recovery Working Group; Housing, Land, and Property Working Group; Protection Cluster; Age and Disability Technical Working Group; CCCM Cluster) X Presentation of findings (Data for Solutions and Recovery Working Group; Community Planning for Durable Solutions and Recovery Working Group; Sub-national Protection Cluster) X Website Dissemination (Relief Web & REACH Resource Centre) <input type="checkbox"/> [Other, Specify] | |
| Stakeholder mapping <i>Has a detailed stakeholder mapping been conducted during research design to identify all actors that could contribute to and/or benefit from the research?</i> | <input type="checkbox"/> | Yes | X | No |
| General Objective | To inform the strategic and programmatic response of local actors by profiling and comparing relevant population groups (IDPs, returnees, and host communities), understand preferred movement intentions, and analyse differences in experiences and needs in Borodianska and Chuhuivska hromadas. | | | |
| Specific Objective(s) | <ol style="list-style-type: none"> 1. Provide a profile of internally displaced persons (IDPs), returnee and host community households by identifying core demographic data, and information surrounding socio-economic status, access to employment, accommodations, basic services and to understand the level of social cohesion and participation in civic engagement. 2. Investigate the factors influencing the movement intentions of IDP, returnee, host community members and those displaced from the hromada with the aim of identifying settlement intentions and plans as well as the push and pull factors that could trigger onward movement, return, or local (re)integration. 3. Offer a comparative analysis focusing on internally displaced, returnee, and host community household experiences to identify vulnerabilities from their displacement, reintegration and long-term settlement. 4. Help inform the strategic and programmatic responses at the hromada level, as well as the international and national organisations on profiling the displacement and return situation at the local level. 5. Pilot a profiling exercise of displacement and returns to support government-led capacity building initiatives at the hromada level in other areas within Ukraine. | | | |
| Research Questions | <ul style="list-style-type: none"> • What is the demographic profile, including self-reported vulnerabilities, of displaced populations, returnees and host community? • What are the desired movement intentions of IDPs, returnees, host community members, and households displaced from the hromada in the medium to long term? What are the primary push and pull factors of those considering relocation from their current location? | | | |

| | |
|-------------------------------|--|
| | <ul style="list-style-type: none"> What is the level of integration of households displaced from the hromada concerning accommodation, livelihoods, and community relations? What are the primary reasons influencing non-returnees' decision to return to the hromada, or remain in their current location? What is the current socio-economic status of displaced, returnees, and host community groups? What is their level of participation within the labour force? What are the predominant accommodation types accessed by households? What are the barriers and challenges, if any, in obtaining or maintaining adequate and affordable housing, and how does this differ for households with specific vulnerabilities? What is the level of access to public services provided by the local government (i.e., healthcare, administrative and social services) and what challenges prevent accessibility? What targeted support is required to overcome these challenges, if any? How are displaced and returnee populations participating in public affairs and activities that impact decision-making processes i.e., local public meetings? What are the self-perceived relations amongst displaced, returnees, and host community? |
| Geographic Coverage | In collaboration with the Community Planning for Durable Solutions and Recovery Working Group (CPDSR WG), REACH's Profiling assessment will zone into Bordianska and Chuhuivska hromadas to inform programming towards early recovery and the achievement of durable solutions for displaced and returnee populations. |
| Secondary data sources | <ul style="list-style-type: none"> REACH, Arrival and Transit Monitoring: Round 9, June 2023. CCCM Cluster, Collective Site Monitoring: Round 8, May 2023. CCCM Cluster, IDP Collective Site Monitoring Dashboard, October 2022. REACH, Area-Based Assessment: Lvivska oblast, February 2023. REACH, Area-Based Assessment: Kharkiv, November 2022. REACH, Area-Based Assessment: Dnipropetrovska oblast, August 2022. REACH, Humanitarian Situation Monitoring - Focus on areas closer to the front line, April 2023. [English translation] Cabinet Ministers of Ukraine, Strategy on the Government Policy on Internal Displacement (2023-2025), 7 April 2023. International Organization for Migration (IOM), Ukraine Internal Displacement Report, General Population Survey Round 13, July 2023. International Organization for Migration (IOM), Ukraine – Conditions of Returns Assessment Factsheet – Round 2, June 2023. International Organization for Migration (IOM), Ukraine – Returns report, June 2023. UNHCR, Lives on hold: Intentions and perspectives of refugees and IDPs from Ukraine, 6 July 2023. OCHA, Ukraine Situation Report, July 2023. Official websites and social accounts of national, oblast-level or hromada-level authorities, including Telegram and Facebook. Daily news briefs and articles in local and international media. Joint IDP Profiling Service (JIPS), Durable Solutions Indicators & Guide, 2018. Inter-Agency Standing Committee (IASC), IASC Framework on Durable Solutions for IDPs - World ReliefWeb, April 2010. Administrative data in the selected hromadas <p><i>Population estimates:</i></p> <ul style="list-style-type: none"> Leasure et al., Nowcasting daily population displacement in Ukraine through social media advertising data, 06 April 2023. [English translation] Ministry of Social Policy Ukraine, Information and Computing Center of the Ministry of Social Policy Ukraine - IDP Dashboard, Accessed 25 June 2023. International Organization for Migration (IOM), Ukraine – Area Baseline Assessment (Raion level) - Round 26, July 2023. |
| Population(s) | <input type="checkbox"/> IDPs in camp <input type="checkbox"/> IDPs in informal sites |
| Select all that apply | <input checked="" type="checkbox"/> IDPs in host communities <input type="checkbox"/> IDPs [Other, Specify] |

| | | | | |
|--|--------------------------|------------------------------|--------------------------|----------------------------|
| | <input type="checkbox"/> | Refugees in camp | <input type="checkbox"/> | Refugees in informal sites |
| | X | Refugees in host communities | <input type="checkbox"/> | Refugees [Other, Specify] |
| | X | Host communities | X | Returnees |

| | | | | | | |
|---|---|---|---|---|--------------------------|--|
| Stratification <i>Select type(s) and enter number of strata</i> | X | Geographical #: 2 Borodianska and Chuhuivska hromada Population size per strata is known? X Yes <input type="checkbox"/> No | X | Population group: 5 IDPs in host community Host community Returnees Mixed-households (host community + returnee members) Displaced households from the hromada residing abroad/ within Ukraine Population size per strata is known? <input type="checkbox"/> Yes X No | <input type="checkbox"/> | Settlements #: 5 Borodianska Druzhnia Zahaltsi Chuhuiv Velyka Babka Population size per strata is known? X Yes <input type="checkbox"/> No |
|---|---|---|---|---|--------------------------|--|

| | | | | |
|--------------------------------|---|---------------------------|---|-------------------------------|
| Data collection tool(s) | X | Structured (Quantitative) | X | Semi-structured (Qualitative) |
|--------------------------------|---|---------------------------|---|-------------------------------|

| | Sampling method | Data collection method |
|--|--|---|
| Semi-structured data collection tool (s) # 1 KI Questionnaire | X Purposive <input type="checkbox"/> Snowballing <input type="checkbox"/> [Other, Specify] | X Key informant interview (Target: 16): Borodianska hromada: 6 KIIs Chuhuivska hromada: 10 KIIs <input type="checkbox"/> Individual interview (Target #): _____ <input type="checkbox"/> Focus group discussion (Target #): _____ <input type="checkbox"/> [Other, Specify] (Target #): _____ |
| Semi-structured data collection tool # 2 FGD Questionnaire #1 | X Purposive <input type="checkbox"/> Snowballing <input type="checkbox"/> [Other, Specify] | <input type="checkbox"/> Key informant interview (Target #): _____ <input type="checkbox"/> Individual interview (Target #): _____ X Focus group discussion (Target 4): 2 per hromada including 6-8 participants per FGD including IDPs and returnees <input type="checkbox"/> [Other, Specify] (Target #): _____ |
| Semi-structured data collection tool # 3 FGD Questionnaire #2 | X Purposive <input type="checkbox"/> Snowballing <input type="checkbox"/> [Other, Specify] | <input type="checkbox"/> Key informant interview (Target #): _____ <input type="checkbox"/> Individual interview (Target #): _____ X Focus group discussion (Target 2): 1 per hromada including 6-8 participants per FGD including individuals displaced from the hromada either abroad or within Ukraine <input type="checkbox"/> [Other, Specify] (Target #): _____ |
| Structured data collection tool # 1 | <input type="checkbox"/> Purposive <input type="checkbox"/> Probability / Simple random X Probability / Stratified simple | <input type="checkbox"/> Key informant interview (Target #): ____ <input type="checkbox"/> Group discussion (Target #): _____ X Household interview (Target 867): Stratified at the settlement level |

| | | | | |
|---|---|--|---|---------------------------------|
| Household level survey | random sample <input type="checkbox"/> Probability / Cluster sampling <input type="checkbox"/> Probability / Stratified cluster sampling | | (Borodianka, Druzhnia and Zahaltsi) <input type="checkbox"/> Individual interview (Target #):_____ <input type="checkbox"/> Direct observations (Target #):_____ | |
| Target level of precision if probability sampling | 95% Confidence interval | | 5% Margin of error | |
| Disaggregation by gender and age <i>Are you planning to conduct sex/age disaggregated analysis?</i> | Gender | | Age | |
| | <input type="checkbox"/> | Yes | <input type="checkbox"/> | Yes |
| | X | No | X | No |
| Data management platform(s) | X | IMPACT | <input type="checkbox"/> | UNHCR |
| | <input type="checkbox"/> | [Other, Specify] | | |
| Expected output type(s) | X | Situation overview #: 1 - Borodianska hromada | <input type="checkbox"/> | Report #: __ |
| | | | <input type="checkbox"/> | Brief #: 1 – Chuhuivska hromada |
| | X | Presentation (Preliminary findings) #: 2 (one output per hromada) | <input type="checkbox"/> | Presentation (Final) #: __ |
| | | | <input type="checkbox"/> | Factsheet #: __ |
| | <input type="checkbox"/> | Interactive dashboard #: __ | <input type="checkbox"/> | Webmap #: __ |
| | <input type="checkbox"/> | [Other, Specify] #: __ | | |
| Access | X | Public (available on REACH resource center and other humanitarian platforms) | | |
| | <input type="checkbox"/> | Restricted (bilateral dissemination only upon agreed dissemination list, no publication on REACH or other platforms) | | |
| Visibility <i>Specify which logos should be on outputs</i> | REACH | | | |
| | Donor: BHA | | | |
| | Coordination Framework: BHA | | | |
| | Partners: N/A | | | |

2. Rationale

2.1 Background

Since February 2022, the full-scale war in Ukraine has resulted in large-scale displacement, resulting in an estimated 3.6 million individuals remaining internally displaced as of December 2023.¹ Of those, 115,081 individuals are residing in collective sites across the country as of January 2024,² resulting in the majority of internally displaced families residing in private accommodation. While various areas of Ukraine are considered relatively safe and stable, the country's volatile security context in areas closer to the front line has heavily influenced the decisions surrounding movement intentions, as most IDPs (57%) intend to remain in their current location.³ With prolonged displacement, there is an increasing need to understand the support systems required to promote IDPs' integration into host communities. At the national level, Ukraine's move towards recovery and reconstruction has led to a shift of state policies towards durable solutions and recovery efforts for displaced populations. Thus, the rise in the need for information to profile displaced people and to inform the programmatic direction at the local level, particularly at the hromada level, remains. Following discussions with relevant partners, including Ukraine's Community Planning for Durable Solutions and Recovery Working Group (CPDSR WG) and the Data for Solutions and Recovery Working Group (D4SR WG), REACH set up the profiling assessment to provide a profile at the hromada level concerning varying population groups in the following hromadas: Borodianska and Chuhivska. In Borodianska, a household-level survey will be incorporated with a representative sample at the settlement level in three settlements within the hromada. Considering the period of occupied and damage/ destruction to residential infrastructure in Borodianska hromada's situation, this assessment will continue to encompass IDPs and host community population groups, as well as returnees and blended households (including non-displaced and returnee members). In addition, focus groups discussions (FGDs) with population groups identified as a priority for local authorities in Borodianska and Chuhivska hromadas, which may encompass IDPs, returnees, and those that have not returned to the hromada. In Borodianska hromada, the FGDs aim to provide complementary data to the quantitative household-level survey. This component will focus on capturing the contextual factors and household challenges concerning their integration into the hromada related to access to accommodation, employment, and the level of social cohesion in the community.

2.2 Intended impact

Analytical lens

Area-based analysis: The profiling assessment within each of the two hromadas aims to comprehensively grasp the characteristics of IDP, returnee and host community households and their overarching vulnerabilities, including core demographic data, and information surrounding socio-economic status, access to employment, accommodations, basic services and to understand the level of social cohesion and participation in civic engagement. In addition, taking into account the specific local geographical, economic, and other contextual factors at the hromada level.

Joint analysis and capacity building at the hromada level: The assessment has been developed through a collaborative approach, including consultations with actors at the local level. Through this, a joint analysis of the findings is intended to be implemented, followed by a capacity building efforts for hromada level actors to conduct profiling assessments on an ad hoc basis, given the everchanging movement and displacements trends due to the protracted nature of the conflict.

Comparative analysis: For certain indicators related to access to employment, services, accommodations and social cohesion, the quantitative household level data will allow a comparative analysis between the settlements, as well as between IDP, returnees and non-IDP population groups within each hromada.

Outputs

Based on the information needs of actors within each hromada, as well as general trends observed concerning the profiling assessment,

¹ International Organization for Migration (IOM), Feb 08 2024. [DTM Ukraine — Internal Displacement Report — General Population Survey Round 15 \(November – December 2023\)](#). IOM, Ukraine.

² According to the Ukraine CCCM National Cluster Master List estimates.

³ International Organization for Migration (IOM), Feb 08 2024. [DTM Ukraine — Internal Displacement Report — General Population Survey Round 15 \(November – December 2023\)](#). IOM, Ukraine.

the outputs will be presented in a written analysis to inform the response at the local level. One situation overview, one brief and preliminary findings presentation for dissemination activities will be produced:

- **Hromada-level outputs:** Following the profiling assessment, a situation overview will be produced for Borodianska hromada and a brief for Chuhuivska hromada. Due to the qualitative nature of the data for Chuhuivska hromada, a 2-page brief/ profile will be developed to contextually highlight the situation concerning varying population groups.
- **Dissemination presentations:** Detailed presentations will be produced to summarise the findings at the hromada level to present overall trends to national and local actors, including cluster and working group partners in the respective sub-national areas.

Outcomes

Contribute to the knowledge-sharing and response efforts of national and international actors within each hromada. By informing partners working to support durable solutions, this REACH assessment intends to provide an overview of the displacement and returnee situation, along contextual factors in each hromada impacting their (re)integration.

3. Methodology

3.1 Methodology overview

Given the vast differences of the displacement and returnee situation across various areas within Ukraine, a context specific approach will be required to adapt the methodology in selected hromadas. The profiling assessment will be carried out through a collaborative approach with local actors to identify an appropriate methodology depending on the context and information needs through the following four steps:

1. **Prior to data collection, a comprehensive secondary data review and consultations with local actors will be conducted to develop the methodology based on priorities in Borodianska and Chuhuivska hromadas.** Available secondary data regarding population estimates, general socio-economic and employment landscape, available programmes for affected populations and resources, damage to infrastructure and housing funds, access to humanitarian assistance and services, as well as additional relevant sources will be considered to develop a comprehensive understanding of the context within each hromada. While striving to align the assessment with the CPDSR WG, collaboration with municipal representatives and actors at the sub-national level to support strategic programming on durable solutions, will also take place to identify the rise in information needs to support evidence-based decision-making concerning durable solutions programming. Following the review of the context and consultations with relevant actors, the research design, including the methodology, will be solidified. Continuous engagements with these actors will take place throughout to mitigate any changes in priorities during the data collection process.
2. Previous engagements will help to inform the second step, which focuses on **semi-structured interviews with key informants (either in person or via telephone) as a 'scoping tool' to gather supplementary data on the context and barriers pertaining to the identified objectives voiced through representatives of governmental and non-governmental organizations on assisting displaced and returnee populations groups.** The key informant interviews will also further identify the information needs, complementary to the previous engagements with local authorities and sub-national actors.
3. **Face-to-face structured household interviews with IDPs, returnees, and host community members will be administered based on a representative stratified random sample in selected settlements within Borodianska hromada.** Stratification of the sampling approach will be based on the settlement level (Borodianka, Druzhnia, Zahaltsi). While the representative sample will not be stratified at the household level based on displacement status, the percentages of each population group will be considered within the analysis.⁴ While recent population estimates were only provided from 2023, the geo-sampling approach will help estimate the proportion of the population group within each settlement (e.g., IDPs, returnees, host communities, and blended households (non-displaced and returnee members)). Indicators include core demographics, displacement history, preferred movement intentions, access to education, accommodation, public services, economic and livelihood opportunities as well as the level of social cohesion within the hromada. Household interviews were included in Borodianska hromada and not in Chuhuivska hromada due to its volatile security situation. As a hromada in close

⁴ In the event that a population group does not meet the threshold of 30 interviews, results will be omitted due to the lack of statistical power within the findings.

proximity to the frontline area, settlements remain heavily mined, thereby would limit the assessment coverage of the hromada.⁵ The tool will be translated into both Ukrainian and Russian.

4. Three separate **focus group discussions, each with 6-8 participants per FGD in Borodianska and Chuhuivska hromadas. In Borodianska hromada, FGDs will include participants identified as IDPs, returnees, and those displaced from the hromada and moved elsewhere in the country/ abroad. In Chuhuivska hromada FGD participants will include IDPs residing in either urban/ rural areas, as well as those displaced from the hromada and moved elsewhere in the country/ abroad (see 3.2 Population of interest). For this component, there are two separate tools which will ask relevant questions to capture the experiences of each population group, one for IDPs/ returnees and another tool for displaced individuals from the hromada residing abroad/ other areas of Ukraine.** The inclusion of FGDs will support the triangulation of findings derived from the KIs and household survey, by providing supplementary information on the lived experiences of each population group. Such a tool is crucial to include, as the KI interviews and household survey lack qualitative data at the household level. The questionnaire will be administered in Ukrainian and Russian.

Key definitions

Internally displaced person – An internally displaced person is a citizen of Ukraine, a foreigner or a stateless person staying on the territory of Ukraine on legal grounds and being entitled to permanent residence in the territory of Ukraine, who was forced to leave or abandon his/her residence as a result of or to avoid the negative impact of armed conflict, temporary occupation, situations of generalized violence, mass violations of human rights and disasters of natural or human-made origin due to the full-scale war which started on 24 February 2022. For this assessment, only IDPs residing in private accommodations outside of collective sites and have resided in the hromada for at least once month will be eligible to participate.

Returnees – A returnee is identified as an individual who has left their place of habitual residence in Ukraine due to the full-scale war on 24 February 2022 and has since returned to their place of habitual residence or an adjacent area for 14 days or longer.

Household (HH) – A household is a collection of individuals living in the same dwelling or part of it, providing themselves with everything necessary for life, fully or partially pooling and spending their money. The head of the household is the person primarily responsible for making significant decisions that affect the lives and well-being of household members.

Households with a member with a vulnerability – For this assessment, household members that have a vulnerability will be identified as individuals with a disability,⁶ a chronic illness, older persons (60+ years), pregnant and lactating women, and those separated child (0-17 years) travelling with a guardian.

3.2 Population of interest

The geographic coverage of this assessment will focus on two hromadas Borodianska hromada in Kyivska oblast and Chuhuivska hromada in Kharkivska oblast, as identified as priority areas from the CPDSR WG within two macro-regions of Ukraine (North and East, respectively). As Ukraine moves to recovery and reconstruction, the CPDSR WG was formed to create an enabling environment for making recovery and durable solutions relevant and locally owned, while incorporating joint strategies and data-driven community planning amongst various actors. A total of 12 hromadas were identified as priority areas. Criteria for the hromada selection included considerations for coverage across the country, the presence of certain populations groups including IDPs and returnees, and a criterion related to durable solutions indicators such as accommodation, housing land and property, income, etc. The presence of local actors to provide an enabling environment for durable solutions and recovery programme implementation were also considered.

For the REACH Profiling the Population and Displacement Situation assessment, Borodianska and Chuhuivska hromada were selected from the list of prioritized hromadas to support localized recovery plans. While both hromadas are geographically located in different macro-regions of Ukraine, both have similar contextual elements underpinning their recovery initiatives, and were thus selected for the following reasons: 1) the escalation of the full-scale war in February 2022 resulted in similar population movement, including mass displacement and return. In addition, both hromadas host IDPs from conflict-affected areas, affecting diverse support for durable solutions programming, and 2) extensive damage and damage to both critical and residential has left population groups within the hromada in

⁵ International Organization for Migration (IOM), Dec 14 2023. [DTM Ukraine — Conditions of Return Assessment Factsheet — Round 5 \(September–October 2023\)](#). IOM, Ukraine.

⁶ A disability will be identified as defined by the [Washington Group definition](#), which will encompass individuals with self-identified difficulties doing certain activities due to a health problem concerning vision, hearing, mobility, cognition, self-care, and communication.

precarious situations, impacting access to accommodation, education, and public services. Therefore, data to reflect the needs of IDPs, returnees, and host community members, and to provide an environment for displaced households abroad or within the country to return, are required to support reconstruction efforts.

Key informant interviews: Key informants include, but are not limited to, representatives of local authorities/ departments, non-governmental/ local organisations, local IDP councils, etc. Selection of key informants will be based on the knowledge deemed sufficient to provide community-level observations concerning the displacement and returnee situation, movement intentions, as well as barriers to long-term settlement in the hromada. The interviews will help consolidate the information and further develop the household questionnaire and FGDs, resulting in a joint analysis with local authorities.

Focus group discussions: Overall, three FGDs will be conducted per Borodianska and Chuhuivska hromada. As requested by local authorities in Borodianska hromada, one FGD will be conducted with IDPs residing amongst the host community in private accommodations, one FGD including returnees, as well as one FGD with those displaced from the hromada and are residing either abroad or elsewhere within the country. In Chuhuivska hromada, local authorities requested FGDs with IDPs to understand their lived experiences in urban/ rural areas. Therefore, one FGD will be conducted with IDPs residing amongst the host community in private accommodations in Chuhuiv as an urban settlement, and another with FGDs residing in Velyka Babka, a rural settlement. Additionally, one FGD with those displaced from the hromada and are residing either abroad or elsewhere within the country was requested to understand movement intentions and push/ pull factors for possible return. In total, 6 to 8 individuals will be asked to participate per FGD. Certain participants will be considered per FGD, to encompass the vast experiences of varying individuals depending on specific vulnerabilities. For example, working-age individuals (18 to 65 years), older persons (60+ years), single parents, etc.

Household interviews: The unit of measurement for the quantitative survey is at the household level, and the population of interest will include IDPs, returnees and host community residing in Borodianska hromada. The unit of analysis for this component will include both the household and individual levels, to provide a comprehensive overview of household dynamics such as household size, demographics, as well as data concerning socio-economic status of each member. Concerning IDPs, the eligibility criteria will be those that have lived in the hromada for a minimum of one month. For returnees, households are required to have left the hromada for 14 days or longer prior to their return.

3.3 Secondary data review

A secondary data review, primarily consisting of REACH products, IOM, and other government official sources, was conducted to provide both the contextual understanding and triangulate findings to inform the development of the terms of reference for the profiling assessment. Additional sources concerning IDP profiling and durable solutions were consulted to provide key definitions and insights into sampling strategies:

- REACH, [Arrival and Transit Monitoring: Round 9](#), June 2023.
- CCCM Cluster, [Collective Site Monitoring: Round 8](#), May 2023
- CCCM Cluster, [IDP Collective Site Monitoring Dashboard](#), October 2022.
- REACH, [Area-Based Assessment: Lvivska oblast](#), February 2023.
- REACH, [Area-Based Assessment: Kharkiv](#), November 2022.
- REACH, [Area-Based Assessment: Dnipropetrovska oblast](#), August 2022.
- REACH, [Humanitarian Situation Monitoring - Focus on areas closer to the front line](#), April 2023.
- [English translation] Cabinet Ministers of Ukraine, [Strategy on the Government Policy on Internal Displacement \(2023-2025\)](#), 7 April 2023.
- International Organization for Migration (IOM), [Ukraine Internal Displacement Report, General Population Survey Round 13](#), July 2023.
- International Organization for Migration (IOM), [Ukraine – Conditions of Returns Assessment Factsheet – Round 2](#), June 2023.
- International Organization for Migration (IOM), [Ukraine – Returns report](#), June 2023.
- UNHCR, [Lives on hold: Intentions and perspectives of refugees and IDPs from Ukraine](#), 6 July 2023.
- OCHA, [Ukraine Situation Report](#), July 2023.
- Official websites and social accounts of national, oblast-level or hromada-level authorities, including Telegram and Facebook.
- Daily news briefs and articles in local and international media.
- Joint IDP Profiling Service (JIPS), [Durable Solutions Indicators & Guide](#), 2018.

- Inter-Agency Standing Committee (IASC), [IASC Framework on Durable Solutions for IDPs - World | ReliefWeb](#), April 2010.
- Administrative data in the selected hromada

Population estimates:

- Leasure et al., [Nowcasting daily population displacement in Ukraine through social media advertising data](#), 06 April 2023.
- [English translation] Ministry of Social Policy Ukraine, [Information and Computing Center of the Ministry of Social Policy Ukraine - IDP Dashboard](#), Accessed 25 June 2023.
- International Organization for Migration (IOM), [Ukraine – Area Baseline Assessment \(Raion level\) - Round 26](#), July 2023.

3.4 Primary Data Collection

Borodianska and Chuhuivska hromada were selected by the recommendations and priorities of the CPDSR WG. The profiling assessment will be conducted through a mixed methods approach in Borodianska hromada, by using the following three semi-structured qualitative and structured quantitative tools: 1) Local actors will first be interviewed as KIs through the semi-structured tool, 2) Representative data gathered at the settlement level through a structured quantitative tool at the household level, while a sub-set of questions will be asked at the individual level, and 3) FGDs will be conducted with relevant IDPs, returnees, and those displaced from the hromada and have not returned (as outlined in 3.2. Population of Interest). Such questions will gather data about their demographic profile, education, skills, and employment status.

In Chuhuivska hromada, only qualitative methods will be used during data collection, including the two following steps: 1) Local actors will first be interviewed as KIs through the semi-structured tool, and 2) FGDs will be conducted with relevant IDPs and those displaced from the hromada and have not returned (as outlined in 3.2. Population of Interest). The FGD component will have a total of two separate semi-structured tools: one with questions specific to IDPs and returnees, and another for displaced individuals from the hromada residing abroad/ in other areas within Ukraine. REACH's field unit, in close collaboration with the assessment staff, will undergo training for field officers and enumerators to conduct the KI, household survey and FGDs. Along with the field unit, the data and assessment officers will monitor the quantitative data from the household-level survey. The qualitative interviews will be monitored by the assessment staff in liaison with the field unit to ensure that enumerators are briefed on the ongoing quality of interviews and prompts.

Sampling methods and sampling size

Qualitative component

Key informants: As shown in Table 1, a total of 16 key informants will be purposefully selected in both hromadas based on recommendations to be interviewed by local authorities, as well as their general knowledge of the context. While quantitative data will not be collected in Chuhuivska hromada, a higher number of KI interviews will be conducted to gather additional knowledge on the situation of the population and displacement. The information provided by the key informants will help provide contextual knowledge to formulate and identify additional information needs for the household-level survey and focus group discussion questions. The information provided by the KIs will also help identify any vulnerabilities that each population group has as a result of their displacement, or those that remained in the hromada during volatile security situations. Selected key informants are required to provide additional technical knowledge, reflective of the situation within these hromadas and direct involvement in supporting interventions at the local level. Therefore, types of profiles can include local authorities, as well as representatives of non-governmental/ local organisations, local IDP councils, etc. which provide direct support to such populations. Face-to-face interviews will be conducted with the option for telephone interviews depending on the key informant's preference.

Table 1: Data collection tools by hromada and target numbers per hromada.

| Data collection tools | Target numbers by hromada | |
|--------------------------|---|------------------------------------|
| | Borodianska hromada, Dnipropetrovska Oblast | Chuhuivska hromada, Kyivska Oblast |
| Key informant interviews | 6 | 10 |
| Focus group discussions | 3 | 3 |

| | | |
|------------------------|---|-----|
| Household-level survey | 867 interviews in Borodianka, Druzhnia and Zahaltsi | N/A |
|------------------------|---|-----|

Focus group discussions: Three FGDs per hromada will be conducted consisting of 6-8 participants. As mentioned in 3.2. Population of Interest, the scope of the population was developed in consultation with local authorities. Therefore, in Borodianska hromada, one FGD will be conducted with IDPs residing amongst the host community in private accommodations, one FGD including returnees, as well as one FGD with those displaced from the hromada and are residing either abroad or elsewhere within the country. In Chuhuivska hromada, local authorities requested FGDs with IDPs to understand their lived experiences in urban/ rural areas. Therefore, one FGD will be conducted with IDPs residing amongst the host community in private accommodations in Chuhuiv as an urban settlement, and another with FGDs residing in Velyka babka, a rural settlement. Additionally, one FGD with those displaced from the hromada and are residing either abroad or elsewhere within the country was requested to understand movement intentions and push/ pull factors for possible return. This semi-structured tool will contain open-ended questions, primarily to understand the IDPs', returnees', and those displaced from the hromada's preferred movement intentions, their plans to (re)integrate, and conditions to pursue their preferred option. Lived experiences and possible barriers will be discussed to explore their access to employment opportunities, accommodation and public services including but not limited to healthcare, administrative, social, legal, etc. Participants will also be asked about their perception of community relations amongst displaced, returnees and host communities, and their interest to participate in public affairs/ decision-making processes that impact their community, such as city councils, elections, etc.

Quantitative component

Target population

Through face-to-face interviews, the quantitative survey will target 867 households. A stratified random sampling approach will be undertaken at the settlement level in the three settlements within Borodianska hromada: Borodianka, Druzhnia and Zahaltsi.

Sampling frame

Following consultations with local authorities, population registries, including addresses and phone numbers of each target population may not be provided due to sensitivity concerns. However, population estimates from January 2023 were provided by local authorities to estimate the number of the general population residing in the hromada, including IDPs, returnees and host community members. As mentioned previously, the sampling approach is not representative at the hromada level, but rather representative at the settlement level, in the three that are selected. Unit of measurement at the household, and unit of analysis at household and individual level.

Sampling approach

A stratified random sampling strategy will be undertaken in the three selected settlements within Borodianska hromada due to their similar characteristics as the top three populated settlements within the hromada. A random sample based on settlement level household population estimates will be calculated. As shown in Table 2, population estimates for each settlement were provided, with a household population size calculated for each area. To calculate the household population size, the population will be divided by 2.5. The ratio of 2.5 individuals was determined from REACH's Multi-Sector Needs Assessment data collected in 2023, as the mean number of members per household was 2.48. In the column highlighted in orange, a sample size for each settlement was calculated for a 95% confidence interval and a 5% margin of error. A 95% confidence interval was selected due to the high confidence in precision and practicality. A REACH GIS Officer will then randomly allocate the number of interviews per GPS square within the settlement based on the settlement's population and density.

Table 2: Sample size for Borodianska hromada

| Settlements | Population estimates | Household population size (P/2.5) | Sample size for 95/5 (867 total) | % of HHs |
|--------------------------|----------------------|-----------------------------------|----------------------------------|----------|
| <i>Borodianka</i> | 11,119 | 4,448 | 354 | 8% |
| <i>Druzhnia</i> | 1,945 | 778 | 258 | 33% |
| <i>Zahaltsi</i> | 1,870 | 748 | 255 | 34% |

3.5 Data Processing & Analysis

The household-level survey will be collected through Kobo Toolbox within the IMPACT Global Kobo account. During primary data collection, the IMPACT Database Officer will download and clean the data daily to ensure collection methodology is being followed by enumerators and investigate any problematic data to ensure the sampling methodology is being carried out in accordance with the data analysis plan. Additionally, the collected data will be analysed for “Other” inputs (translated and recoded if needed) and cross-checked for linked questions and to review enumerators’ comments. An Assessment Officer will keep a log of any changes, including cleaning of data. The data cleaning process will adhere to [IMPACT’s Minimum Standards Checklist for Data Cleaning and Processing for Structured \(Quantitative\) Data](#) as well as IMPACT’s [Data Protection SoPs](#). Given the representative stratified random sampling aimed at the settlement level, weights will be used during the analysis through R when all settlement data is analyzed together. An analysis will also be conducting using R, and develop outputs based on that analysis.

For the qualitative data, enumerator debrief forms will be completed after every semi-structured interview. All key informant interviews and enumerator debrief forms will be transcribed and translated. Audio recordings of FGDs will be stored in IMPACT’s Global Cloud server and deleted following the cleaning process. Personally identifiable information (PII) including names, addresses, etc. of participants will not be collected for the FGDs, however names and phone numbers of KIs will be collected during data collection but deleted following the cleaning of data. Following transcription and translation, analysis of data will take place by a qualitative data saturation grid aiming to identify common themes.

3.6 Limitations

In addition to the indicative interpretation of the findings, another limitation of the household survey concerns the lack of working-age respondents. As REACH enumerators usually conduct interviews during working hours, respondents under this category may be unavailable for interviews during this time. To ensure that households with diverse demographic characteristics, including those employed, are represented, enumerators will also conduct interviews on weekends.

The main limitation of the KIs and FGDs qualitative components is the purposive sampling is the indicative interpretation of the findings, which can skew findings based on the experiences/ interpretation of lived experiences based on the small sampling size per hromada. Alternatively, the household-level quantitative data complements findings at the household level to provide additional context to disaggregated data based on the eligible characteristics. Another limitation is the sensitivity of the topics that will be discussed, where respondents may not feel comfortable describing their lived experiences within the hromada. To mitigate this, field officers will be reaffirming the anonymity and confidentiality of such discussions. Additionally, REACH enumerators are trained in psychological first aid, and have referrals to support those in the event that the discussion of sensitive topics are overwhelming.

4. Key ethical considerations and related risks

The proposed research design meets / does not meet the following criteria:

| <i>The proposed research design...</i> | <i>Yes/ No</i> | <i>Details if no (including mitigation)</i> |
|--|-----------------------|--|
| ... Has been coordinated with relevant stakeholders to avoid unnecessary duplication of data collection efforts? | Yes | |
| ... Respects respondents, their rights and dignity (<i>specifically by: seeking informed consent, designing length of survey/ discussion while being considerate of participants’ time, ensuring accurate reporting of information provided</i>)? | Yes | |
| ... Does not expose data collectors to any risks as a direct result of participation in data collection? | Yes | |
| ... Does not expose respondents / their communities to any risks as a direct result of participation in data collection? | Yes | |

| | | |
|--|-----|---|
| ... Does not involve collecting information on specific topics which may be stressful and/ or re-traumatising for research participants (both respondents and data collectors)? | No | <p>Sensitive questions will be posed regarding personal experiences of displacement, or the impact of the conflict.</p> <ul style="list-style-type: none"> - Enumerators will summarise the subjects that the questionnaire will cover before asking for consent. - Respondents will be reminded at the beginning of the interview that their participation is voluntary, and that they can withdraw their consent at any time - Data collection will be conducted by Ukrainian staff who are able to effectively communicate with respondents about voluntary consent to participate, and who can recognise if respondents become uncomfortable. |
| ... Does not involve data collection with minors i.e. anyone less than 18 years old? | Yes | |
| ... Does not involve data collection with other vulnerable groups e.g. persons with disabilities, victims/ survivors of protection incidents, etc.? | No | <p>Vulnerable groups will not be targeted for data collection, however, in the context of conflict and displacement, it is likely that some respondents will be victims of the conflict. In order to mitigate the risk of harm to these vulnerable respondents, the following measures will be taken:</p> <ul style="list-style-type: none"> - Enumerators will summarise the subjects that the questionnaire will cover before asking for consent. - Respondents will be reminded at the beginning of the interview that their participation is voluntary, and that they can withdraw their consent at any time. - Data collection will be conducted by Ukrainian staff who are able to effectively communicate with respondents about voluntary consent to participate, and who can recognise if respondents become uncomfortable. |
| ... Follows IMPACT SOPs for management of personally identifiable information ? | Yes | |

5. Roles and responsibilities

| Task Description | Responsible | Accountable | Consulted | Informed |
|-------------------------|---------------------------|--------------------|--|-----------------|
| Research design | Senior Assessment Officer | Research Manager | IMPACT HQ Research Department, African and | HQ |

| | | | | |
|--------------------------------------|--|---------------------------|---------------------------------|------------------|
| | | | Europe Unit, Data Specialist | |
| Supervising data collection | Field Officer | Senior Assessment Officer | Data Officer | Research Manager |
| Data processing (checking, cleaning) | Database Officer | Research Manager | IMPACT HQ RDDU, Data Specialist | HQ |
| Data analysis | Database Officer / Senior Assessment Officer | Research Manager | IMPACT HQ RDDU, Data Specialist | HQ |
| Output production | Senior Assessment Officer | Research Manager | IMPACT HQ Reporting Unit | |
| Dissemination | Senior Assessment Officer | Research Manager | IMPACT HQ Research Department | HQ |
| Monitoring & Evaluation | Research Manager | Country Coordinator | IMPACT HQ Research Department | HQ |
| Lessons learned | Research Manager | Country Coordinator | IMPACT HQ Research Department | HQ |

Responsible: the person(s) who executes the task

Accountable: the person who validates the completion of the task and is accountable of the final output or milestone

Consulted: the person(s) who must be consulted when the task is implemented

Informed: the person(s) who need to be informed when the task is completed

6. Data Analysis Plan

DATA ANALYSIS PLAN, INCLUDING ALL TOOLS, CAN BE LOCATED [HERE](#).

7. Monitoring & Evaluation Plan

| IMPACT Objective | External M&E Indicator | Internal M&E Indicator | Focal point | Tool | Will indicator be tracked? |
|---|---|--|-----------------------|----------|----------------------------|
| Humanitarian stakeholders are accessing IMPACT products | Number of humanitarian organisations accessing IMPACT services/products | # of downloads of x product from Resource Center | Country request to HQ | User_log | X Yes |
| | | # of downloads of x product from Relief Web | Country request to HQ | | X Yes |
| | | # of downloads of x product from Country level platforms | Country team | | X Yes |
| | Number of individuals accessing IMPACT services/products | # of page clicks on x product from REACH global newsletter | Country request to HQ | | X Yes |
| | | # of page clicks on x product from country newsletter, sendingBlue, bit.ly | Country team | | X Yes |
| | | # of visits to x webmap/x dashboard | Country request to HQ | | |

| | | | | | |
|---|---|--|--------------|--|-------|
| IMPACT activities contribute to better program implementation and coordination of the humanitarian response | Number of humanitarian organisations utilizing IMPACT services/products | # references in HPC documents (HNO, SRP, Flash appeals, Cluster/sector strategies) | Country team | Reference_log | X No |
| | | # references in single agency documents | | | X No |
| Humanitarian stakeholders are using IMPACT products | Humanitarian actors use IMPACT evidence/products as a basis for decision making, aid planning and delivery | Perceived relevance of IMPACT country-programs | Country team | Usage_Feedback_and_Usage_Survey_template | X No |
| | | Perceived usefulness and influence of IMPACT outputs | | | |
| | | Recommendations to strengthen IMPACT programs | | | |
| | | Perceived capacity of IMPACT staff | | | |
| | Number of humanitarian documents (HNO, HRP, cluster/agency strategic plans, etc.) directly informed by IMPACT products | Perceived quality of outputs/programs | | | |
| | | Recommendations to strengthen IMPACT programs | | | |
| Humanitarian stakeholders are engaged in IMPACT programs throughout the research cycle | Number and/or percentage of humanitarian organizations directly contributing to IMPACT programs (providing resources, participating to presentations, etc.) | # of organisations providing resources (i.e. staff, vehicles, meeting space, budget, etc.) for activity implementation | Country team | Engagement_log | X Yes |
| | | # of organisations/clusters inputting in research design and joint analysis | | | X Yes |
| | | # of organisations/clusters attending briefings on findings; | | | X Yes |

