

Research Terms of Reference

Informal IDP Site Profiling Assessment

IRQ1702

Iraq

October 2020

REACH Informing
more effective
humanitarian action

1. Executive Summary

Country of intervention	Iraq		
Type of Emergency	<input type="checkbox"/> Natural disaster	<input checked="" type="checkbox"/> Conflict	
Type of Crisis	<input type="checkbox"/> Sudden onset	<input type="checkbox"/> Slow onset	<input checked="" type="checkbox"/> Protracted
Mandating Body/ Agency	Iraq Camp Coordination and Camp Management (CCCM) Cluster		
Project Code	10AND and 10ANW		
Research Timeframe <i>Add planned deadlines (for first cycle if more than 1)</i>	1. Start collect data: 2/11/2020	5. Preliminary presentation: if needed	
	2. Data collected: 24/12/2020	6. Outputs sent for validation: 28/01/2021	
	3. Data analysed: 24/01/2021	7. Outputs shared/published: 07/02/2021	
	4. Data sent for validation: 25/01/2021	8. Final presentation sent for validation:	
Number of assessments	<input checked="" type="checkbox"/> Single assessment (one cycle)		
	<input type="checkbox"/> Multi-assessment		
Humanitarian milestones <i>Specify what will the assessment inform and when</i> <i>e.g. The shelter cluster will use this data to draft its Revised Flash Appeal;</i>	Milestone	Deadline	
	<input type="checkbox"/> Donor plan/strategy	--/--/----	
	<input type="checkbox"/> Inter-cluster plan/strategy	--/--/----	
	<input checked="" type="checkbox"/> Cluster plan/strategy: the CCCM Cluster will use this data to inform response to informal sites, site closures, and advocacy for site returns.	31/12/2020	
	<input type="checkbox"/> NGO platform plan/strategy	--/--/----	
	<input type="checkbox"/> Other (Specify):	--/--/----	
Audience Type & Dissemination <i>Specify who will the assessment inform and how you will disseminate to inform the audience</i>	Audience type	Dissemination	
	X Strategic: CCCM Cluster coordination	X General Product Mailing: REACH Iraq	
	X Programmatic: CCCM Cluster and partners	SendInBlue Mailing Lists	
	X Operational: CCCM Cluster and partners	X Cluster Mailing: CCCM Cluster Mailing Lists	
		X Presentation of findings: at coordination meetings when requested	
		X Website Dissemination: Relief Web & REACH Resource Centre	

Detailed dissemination plan required	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
General Objective	To identify movement intentions and highlight the needs of internally displaced persons (IDPs) residing in informal sites across Iraq through an assessment to support evidence-based strategic orientation and inform the CCCM Cluster humanitarian response. ^{1,2}			
Specific Objective(s)	<p>Informal Site Profiling:</p> <ol style="list-style-type: none"> 1. Identify primary needs of informal site residents through family-level profiling of multi-cluster and inter-sectoral needs, including: shelter and site conditions, WASH, health, food security, livelihoods, education, and safety and security; towards informing the 2021 CCCM cluster strategy.³ 2. Highlight any gaps in assistance provided to meet primary needs, and thereby potential areas for further intervention. 3. Record sites that are reported to be especially vulnerable based on 'red-flag' indicators.⁴ 4. Provide humanitarian actors with a better understanding of COVID-19 preventative measures in informal sites. 5. Identify concerns relating to the environment, hazards, and resources as well as current renewable resource practices in informal sites. 6. Conduct Informal Site spatial verification. <p>Informal Site Intentions:</p> <ol style="list-style-type: none"> 1. Understand movement intentions of IDP families in the 3 months and 12 months following data collection. 2. Identify needs and vulnerabilities that may influence choices to return, resettle, or remain. 3. Support evidence-based advocacy efforts related to site closure and returns, in particular in situations where forced evictions may be occurring. 			

¹ IDPs are defined as: families displaced from their sub-district between 2014-2017 but still living in Iraq are considered to be internally displaced, as per IOM-DTM definitions.

² Informal sites are defined using Iraq CCCM typology definitions: sites where more than 5 families have settled; sites not built to accommodate IDPs but serving that purpose; IDP families are living in the site as a group, possibly with shared leadership; shelter is sub-standard e.g. tents, improvised shelters, unfinished buildings, or buildings not meant for living in e.g. schools; facilities in the site are likely substandard/ families share basic services and facilities, e.g. WASH facilities; government authorities have not assumed responsibility for management and administration; services and assistance are delivered collectively, however, may be available but often are not provided regularly.

³ Family is defined as immediate relatives, living in the same shelter and sharing the same resources. This also includes minors who are under the care of the family.

⁴ Red-flag' identification is based on 24 indicators which are used to measure vulnerability, agreed upon by REACH and CCCM based on cluster need and operational interest. This was implemented in the previous round of REACH's informal sites assessments and is used to identify sites reporting these indicators which may make them more vulnerable. The list of indicators can be found in Annex 2.

Research Questions	<p>Informal Site Profiling:</p> <ol style="list-style-type: none"> 1) What is the profile of the site, including site type, site conditions or local environment, and population demographics (number of families in site, when the site was established, governorate of origin of site members, etc.)? 2) What are the priority needs of IDP families in informal sites, within and among sectors, and where are the gaps in assistance or services provided? Sectors include: <ol style="list-style-type: none"> a) Shelter; b) WASH; c) Health; d) Food security; e) Livelihoods; f) Education; g) Site safety and security; and h) Social cohesion and protection. 3) What proportion of sites meet at least one 'red flag' criteria, as determined by the CCCM cluster standards, and are therefore designated as particularly vulnerable? 4) What is the level of preparedness to cope with COVID-19 in each informal site? 5) What concerns do families in informal sites have relating to the natural environment, renewable resource practices, and environmental hazards? <p>Informal Site Intentions:</p> <ol style="list-style-type: none"> 1) What are the movement intentions of IDP families, within the next three and twelve months? 2) Understand how IDP families perceive conditions in their area of origin (AoO) and if this influences their movement intentions? 3) What factors affect IDP intentions to return to their area of origin (AoO)?
Geographic Coverage	<p>Prioritised accessible informal IDP sites across Iraq. The two main criteria for identification of priority sites are:</p> <ul style="list-style-type: none"> • Sites with critical shelters (informal and irregular settlements, religious buildings, school buildings; unfinished or abandoned buildings; and other informal settlements/ collective centres).⁵ • Sites with 30 or more families, and only in governorates with at least two or more sites.⁶ <p>Additional sites which do not meet both criteria may be added upon partner requests.</p>
Secondary data sources	<ul style="list-style-type: none"> • Population data e.g. IOM-Data Tracking Matrix's (DTM) Integrated Location Matrix (ILA) V (conducted between 1 July – 31 August 2020). • General background and context research on sites being targeted for assessment, particularly information from operational partners. • Geo-spatial data (e.g. UNOSAT satellite imagery). • Tools and Lessons Learned from similar REACH assessments (e.g. previous Informal Site Profiling and Intentions Assessment).

⁵ This definition of critical sites is from the IOM-DTM ILA V.

⁶ Both criteria will be applied to IOM-DTM's ILA V to determine which sites fit the criteria for this Informal Sites Profiling and Intentions assessment.

Population(s) <i>Select all that apply</i>	<input type="checkbox"/>	IDPs in formal camps	<input checked="" type="checkbox"/>	IDPs in informal sites		
	<input type="checkbox"/>	IDPs in host communities	<input type="checkbox"/>	IDPs [Other, Specify]		
	<input type="checkbox"/>	Refugees in camp	<input type="checkbox"/>	Refugees in informal sites		
	<input type="checkbox"/>	Refugees in host communities	<input type="checkbox"/>	Refugees [Other, Specify]		
	<input type="checkbox"/>	Host communities	<input type="checkbox"/>	[Other, Specify]		
Stratification <i>Select type(s) and enter number of strata</i>	<input checked="" type="checkbox"/>	Geographical #: 7 Governorates Population size per strata is known? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/>	Group #: ___ Population size per strata is known? <input type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/>	Informal Site #: 116 ⁷ Population size per strata is known? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Data collection tool(s)	<input checked="" type="checkbox"/>	Structured (Quantitative)	<input type="checkbox"/>	Semi-structured (Qualitative)		
Structured data collection tool # 1 <i>Select sampling and data collection method and specify target # interviews</i>	Sampling method⁸		Data collection method			
	<input checked="" type="checkbox"/> Purposive / Snowballing <input type="checkbox"/> Probability / Simple random <input type="checkbox"/> Probability / Stratified simple random <input type="checkbox"/> Probability / Cluster sampling <input checked="" type="checkbox"/> Probability / Stratified cluster sampling <input type="checkbox"/> [Other, Specify]		<input type="checkbox"/> Key informant interview (Target #): ___ <input type="checkbox"/> Group discussion (Target #): ___ ----- <input checked="" type="checkbox"/> Family interview (Target #): 1,128 ⁹ <input type="checkbox"/> Individual interview (Target #): ___ -- <input type="checkbox"/> Direct observations (Target #): ___ -- <input type="checkbox"/> [Other, Specify] (Target #): -----			
Target level of precision if probability sampling	90% confidence level, with a 10% margin of error at district level ¹⁰		N/A			
Data management platform(s)	<input checked="" type="checkbox"/>	IMPACT				
	<input type="checkbox"/>	[Other, Specify]				
Expected output type(s)	<input type="checkbox"/>	Situation overview #: __	<input type="checkbox"/>	Report #: __	<input type="checkbox"/>	Profile #: __
	<input type="checkbox"/>	Presentation (Preliminary findings) #: __	<input checked="" type="checkbox"/>	Presentation (Final) #: 1 Presentation of key findings	<input checked="" type="checkbox"/>	Factsheet #: 7 Governorate level factsheets

⁷ The number of informal sites to assess was determined through analysis of IOM-DTM's ILA V, based on the accessibility of sites, whether they remain open at the time of data collection, if critical shelters are present in the site, and if the site contains more than 30 families.

⁸ The sampling method will be determined by the context and situation in the specific locations at the time. Please see [Section 3. Methodology](#), for more details.

⁹ The number of family interview to conduct has been calculated using the two-stage stratified cluster sampling approach, with 90% confidence level and 10% margin of error, at district level. This has been calculated in all districts, and the data collection method for this will be determined during the week prior to data collection.

¹⁰ The 90% confidence level, with a 10% margin of error at district level is only applicable to districts where all data collection was conducted face-to-face using a stratified sampling method. In districts where data collection is conducted remotely via snowball sampling, the data will be indicative.

					based on the round of data collection ¹¹
	<input type="checkbox"/>	Interactive dashboard #: _	<input type="checkbox"/>	Web map #: __	X Map #: 1 Nationwide coverage map
	X	Cleaned and formatted dataset #: 1 Informal Site and Intentions Assessment dataset			
Access	X	Public (available on REACH resource centre and other humanitarian platforms)			
	X	Restricted (bilateral dissemination only upon agreed dissemination list, no publication on REACH or other platforms) – Specific site information can be shared with the CCCM Cluster if requested.			
Visibility logos	Both the CCCM Cluster and REACH logos will be included in the factsheets and presentation.				

2.

Rationale

2.1. Rationale

Between late 2013 and 2017, intensification of conflict in north and central Iraq resulted in large scale displacement and although many have since returned, approximately 1.3 million individuals remain internally displaced.¹² As of September 2020, the number of IDP households living in informal shelters throughout the country is approximately 13,880 families across 767 sites.¹³ Whilst the humanitarian situation in Iraq has been gradually improving over the past two years, the transitional process has been defined by persisting political instabilities, resurgences of localised conflicts, and regional insecurities that are not directly related to the protracted displacement crisis. The large scale protests that broke out in Central Southern cities in late 2019, the Turkish military offensive in northeast Syria in late 2019, the heightened tensions between the United States and Iran in early 2020, and an increase in attacks of non-state armed groups on civilian and military targets have led to a substantial worsening of the political and security situation in Iraq and have added another layer of complexity to the humanitarian response.

Furthermore, the outbreak of COVID-19 in Iraq represents a serious emerging public health crisis that could aggravate the humanitarian situation and exacerbate existing vulnerabilities in health, education, protection, livelihoods and other areas of well-being.^{14,15} The first case of COVID-19 in Iraq was recorded in January 2020 and as of September 27, the World Health Organisation had recorded approximately 345,970 confirmed cases and 8,940 deaths related to COVID-19.¹⁶ While the Iraqi government have worked to contain the spread of the virus, government restrictions have inhibited access to livelihood opportunities, education, and essential health services.

REACH in coordination with the CCCM Cluster has conducted regular rounds of Informal Site Profiling and Intentions of IDPs since 2016. These assessments have been conducted in order to support strategic and evidence-based programming and inform inter-cluster coordination covering shelter, WASH, health, food security, livelihoods, education, and safety and security, in order

¹¹ Where governorates have fewer than 5 sites, findings will be aggregated to a multi-governorate level to ensure anonymity of site-related findings for public dissemination.

¹² IOM, Displacement Tracking Matrix (September, 2020), available [here](#).

¹³ IOM, Displacement Tracking Matrix ILA V (June 2020), available [here](#).

¹⁴ UN-OCHA COVID-19 Situation Report No. 14, available [here](#).

¹⁵ COVID-19 (also known as Coronavirus) is an infectious disease caused by the virus Severe Acute Respiratory Syndrome Coronavirus 2 and was declared as a pandemic in March 2020. Please see the World Health Organisation's overview description of the disease [here](#) and the official pandemic announcement [here](#).

¹⁶ World Health Organisation's COVID-19 Situation Report, available [here](#).

to identify priority needs. In addition, this year the REACH Informal Site profiling and Intentions assessment will also look at environmental and resource indicators, as well as COVID-19 preventative measures.

3. Methodology

3.1. Methodology overview

Informal sites are, by definition, not recognised or regularly supported by the government and but are subject to CCCM monitoring, referral, and advocacy. Common characteristics include:

- Sites where more than 5 families have settled;
- Sites not built to accommodate IDPs but are serving that purpose;
- IDP families are living in the site as a group, possibly with shared leadership;
- Shelter is sub-standard e.g. tents, improvised shelters, unfinished buildings, or buildings not meant for living in e.g. schools;
- Facilities in the site are likely substandard/ families share basic services and facilities, e.g. WASH facilities;
- Authorities have not assumed responsibility for management or administration; and
- Services and assistance may be available but are not provided regularly.

Informal sites will be identified using data from CCCM partners and IOM-DTM's ILA V. The criteria for site identification and prioritisation will be sites which include populations of 30 or more families and the presence critical shelters.¹⁷ Primary data collection will consist of family surveys; however, due to the evolving COVID-19 situation throughout Iraq, as well as movement restrictions related to the government-imposed COVID-19 containment measures, data collection will be conducted remotely via phone or face-to-face, depending on the situation in the location at the time of data collection.

REACH will gather site leader contact information and then use a snowball sampling methodology to reach the target number of surveys per site. Data collection for this round of the Informal Sites Profiling and Intentions assessment is scheduled to begin on 11 November 2020 and data collection will last until 24 December 2020. A two-stage stratified cluster sampling approach (90% level of confidence and a 10% margin of error) will be employed at district level so the total number of surveys target for this round of Informal Sites Profiling and Intentions is 1,128 family surveys to gather district level representation. However, depending on the location we will aim to gather enough sub-district family surveys for representative sub-district information. This will be determined at the time of data collection depending on the situation in the locations at the time.

Data cleaning will be conducted on a daily basis during data collection and data that is deemed inconsistent will be highlighted and shared with the field team for clarification. Once data collection is complete and data cleaning finalised, data will be aggregated from family level to site level and then displayed in governorate profiles. Where there are five sites or under within a governorate, this information will be included in a multi-governorate profile, to ensure anonymity of site information.

3.2. Population of interest

The population of interest includes IDPs residing in selected informal sites in accessible locations in Iraq. Accessibility is determined by whether REACH enumerators are able to conduct the tasks necessary for the methodologies outlined below, and may be limited by factors such as permissions from relevant actors in the area, security considerations, and time constraints. The families will be assessed at site level and findings will be aggregated to site, sub-district, district, and governorate level.

3.3. Secondary data review

This assessment will make use of data from IOM-DTM, namely the ILA V, to provide locations, site profiles, and site population sizes. Geo-spatial data, such as UNOSAT satellite imagery will be used to plot informal site locations during data collection, and may be used to visualise data collection coverage in the outputs.

¹⁷ Critical shelters are defined in IOM-DTM's ILA V as: informal or irregular settlements; religious buildings; school buildings; unfinished/abandoned buildings; and other types of collective centres.

Secondary data sources to be reviewed include:

- REACH and CCCM's Intentions Survey [reports](#), [tools](#), and [datasets](#), conducted on out-of-camp IDP population;
- REACH and CCCM's Camp Profiling Survey [factsheets](#), [tools](#), and [datasets](#);
- REACH and CCCM's last Informal Sites Profiling assessment [report](#), [tools](#), and [datasets](#);
- CCCM's RASP and Rapid RASP tools and datasets; and
- Other relevant assessments in any sector, conducted in the relevant Informal Sites assessed, during this assessment timeframe.

3.4. Primary Data Collection

REACH, in coordination with the CCCM Cluster will conduct an assessment of informal sites, selected according to agreed parameters and located using IOM-DTM's ILA V dataset. Data collection is conducted by REACH enumerators, under the supervision of a REACH Field Coordinator and Assessment Officer. Due to the serious health risk that the spread of COVID-19 poses to enumerators and respondents, data collection methodologies have had to be adapted to the current COVID-19 context and will be conducted remotely.¹⁸

Data tracking sheets will be created to share contact information between the Field Coordinator and enumerators, each day, as well as tracking sheets to determine progress of data collection in each location, data submitted each evening, and follow-up sent and received between the Assessment Officer and Field Teams. In addition, debriefs with the assessment and Field Teams will occur at least once a week to discuss and integrate feedback.

3.4.1 Identifying Sites

Based on IOM-DTM's ILA V and the CCCM Clusters informal sites data, with the criteria of the number of families and critical shelter applied, 148 sites will be assessed. However, the final number of assessed sites will depend on an additional sites requested to be included in the sample by operational partners, any new sites identified during the assessment, and the accessibility of sites for REACH data collection teams.¹⁹ The final list of sites will be disaggregated by governorate, district, and sub-district. Initially sites will be assessed in 7 governorates and 20 districts. See Annex 1 for a full list of districts.

Table 1. Selected sites based on the ILA V data, of sites with critical shelters present and with 30 or more families, by governorate.

Governorate	Estimated Number of Sites
Anbar	21
Baghdad	4
Dahuk	39
Erbil	3
Kirkuk	18
Ninewa	40
Salah al-Din	23
Total Sites	148

3.4.2 Informal Sites Profiling and Intentions Data Collection

¹⁸ IMPACT's Standard Operation Procedure for Data Collection during COVID-19 can be found [here](#).

¹⁹ Criteria of new sites may include prioritisation based on size i.e. top 15-20% of sites in terms of population size, or specific requests from CCCM operational partners based on programmatic need.

Informal site contacts will be gathered from previous REACH assessments, and where there are no contacts available due to a change in methodology (from previous Informal Site Profiling assessments only looking at informal sites with over 100 families) or for new sites, the CCCM Cluster will support REACH in gathering contacts from partners. Most contact information gathered is for the site leader or site focal point, so the site leader or focal point will be contacted first and will confirm the location and verify the population size of the site. If the site does not meet the criteria for the assessment, i.e. it has fewer than the required number of families, the site is empty, it does not meet the correct definition of an informal site, or there are no critical shelters within the site, the enumerator will record the reasons the site does not meet the assessment criteria and then not conduct the interview.

In order to assist in data collection, Field Coordinators and enumerators will be trained on the Informal Sites Profiling and Intentions tool, focusing on the updates to the tool and the change in methodology

3.4.3 COVID-19 data collection scenarios

The design and implementation of data collection activities will be contingent on the operational context in Iraq, in regards to COVID-19. In particular, considerations around movement restrictions and barriers in conducting face-to-face interviews are factors in the decision about which sampling and data collection methodology will be employed in each district.

Table 2. COVID-19 Scenario Planning

Scenario Planning	Operational Context	Data Collection Methodology
Scenario 1: Fully operational	There are no safety concerns or movement restrictions limiting a full-scale face-to-face data collection methodology in any of districts including in the sampling framework.	Primary data collection will take place through face-to-face interviews, in all districts.
Scenario 2: Partly operational	There are some safety concerns and/or movement restrictions in some districts, but this may change during the data collection period. Other districts are fully accessible and there are no concerns related to face-to-face data collection.	Primary data collection will take place through face-to-face interviews in those districts where no safety concerns or movement restrictions are present. In all other districts, primary data collection will take place through remote phone-based interviews.
Scenario 3: Fully restrictive	There are safety concerns and/or movement restrictions in all districts included in the sampling framework.	Primary data collection will take place through remote phone based interviews in all districts.

Based upon the latest assessments of the COVID-19 spread and access restrictions in Iraq, it is unlikely that face-to-face data collection will be able to take place in all districts. Despite the recognised severity of COVID-19 in Iraq it is possible that for certain districts, safety concerns to enumerators and respondents will be marginal and that physical access to those districts will be possible.

In this situation, a mixed methodology form of data collection is envisioned with data for certain districts being collected through face-to-face interviews and data for other districts being collected through remote phone-based interviews, as seen in Table 2. Scenario 2.

Due to the rapidly changing context, as well as the unpredictable nature of the COVID-19 crisis in Iraq, data collection plans will have to contain a certain degree of flexibility and adaptability. Data collection plans will be organised the week before the

set week of data collection, so if data collection was aiming to start on 1 November, locations and the data collection methodology would be planned during the week beginning the 25 October.

To determine which of the districts can safely be assessed through face-to-face interviews, REACH will employ a monitoring and scoring system for this assessment. Throughout the entire data collection period, the assessment team will produce weekly situation reports that provide an overview of the COVID-19 situation, the general security situation, and the access situation in each of the districts included in the sampling framework. Based on these situation reports and other secondary data sources, the REACH management team will assign scores to each of the districts prior to the start of each data collection week. These scores will be determined on a 3-point scale and indicate the possibility of district-wide data collection through face-to-face interviews. The scores assigned to each of the districts will inform the data collection method and sampling methodology employed in a certain district as well as the sequencing of the data collection.

Table 3. Data Scoring System

Score	Definition	Data Collection method
3	There are no safety concerns or movement restrictions limiting a full-scale face-to-face data collection methodology in this district.	All districts that are assigned a score of 3 will be assessed through face-to-face interviews.
2	There are some safety concerns and/or movement restrictions limiting a full-scale data collection through face-to-face interviews in this district. The situation is; however, such that a data collection through face-to-face interviews might become possible soon.	Districts with a score of 2 will be regularly assessed for change throughout the data collection period, but in the final week of data collection all districts that are still assigned a score of 2 will be assessed through remote phone-based interviews.
1	There are safety concerns and/or movement restrictions limiting a full-scale data collection through face-to-face interviews in this district.	All districts that are assigned a score of 1 will be assessed through remote phone-based interviews.

3.4.4 Face-to-Face Sampling

A two-stage stratified cluster sampling approach (90% level of confidence and a 10% margin of error) will be employed at district level so the total number of surveys target for this round of Informal Sites Profiling and Intentions is 1,128 family surveys to gather district level representation. However, depending on the location we will aim to gather enough sub-district family surveys for representative sub-district information. This will be determined at the time of data collection depending on the situation in the locations at the time. Results will be aggregated and displayed at governorate and district level.

The GIS team will randomly generate location geopoints in the area surrounding the informal site GPS coordinates, in order to determine which point of the site that enumerators will conduct data collection from. Due to the varying nature of informal sites, the site size and locations may have changed from previous information so the generated geopoints will be used as a starting location for the enumerators, who will then travel to the nearest family.

3.4.5 Remote Sampling

For those districts where data collection through face-to-face interviews is inhibited by safety concerns and/or movement restrictions, enumerators, will conduct family-level surveys remotely using a non-probability purposive sampling approach to ensure that the data collected is indicative of the geographic location, by site. REACH aims to conduct the determined representative number (90% level of confidence and a 10% margin of error) of interviews at district level, even when conducting remote data collection through a snowball sampling methodology, starting from the contact list initially gathered. Through snowball sampling, interviewees refer to other potential participants within the informal site, that can be contacted for the

assessment. If possible, the head of the families will be interviewed, if not possible any adult (18 years old or above) can represent the head of the family.

Data collection will be conducted remotely in REACH call centres established in REACH offices and enumerators will be provided with a mobile phone, with the Kobo software downloaded, to enter information into the relevant Kobo survey. The Field Officers will share participant contact information with enumerators each day to prevent enumerators calling the same participants. In order to ensure this information is kept track of enumerators will call the participant up to three times, then if there is no reply will request another number to call from the Field Officers and the phone number will be marked as “non-responsive”.

3.5. Data Processing & Analysis

Enumerators will use ODK to collect the Informal Sites Profiling and Intentions surveys, and will upload them every evening to the designated REACH Kobo server. All data will be downloaded from the Kobo server and cleaned daily, with a data cleaning guide created for the Field Coordinators, who will be trained to conduct initial cleaning and spot-checking for following up with enumerators. A data cleaning SOP will be generated, built off of the [Minimum Standards Data Cleaning Checklist](#) developed by IMPACT headquarters, to guide on data checking, cleaning, and consolidation processes. The Assessment Officer will be responsible for checking the data daily for internal inconsistencies, data entry errors, and unclear text entries.

Data that is deemed inconsistent will be highlighted and shared with the relevant Field Coordinator for clarification/rectification. Detailed cleaning logs will be kept with information on the original information, changes made, and the reasons why data was changed. If information is determined to be inconsistent but it is not possible to determine what the correct entry should be, follow-up data collection will be conducted, if deemed necessary. At the end of data collection there will be one cleaned and anonymised dataset produced which can be shared with relevant CCCM partners and used to inform CCCM’s strategy.

Once data collection and cleaning has been completed, the Assessment Officer will conduct analysis, aggregating data to site level, then to sub-district, district, and governorate levels. In the case of numeric variables, this will be done through calculating averages and calculating the frequency of response options selected for categorical variable, weighted by population size. Analysis will be conducted using relevant software’s such as Excel or SPSS. The dataset and analysis will be reviewed by REACH’s technical Data Unit in Geneva. For more details on the data analysis process, see the Data Analysis Plan in Annex 3 and 4.

3.5.1 The Red Flag Vulnerability Index

The Red Flag Vulnerability Index has been updated and adapted for this assessment to include new indicators and ensure vulnerability is being measured. Vulnerability is the sum of three components: susceptibility to harm, coping capacities to reduce negative impacts, and adaptation capacities to reduce future vulnerability. The vulnerability index is comprised of 24 indicators which are categorised into the three vulnerability components: susceptibility, coping capacity, and adaptive capacity. To ensure each category is equally represented in the calculation each indicator has been weighted. The indicators were created in coordination with CCCM and relevant partners and clusters to ensure each sector has indicators included. To see the Red Flag Vulnerability Index indicators, weightings, and calculation please see Annex 2.

4. Roles and responsibilities

Table 4: Description of roles and responsibilities

Task Description	Responsible	Accountable	Consulted	Informed
Research design	Assessment Officer	Assessment Officer	Assessment Manager / GIS Officer / IMPACT Global CCCM Specialist / IMPACT Research Design and Data Unit (HQ) / CCCM Cluster	Cluster Coordinator / Country Focal Point
Supervising data collection	Field manager / Assessment Officer / GIS Officer	Assessment Officer	REACH Field Coordinator / REACH Assessment Officer	Assessment Manager / Country Focal Point
Data processing (checking, cleaning)	Assessment Officer / GIS Officer	Assessment Officer	IMPACT Research Design and Data Unit (HQ) / CCCM Focal Point	Assessment Manager / Country Focal Point
Data analysis	Assessment Officer / GIS Officer	Assessment Officer	Assessment Manager / IMPACT Research Design and Data Unit (HQ) / CCCM Cluster	Country Focal Point
Output production	Assessment Officer / GIS Officer	Assessment Officer	Assessment Manager / GIS Officer / IMPACT Global CCCM Specialist / IMPACT Reporting Unit (HQ) / GIS Unit (HQ) / CCCM Cluster	Country Focal Point
Dissemination	Assessment Officer	Assessment Officer	Assessment Manager / IMPACT Communications Officer (HQ) / Country Focal Point	CCCM Cluster and other relevant organisations
Monitoring & Evaluation	Assessment Officer	Assessment Officer	Assessment Manager / IMPACT Research Design and Data Unit (HQ)	Country Focal Point
Lessons learnt	Assessment Officer	Assessment Officer	Assessment Manager / IMPACT Research Design and Data Unit (HQ)	Country Focal Point

Responsible: the person(s) who executes the task

Accountable: the person who validates the completion of the task and is accountable of the final output or milestone

Consulted: the person(s) who must be consulted when the task is implemented

Informed: the person(s) who need to be informed when the task is completed

5. Data Analysis Plan

SEE ANNEX 3 and 4.

6. Data Management Plan

Data Management Plan available on request.

7. Monitoring & Evaluation Plan

IMPACT Objective	External M&E Indicator	Internal M&E Indicator	Focal point	Tool	Will indicator be tracked?
Humanitarian stakeholders are accessing IMPACT products	Number of humanitarian organisations accessing IMPACT services/products Number of individuals accessing IMPACT services/products	# of downloads of x product from Resource Centre	Country request to HQ	User_log	<input checked="" type="checkbox"/> Yes
		# of downloads of x product from Relief Web	Country request to HQ		<input checked="" type="checkbox"/> Yes
		# of downloads of x product from Country level platforms	Country team		<input type="checkbox"/> Yes
		# of page clicks on x product from REACH global newsletter	Country request to HQ		<input checked="" type="checkbox"/> Yes
		# of page clicks on x product from country newsletter, SendInBlue, bit.ly	Country team		<input checked="" type="checkbox"/> Yes
		# of visits to x web map/dashboard	Country request to HQ		<input type="checkbox"/> Yes
IMPACT activities contribute to better program implementation and coordination of the humanitarian response	Number of humanitarian organisations utilising IMPACT services/products	# references in HPC documents (HNO, SRP, Flash appeals, Cluster/sector strategies) # references in single agency documents	Country team	Reference_log	Iraq Humanitarian Response Plan 2021 CCCM Cluster operational partners country strategy

Humanitarian stakeholders are using IMPACT products	Humanitarian actors use IMPACT evidence/products as a basis for decision making, aid planning and delivery	Perceived relevance of IMPACT country-programs	Country team	Usage Feedback and Usage Survey template	<p>1. Usage feedback to be collected through meetings and debriefs with the CCCM Cluster and other relevant coordination bodies, together with NGO partners following the release of the outputs to discuss their relevance, usefulness, and quality, as well as recommendations to strengthen.</p> <p>2. User feedback survey to be conducted following the release of the outputs.</p>
	Number of humanitarian documents (HNO, HRP, cluster/agency strategic plans, etc.) directly informed by IMPACT products	<p>Perceived usefulness and influence of IMPACT outputs</p> <p>Recommendations to strengthen IMPACT programs</p> <p>Perceived capacity of IMPACT staff</p> <p>Perceived quality of outputs/programs</p> <p>Recommendations to strengthen IMPACT programs</p>			
Humanitarian stakeholders are engaged in IMPACT programs throughout the research cycle	Number and/or percentage of humanitarian organisations directly contributing to IMPACT programs (<i>providing resources, participating to presentations, etc.</i>)	# of organisations providing resources (i.e. staff, vehicles, meeting space, budget, etc.) for activity implementation	Country team	Engagement_log	x Yes
		# of organisations/clusters inputting in research design and joint analysis			x Yes
		# of organisations/clusters attending briefings on findings;			x Yes

ANNEX 1: ESTIMATED DISTRICT LEVEL SAMPLING

Sample of the selected sites based of IDPs living in sites with critical shelters present and more than 30 families, by governorate and district.

Governorate	District	Site Number
Anbar		21
Anbar	Al-Rutba	3
	Falluja	15
	Ramadi	3
Baghdad		4
Baghdad	Abu Ghraib	2
	Mahmoudiya	2
Dahuk		39
Dahuk	Dahuk	1
	Sumel	36
	Zakho	2
Erbil		3
Erbil	Erbil	3
Kirkuk		18
Kirkuk	Daquq	2
	Kirkuk	16
Ninewa		40
Ninewa	Al-Baaj	4
	Al-Hatra	8
	Al-Mosul	8
	Al-Shikhan	2
	Sinjar	11
	Telafar	7
Salah al-Din		23
Salah al-Din	Balad	1
	Samarra	13
	Tikrit	9

ANNEX 2: RED FLAG VULNERABILITY INDEX

Vulnerability is the sum of three components: susceptibility to harm, coping capacities to reduce negative impacts, and adaptability for long-term societal change to reduce future vulnerability.

This vulnerability index has categorised 24 indicators from the Informal Sites Profiling and Intentions tool into these three vulnerability components. To ensure each category is equally represented in the calculation, a weight has been added to each indicator.

Calculation of the composite indicator

Step 1: Multiply the scores of the individual factors by their respective weights

Step 2: Add up the multiplied scored of all factors

Step 3: Divide the sum by 24

Step 4: The higher the site's score, the higher their vulnerability

Ranking

0 – 0.25 = Low vulnerability

0.26 – 0.5 = Moderate vulnerability

0.51 – 0.75 = High vulnerability

0.76 – 1 = Extreme vulnerability

Vulnerability component	Questionnaire Question	Response options	Answer Scoring
Coping Capacity	What are priority needs to make current shelter a better place to live (select up to 3)?	None	0
		Protection from hazards Improve safety and security Improve privacy and dignity Protect from climatic conditions Improve basic infrastructures and utilities Improve structural stability of the building	1
		Other	TBD depending on answer
Susceptibility	Is your shelter damaged or in need of repair?	No damage (0%)	0
		Yes, 1%-24% damaged	0.25
		Yes, 50%-74% damaged	0.5
		Yes, 25%-49% damaged	0.75
		Yes, 75%-99% damaged	1
Adaptive Capacity	What are the primary sources of livelihoods your household has access to / are engaging in?	Commercial agriculture Smallholder agriculture Subsistence agriculture Self-employment Low skilled service industry Public sector/ civil servant Public security official Skilled service industry Pension from government;	0
		Unskilled agricultural labour Unskilled wage labour Casual unskilled labour	0.75

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		None	1
		Other	TBD depending on answer
Susceptibility	Does your household have access to at least 2 meals per day?	Yes, all the time (100%)	0
		Nearly all the time (75%-99%)	0.75
		Most of the time (50%-74%)	0.5
		Less than half of the time (25%-49%)	0.25
		Less than a quarter of the time (1%-24%)	1
Coping Capacity	How far is the closest functioning, accessible market to your location?	Within 2 km	0
		Between 2-5 km	0.5
		More than 5 km	0.75
		Do not know of a functioning facility None that the family can access (movement restriction/security)	1
Susceptibility	How frequently is solid waste / garbage disposal collected from your household / communal garbage bin?	Not sure	0
		Every day	0
		Every 2-3 days	
		Every 4-7 days	
		After more than 1 week	0.75
Susceptibility	What are the types of functional latrines in the site i.e. public, private etc. (select all that apply)?	Never	1
		Don't know	0
		Flush or pour/flush toilet	0
		Pit VIP toilet	
		Pit latrine with a slab and platform	0.25
		Pit latrine without a slab or platform	0.5
		Open hole Bucket toilet Plastic bag Hanging toilet/latrine	0.75
		Open defecation None	1
Coping Capacity	Is soap and water available for handwashing at most handwashing facilities?	Other	TBD depending on answer
		Don't know Prefer to not answer	0
		Yes	0
Susceptibility	In the last 30 days, was your household unable to access their primary source of drinking water?	No	1
		Don't know Prefer to not answer	0
		No	0
Coping Capacity	How far is the closest functioning, accessible healthcare facility to your location?	Yes	0
		Within 2 km	0
		Between 2-5 km	0.5
		More than 5 km	0.75

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		Do not know of a functioning facility None that the family can access (movement restriction/security)	1
Coping Capacity	Does it provide the following: - emergency services? - surgical services? - pediatric services? - prenatal services? - dispense medication?	Yes, all 5	0
		Yes, for 3-4	0.25
		Yes, for 1-2	0.75
		No	1
Coping Capacity	How far is the closest functioning, accessible hospital facility to your location?	Within 2 km	0
		Between 2-5 km	0.5
		More than 5 km	0.75
		Do not know of a functioning facility None that the family can access (movement restriction/security)	1
Coping Capacity	Does it provide the following: - emergency services? - surgical services? - pediatric services? - prenatal services? - dispense medication?	Yes, all 5	0
		Yes, for 3-4	0.25
		Yes, for 1-2	0.75
		No	1
Susceptibility	Is your household currently at risk of being forcibly evicted?	Don't know Prefer to not answer	0
		No	0
		Yes	1
Susceptibility	Do you feel safe in the site area?	Don't know Prefer to not answer	0
		Yes	0
		No	1
Susceptibility	Is there a risk of unexploded ordnance or mines, or unlabeled or unknown chemicals on the site or in surrounding areas?	Neither present in or around the site	0
		Risk of unexploded ordnance or mines Presence of unlabeled or unknown chemicals	1
Coping Capacity	Is there any fire safety equipment available?	Fire extinguishers Smoke detectors Fire blankets Sand buckets	0
		None	1
		Other	TBD depending on answer
		Don't know	0

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Adaptive Capacity	Is there a functional primary school that is accessible to the site?	Prefer to not answer	
		Yes	0
		No	1
Adaptive Capacity	Is there a functional secondary school that is accessible to the site?	Don't know Prefer to not answer	0
		Yes	0
		No	1
Adaptive capacity	Has your family seen/heard COVID-19 preventive measures actively communicated within the site?	Don't know Prefer to not answer	0
		Yes	0
		No	1
Adaptive capacity	Have individuals with pre-existing conditions been advised to practice preventative social distancing (e.g. elderly persons, pregnant women, people with immune-suppressing diseases, people with chronic illnesses)?	Don't know Prefer to not answer	0
		Yes	0
		No	1
Adaptive capacity	Are there enough handwashing facilities in existing WASH areas to meet the additional needs?	Don't know Prefer to not answer	0
		Yes	0
		No	1
Adaptive capacity	Are people practicing preventative social distancing using shared communal facilities (e.g. WASH facilities, markets)?	Don't know Prefer to not answer	0
		Yes	0
		No	1
Adaptive capacity	Is your family able to access COVID-19 testing through a nearby designated hospital or health centre?	Don't know Prefer to not answer	0
		Yes	0
		No	1

ANNEX 3: DATA ANALYSIS PLAN INFORMAL SITES PROFILING

Research questions	Indicator / Variable	Questionnaire Question	Questionnaire Responses	Data collection level
Location Verification	Enumerator code	Please record your enumerator number	(Numbers)	Household, Site, Sub-district, District, Governorate
	Governorate	What is the governorate?	Governorate List	Household, Site, Sub-district, District, Governorate

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District	What is the district?	District List	Household, Site, Sub-district, District, Governorate
Sub-district	What is the sub-district?	Sub-district List	Household, Site, Sub-district, District, Governorate
Site	What is the site name?	Site List	Household, Site, Sub-district, District, Governorate
Site	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
Site type	What is the type of site area you are assessing?	Informal Collective centre; Informal settlement; Other	Household, Site, Sub-district, District, Governorate
Site type	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
Site Verification	Is this a single or clustered site? - Single site - Isolated site, functioning by its own and not linked to any other site. - Clustered site - A group of sites in the same geographic area that function as a community.	Single; IDP families are clustered together	Household, Site, Sub-district, District, Governorate
Site Verification	What type of location is this?	Urban; Peri-urban; Rural	Household, Site, Sub-district, District, Governorate
Site Verification	Is the site in an isolated location compared to the nearest community?	Site is located within a community; Site is located outside a community;	Household, Site, Sub-district, District, Governorate
Site Verification	How long have the sites been in this location?	(Numbers)	Household, Site, Sub-district, District, Governorate
Site Verification	Is the land the site is on allocated for this purpose?	Site built for the purpose of accommodating IDPs; Site modified/upgraded for the purpose of accommodating IDPs; Site is not fit for accommodating IDPs	Household, Site, Sub-district, District, Governorate
Geotag at assessed location	Record site GPS location	(Open text)	Household, Site, Sub-district, District, Governorate
Site criteria	Does the site meet the criteria for assessment?	No site - incorrect location; No families here anymore; Fewer than 30 families; No one available to interview; Other	Household, Site, Sub-district, District, Governorate

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	Site criteria	If no, why?	(Open text)	Household, Site, Sub-district, District, Governorate
	Site criteria	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	Family number	How many families are there?	(Open text)	Household, Site, Sub-district, District, Governorate
	Site criteria	If the family number is below 30 families, please confirm this with 2 family interviews. If the site is not inhabited, there are too few families or no one is available to interview, then please end the questionnaire here.	[Prompt/Guidance note]	Household, Site, Sub-district, District, Governorate
1. What is the profile of the site and population demographics?	Head of household	Are you the head of the family?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	Head of household	If no, are you willing and able to respond to the questions on behalf of the family?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% of [male/female/other] family members	What is the gender of the respondent?	Female; Male; Prefer not to answer; Other	Household, Site, Sub-district, District, Governorate
	% of [children/adult/elderly] family members	What is the age of the respondent?	(Open text)	Household, Site, Sub-district, District, Governorate
	% of single-headed households	What is their relation to the head of family?	Brother; Mother; Sister; Father; Aunt; Uncle; Grandparent; Other	Household, Site, Sub-district, District, Governorate
	# of family members	(Repeat depending on answer above) How many members are there in your family?	(Open text)	Household, Site, Sub-district, District, Governorate
	% of [male/female/other] family members	(Repeat depending on answer above) What is the gender of this person?	Female; Male; Prefer not to answer; Other	Household, Site, Sub-district, District, Governorate
	% of [children/adult/elderly] family members	What is the age of this person?	(Open text)	Household, Site, Sub-district, District, Governorate

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	Site name	What do you call this site?	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites by month/year first occupied	When was the site first occupied by IDPs?	(Numbers)	Household, Site, Sub-district, District, Governorate
	% sites by month/year of families arrived	When did your family arrive to the site?	(Numbers)	Household, Site, Sub-district, District, Governorate
	# Families in site	How many families live in the site currently?	(Open text min, max, estimated)	Household, Site, Sub-district, District, Governorate
	# Individuals in site	How many individuals live in the site currently?	(Open text min, max, estimated)	Household, Site, Sub-district, District, Governorate
2. What are the vulnerable profiles in the site?	% of female-headed households (separated, divorced, widowed)	Is your family female headed?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% of child headed household	Is your family child headed (under the age 18)?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% of unaccompanied minors	Does your family include unaccompanied minors i.e. under 18 and not living with an adult relative?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% with difficulty seeing	Does any member of your family have difficulty seeing, even if wearing glasses?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% with difficulty hearing	Does any member of your family have difficulty hearing, even if using a hearing aid?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% with difficulty walking	Does any member of your family have difficulty walking or climbing steps?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% with difficulty remembering	Does any member of your family have difficulty remembering or concentrating?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% with difficulty with self-care	Does any member of your family have difficulty with (self-care, such as) washing all over or dressing?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate

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	% with difficulty communicating	Using your usual (customary) language, does any member of your family have difficulty communicating, for example understanding or being understood?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
3. What are the site management arrangements?	% sites by type of site owner	B.1. Who is the owner of the land on which the site is settled?	NGO; Private individual; Public/government entity; Commercial business; Religious Authorities; Other; Charity; Owner not known	Household, Site, Sub-district, District, Governorate
	% sites by type of site owner	B.1a If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites with a recognised leadership in place	B.2. Is there a leadership structure among the families in the site, or community representatives that represent all the families externally?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites with a recognised leadership in place, by actors involved	B.2.a Who is mainly involved in the leadership structure?	Religious leader; Mukhtar; Tribal leader; Leader of Ethnic group; Site committee; Other	Household, Site, Sub-district, District, Governorate
	% sites with a recognised leadership in place, by actors involved	B.2.a.i If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites with a recognised leadership in place, conducting advocacy	B.2.b Does the site leadership conduct liaison/advocacy with local authorities?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
4. What are the priority shelter and site needs of IDP families?	% type of shelter	What type of shelter does your family live in, in the site?	Unfinished and abandoned Residential building ; Damaged Residential building; Tent; Religious building; Public building (school, etc.); Non-Residential structure (garage, farm House, shop); Makeshift shelter (with scavenged materials); Mud shelter; Don't know; Other	Household, Site, Sub-district, District, Governorate
	% type of shelter	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate

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% type of shelter material	What material is your shelter made from?	(Open text)	Household, Site, Sub-district, District, Governorate
% type of shelter material	What other types of shelter is available and occupied (by the same group of people) in the site? (select all that apply)?	Unfinished and abandoned Residential building ;Damaged Residential building; Tent; Religious building; Public building (school, etc.);Non-Residential structure (garage, farm House, shop);Makeshift shelter (with scavenged materials);Mud shelter; Don't know; Other	Household, Site, Sub-district, District, Governorate
% type of shelter material	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% type of shelter material	What types of material are these other shelters made from?	(Open text)	Household, Site, Sub-district, District, Governorate
% sites with shelter damages	Is your shelter damaged or in need of repair?	Yes, 100% damaged; Yes, 75%-99% damaged; Yes, 50%-74% damaged; Yes, 25%-49% damaged; Yes, 1%-24% damaged; No damage (0%)	Household, Site, Sub-district, District, Governorate
% sites reporting shelter needs, by need	What are priority concerns to make current shelter a better place to live (select up to 3)?	Protection from hazards (contamination from explosive remnants of war, land at risk of flooding or landslides, solid waste dumping site, fire risks, etc.); Improve safety and security (shelter located in an insecure/ isolated area, shelter not solid enough to offer protection from intruders, not fenced, without security of tenure, etc.; Improve privacy and dignity (no separate rooms, not enough space, shared facilities such as toilets & showers, low/high ceilings, lack of ventilation, lack of natural lighting);Protect from climatic conditions (leaking roof, floor not insulated, opening on the walls, broken windows, lack of ventilation, missing heating system, etc.);Improve basic infrastructures and utilities (access to electricity, cooking and bathing/toilet facilities);Improve structural stability of the building (signs of failure such as leaning walls, big cracks and bends in structural components - beam, slab, column, rafter, purlin and wall risk of falling debris);Other;	Household, Site, Sub-district, District, Governorate

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		Don't know; No improvements needed (shelter is good as it is)	
% sites reporting shelter needs, by need	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites reporting families renting	Does your family pay rent, in the site?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
Average monthly cost of rent (IQD)	What is the family rent each month (in IQD)?	(Open text)	Household, Site, Sub-district, District, Governorate
% types of tenancy agreement	What type of tenancy agreement does your family have for your current shelter, in the site?	No tenancy agreement; Written valid tenancy agreement (not expired); Written valid tenancy agreement (expired); Verbal tenancy agreement; Reduced rent in exchange for labour (informal); Free accommodation in exchange for labour (informal); We own the Land; Don't know; Other	Household, Site, Sub-district, District, Governorate
% site families have been evicted in the last 3 months	Have any families been evicted from the site in the last 3 months?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% site families have been evicted in the last 3 months	How many?	(Open text)	Household, Site, Sub-district, District, Governorate
% families currently at risk of eviction	Is your family currently at risk of being evicted?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% site families currently at risk of eviction, by actors trying to evict	Which actors are trying to evict the site residents?	Private owner; Local authorities; Military (ISF); Security actors (e.g. PMF, NSF); Police (federal, governorate, or local); Armed groups (e.g. ISIL); Host community members; Prefer not to say; Don't know; Other	Household, Site, Sub-district, District, Governorate
% site families currently at risk of eviction, by actors trying to evict	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate

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% site families currently at risk of eviction, by reason	What are the main reasons that your family is at risk of eviction?	Lack of funds to pay rental costs; Local community does not accept our community living in the area; Authorities requested our community to leave; Request to vacate from owner of building/land; Don't know; Prefer not to say; Other	Household, Site, Sub-district, District, Governorate
% site families currently at risk of eviction, by reason	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites display evidence of overcrowding	(Observation) Is there evidence of overcrowding in the site?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where elderly or disabled families are able to access the site and structures in it	Can anyone in your family with movement disabilities or who is elderly access the site and move within it?	Yes, without any problem; Yes, but with difficulty (steep routes, too many stairs, etc.); No, the site is not accessible	Household, Site, Sub-district, District, Governorate
% site families not able to access electricity	Does your family have access to electricity in the site?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% site families main source of electricity	(if at least some) What is the main source of electricity to your family?	Public network; Communal generator; Private generator; Illegal/informal connection; Other	Household, Site, Sub-district, District, Governorate
% site families electricity accessibility	(if at least some) For how many days in the last 30 was it available?	(Open text)	Household, Site, Sub-district, District, Governorate
Approximately how many families in the site were able to access electricity for at least 4 hours per day in the last 30 days?	(if at least some) For how many hours per day was it available?	Less than 4 hours; 4 to 6 hours; 6 to 8 hours; 8 to 12 hours; More than 12 hours	Household, Site, Sub-district, District, Governorate

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	% sites experiencing electrical concerns, by type of concern	Does your family have any electrical concerns at the site?	No electricity supply to the whole site; Not enough electricity supply to the whole site; Poor wiring; Low and uncovered electrical pylons; Electrical sockets near water; Overloaded circuits; Other; None	Household, Site, Sub-district, District, Governorate
	% sites experiencing electrical concerns, by type of concern	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites with fire safety equipment available, by type of equipment	Is there any fire safety equipment available in your family?	Fire extinguishers; Smoke detectors; Fire blankets; Sand buckets; Other; None	Household, Site, Sub-district, District, Governorate
	% sites with fire safety equipment available, by type of equipment	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites where there is a risk of UXOs or mines on the site or in the surrounding areas	Is there a risk of unexploded ordinance or mines, or unlabelled or unknown chemicals on the site or in surrounding areas?	Risk of unexploded ordinance or mines; Presence of unlabelled or unknown chemicals; Neither present in or around the site	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited needs of families in the site	What are your families priority needs (select up to 3)?	Healthcare; Food; Education; Water; Employment/ livelihoods opportunities ;Shelter support; PSS; Civil documentation; Basic NFI kit; Sanitation; Clothing; Summarisation items; Winterisation items; Kerosene for heating & cooking; Hygiene items; Cash for various expenses; Other	Household, Site, Sub-district, District, Governorate
5. What are the priority assistance needs of IDP families?	IDP population child-headed families	Which services/ assistance are needed most urgently by your family (select up to 3)?	Cash; Food assistance; NFIs; Winterisation items; Summarisation items; Fuel; In-kind shelter assistance i.e. tarpaulins, tents; Shelter rehabilitation; De-mining services (actual demining or mine risk education);Protection services (legal assistance);Protection services (psycho-social support);Protection services (child protection or GBV);Health services i.e. mobile clinics, pre or post-natal appointments; WASH	Household, Site, Sub-district, District, Governorate

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			(water);WASH (hygiene and sanitation infrastructure i.e. latrines and showers);WASH (hygiene promotion);In-kind education i.e. books, stationary etc.; Education services i.e. informal education or remedial classes; Cash for Work activities; Other; Cash for COVID-19;MPCA	
	IDP population orphans	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% of site families received assistance in the 3 months prior to interview	Have any services or assistance been provided to your family in the last 3 months?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% of site families received assistance in the 3 months prior to interview, by type of assistance received	(if yes) What type of assistance (select all that apply)?	Cash; Food assistance; NFIs; Winterisation items; Summarisation items; Fuel; In-kind shelter assistance i.e. tarpaulins, tents; Shelter rehabilitation; De-mining services (actual demining or mine risk education);Protection services (legal assistance);Protection services (psycho-social support);Protection services (child protection or GBV);Health services i.e. mobile clinics, pre or post-natal appointments; WASH (water);WASH (hygiene and sanitation infrastructure i.e. latrines and showers);WASH (hygiene promotion);In-kind education i.e. books, stationary etc.; Education services i.e. informal education or remedial classes; Cash for Work activities; Other; Cash for COVID-19;MPCA	Household, Site, Sub-district, District, Governorate
	% of site families received assistance in the 3 months prior to interview, by type of assistance received	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% of site families received assistance in the 3 months prior to interview, by type of assistance received	(if yes) From who? (select all that apply)?	Humanitarian organisations; Charity; Local NGO; Host community; Government assistance; Relatives and friends;	Household, Site, Sub-district, District, Governorate

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	months prior to interview, by actor		Religious organisation; Other; Don't know	
	% of site families received assistance in the 3 months prior to interview, by actor	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% of site families needing information on access to services	Does your family need more information on how to access needed services?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
6. What are the priority WASH needs of IDP families?	% sites by families' primary source of drinking water	What is the primary source of drinking water for your family?	Piped water into compound; Piped water connected to public tap; Protected well; Surface water without pre-treatment (river, dam, lake, pond, stream, canal); Borehole; Protected rainwater tank; Protected spring; Bottled water; Purchase water; Water Trucking; Illegal connection to piped network; Unprotected rainwater tank; Unprotected well; Unprotected spring; Other	Household, Site, Sub-district, District, Governorate
	% sites by families' primary source of drinking water	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	IDP population area of origin	How long does it take to go to your main water point, fetch water, and return (at peak time)?	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites where the primary source of drinking water is deemed acceptable in terms of colour, taste, and smell	Is the primary source of drinking water acceptable in terms of colour, taste, and smell?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites treating drinking water	Did you treat your drinking water in any way?	No treatment; Boil the water; Use chlorine tablets, powder or liquid; Other	Household, Site, Sub-district, District, Governorate

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% sites treating drinking water	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
Average number of days families spent without access to drinking water in the 30 days prior to interview	In the last 30 days, was your family unable to access their primary source of drinking water?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
Average number of days families spent without access to drinking water in the 30 days prior to interview	(If yes) How many families experienced access issues?	(Open text)	Household, Site, Sub-district, District, Governorate
Average number of days families spent without access to drinking water in the 30 days prior to interview, by number of days	(If yes) For how many days on average (in the last 30)?	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families used WASH-based coping strategies	(if yes) How does your family cope with lack of access i.e. buy water, rely on communal tanks etc. (select all that apply)?	Purchase from truck; Purchase from store/ market; Borrow from friends or relatives; Private water storage i.e. jerry cans or water tanks; Communal water storage; Other	Household, Site, Sub-district, District, Governorate
% sites where families used WASH-based	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate

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coping strategies			
% sites with latrine types	Are the latrines that your family can access public or private?	Public latrines; Communal latrines; Private latrines; No latrines	Household, Site, Sub-district, District, Governorate
% sites types of functional latrines	What are the types of functional latrines that your family can access in the site (select all that apply)?	Pit latrine with a slab and platform; Flush or pour/flush toilet; Open hole; Pit latrine without a slab or platform; Pit VIP toilet; Bucket toilet; Plastic bag; Hanging toilet/latrine; open defecation; None of the above; Other; Don't know	Household, Site, Sub-district, District, Governorate
% sites types of functional showers	What are the types of functional shower/bathing facilities that your family can access in the site (select all that apply)?	Public showers; Communal showers; Private showers; No showers; Bathing inside shelter (not in a shower); Bathing outside shelter (not in a shower); Other	Household, Site, Sub-district, District, Governorate
% sites where there is evidence of open defecation	(Observation) Is there evidence of open defecation at the site?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where families able to access hygiene items	Was your family unable to access or afford basic hygiene items in the last 30 days?	Soap (bar); Sanitary pads (1 pack of 9); Disposable diapers (1 pack of 24); Washing powder (1kg); Jerry can / bucket; Toothbrush (adults); Toothpaste (adults); Toothbrush (child); Toothpaste (child); Shampoo (adults); Shampoo (babies); cleaning liquid (for house); Detergent for dishes; Plastic Garbage Bags ; Washing line; Nail clippers; Comb; Towel; Other (specify)	Household, Site, Sub-district, District, Governorate
% sites experienced flooding in the last 12 months	Has the site experienced flooding which has resulted in damage to site shelter and infrastructure in the last 12 months?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where families are concerned about flooding	Are you concerned about flooding in the site?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites by families' primary method of waste disposal	What is the main method of solid waste / garbage disposal for your family?	Garbage collection from family or street; Communal garbage bin; Burning garbage; Throwing in street / open space; don't know	Household, Site, Sub-district, District, Governorate

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	% sites by families' primary method of waste disposal	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites timeframes of waste disposal	How frequently is solid waste / garbage disposal collected from your family / communal garbage bin?	Every day; Every 2-3 days; Every 4-7 days; After more than 1 week; Never; Not sure	Household, Site, Sub-district, District, Governorate
	% sites reporting sufficient timeframe of waste disposal	Is this timeframe to collect solid waste / garbage disposal sufficient?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
7. What are the priority healthcare needs of IDP families?	Top 3 most frequently cited primary health issues	What were the main health issues experienced by your family in the last 30 days (select all that apply)?	Cases of diarrhoea; Skin diseases (scabies, contagious rashes, etc.); Cases of fever; Cases of respiratory diseases; Cases of pregnancy related diseases; Trauma-related injuries; Physical disabilities; Mental disabilities; Other; Cholera; Typhoid; Hepatitis A/E; Soil transmitted helminths (intestinal worms); None	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited primary health issues	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites with accessible primary health services within 2km of the site location	How far is the closest functioning, accessible healthcare facility to your location?	More than 5 km; Within 2 km; None that the family can access (movement restriction/security); Between 2-5 km; Do not know of a functioning facility	Household, Site, Sub-district, District, Governorate
	% sites with accessible primary health services within 2km of the site location	How long does it take to walk to the closest functioning, accessible healthcare facility to your location? (in minutes)	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites with accessible primary health services within 2km of the site location	In what area is it located i.e. town, sub-district	(Open text)	Household, Site, Sub-district, District, Governorate

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% sites with accessible primary health services available	What services are available through this healthcare facility (select all that apply)?	Paediatric services; Emergency services; Prenatal services; Surgical services; Medication dispensary; Other	Household, Site, Sub-district, District, Governorate
% sites with accessible hospital services within 2km of the site location	How far is the closest functioning hospital to your location?	More than 5 km; Within 2 km; None that the family can access (movement restriction/security); Between 2-5 km; Do not know of a functioning facility	Household, Site, Sub-district, District, Governorate
% sites with accessible hospital services within 2km of the site location	How long does it take to walk to the closest functioning, accessible hospital to your location? (in minutes)	(Open text)	Household, Site, Sub-district, District, Governorate
% sites with accessible hospital services within 2km of the site location	In what area is it located i.e. town, sub-district	(Open text)	Household, Site, Sub-district, District, Governorate
% sites with accessible hospital services available	What services are available through this hospital (select all that apply)?	Paediatric services; Emergency services; Prenatal services; Surgical services; Medication dispensary; Other	Household, Site, Sub-district, District, Governorate
Top 3 most frequently cited primary health issues	Who is the primary health care provider for families?	International organisation (UN, IFRC, MSF); Private clinic; Local organisation (religious group, volunteers); Public clinic; Don't know; None; Other	Household, Site, Sub-district, District, Governorate
Top 3 most frequently cited difficulties to accessing primary health care	What difficulties, if any, did you encounter?	Did not get access to qualified health staff at hospital; No issues; Did not get access to qualified health staff at public health clinic; Cost of services was too high; Language barrier; Medical staff refused treatment without any explanation; Cost of medicine was too high; No medicine available at hospital; No medicine available at pharmacy; No medicine available at public health clinic; No transport available; No treatment available for my disease at the public health clinic; No treatment available for the problem at the hospital; Problems with civil documents; Public health clinic did not provide referral; Public health clinic not	Household, Site, Sub-district, District, Governorate

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			open; The treatment centre was too far away; Gender discrimination; No support from family; Other	
	Top 3 most frequently cited difficulties to accessing primary health care	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites accessible by ambulance	Can the site be accessed by an ambulance?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites reason not accessible by ambulance	If not, why?	Road not suitable for ambulance; Ambulance can't find the site; Restricted by security measures (checkpoint, etc.); Other (please specify)	Household, Site, Sub-district, District, Governorate
	% sites reporting vaccination campaigns in the last 12 months	Have there been any vaccination campaigns providing vaccinations in the last 12 months at the site?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
8. What are the priority food security needs of IDP families?	% sites where families could access 2 meals per day	Does your family have access to at least 2 meals per day?	Yes, all the time (100%); Nearly all the time (75%-99%); Most of the time (50%-74%); Less than half of the time (25%-49%); Less than a quarter of the time (1%-24%); Never (0%)	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited primary challenges to accessing food	In your opinion, what are the primary challenges to accessing food from the site?	No cooking facilities; Limited access to food due to physical/logistical constraints (e.g. damaged roads, no vehicles, long distance); Limited access to food due to security constraints; Limited access to food due to limited economic resources; Agricultural/livestock production is disrupted; Available food is of low quality; None; Other	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited primary challenges to accessing food	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites where families could	In the past three months, has your family been able to access PDS, if needed?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate

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	access food assistance			
9. What are the priority livelihood needs of IDP families?	% sites with accessible markets within 2km of the site location	How far is the closest functioning, accessible market to your location?	More than 5 km; Within 2 km; None that the family can access (movement restriction/security); Between 2-5 km; Do not know of a functioning facility	Household, Site, Sub-district, District, Governorate
	% sites with accessible markets within 2km of the site location	How long does it take to walk to the closest functioning, accessible market, from your location? (in minutes)	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites where families could access NFI items in the 30 days prior to data collection	In the last 30 days, were there any basic food or non-food items that were not affordable to your family?	Basic food items; Water; Basic families items (e.g. mattress, blankets, kitchen utensils); Tools, hardware and materials; Hygiene items; Basic medicine; Kerosene; Other; Everything needed is available	Household, Site, Sub-district, District, Governorate
	% sites where families could access NFI items in the 30 days prior to data collection	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited primary livelihoods sources	What are the primary sources of livelihoods your household has access to / are engaging in?	Commercial agriculture; Smallholder agriculture; Subsistence agriculture; Unskilled agricultural labour; Unskilled wage labour; Casual unskilled labour; Self-employment; Low skilled service industry; Public sector/ civil servant; Public security official; Skilled service industry; Pension from government; Other; None	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited primary livelihoods sources	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	Average % site families have earned an income in the 30 days	Has your family earned an income in the last 30 days?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate

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	prior to interview			
	Top 3 most frequently cited barriers to accessing livelihoods	In your opinion, what are the barriers to accessing livelihoods/income generating opportunities from the site ?	Increased competition for jobs; not enough jobs for everyone; Available jobs are too far away; Only low-skilled, socially degrading or low-paid jobs are available; Underqualified for available jobs; Lack of family/personal connections; Other; None	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited barriers to accessing livelihoods	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	Average % young people under 19 were engaged in employment in the 30 days prior to data collection	Have minors (under the age of 18) from the family worked in the last 30 days?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
10. What are the priority education needs of IDP families?	Average % school - aged children have access to primary school	Is there a functional primary school that is accessible to your family?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites with accessible primary school within 2km of the site location	(if yes) How far away (km)?	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites with access to primary school with sufficient teachers	(if yes) Are there sufficient certified teachers?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	Average % school - aged children have access to	Is there a functional secondary school that is accessible to your family?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate

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secondary school			
% sites with accessible secondary school within 2km of the site location	(if yes) How far away (km)?	(Open text)	Household, Site, Sub-district, District, Governorate
% sites with access to secondary school with sufficient teachers	(if yes) Are there sufficient certified teachers?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
Average # school - aged children have access to formal school	How many school-aged children (6-17) from your family are able to access formal school?	(Open text)	Household, Site, Sub-district, District, Governorate
Top 3 most frequently cited barriers to accessing formal education	In your opinion, what are the primary challenges to accessing formal education?	Not enough schools or classrooms; Not enough teachers; Not enough learning materials; Physical/ logistical constraints ;Long distance to travel to school; Limited economic resources (e.g. to pay for school fees, uniform, transport, books, etc.);Security constraints (e.g. presence of UXOs in or around the school);Children have to work to cope with lack of food; School being used for other purposes i.e. shelter; Don't have necessary documentation; Other; None	Household, Site, Sub-district, District, Governorate
Top 3 most frequently cited barriers to accessing formal education	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
Average # school - aged children are attending formal school	How many school-aged children from your family have not been attending formal education in the last school year?	(Open text)	Household, Site, Sub-district, District, Governorate
Average # months school - aged	Of the children not in formal school, on average, how long have these children not attended school (months)?	(Open text)	Household, Site, Sub-district, District, Governorate

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	children not attending formal school have not attended			
	% sites, by group, of children less able to access education	Are any groups of children less able to access formal or non-formal education i.e. high-school aged boys or girls, or children with disabilities?	Male primary school; Female primary school; Male middle school; Female middle school; Male high school; Female high school; Children with disabilities; Other; None	Household, Site, Sub-district, District, Governorate
	% sites, by group, of children less able to access education	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
11. What are the priority security needs of IDP families?	Top 3 most frequently cited primary barriers to movement faced by people in the site	What are the primary barriers to movement that your family faces (select up to 3)?	No money for transportation cost; Missing ID documents; Lack of security clearance; Risk to personal safety; Community-imposed movement restrictions; Continued violence in the area; Perceived affiliation to armed groups; Other; None	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited primary barriers to movement faced by people in the site	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites experience tension between host community families and the occupants	Is there tension between host community families and the occupants of the site?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites where security incidents occurred in or near in the last 30 days	Have any security incidents occurred on or near the site in the last 30 days?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites where security incidents	Which actors did these incidents involve?	Host community; Military (ISF); Security actors (e.g. PMF, NSF); Police (federal, governorate, or local); Local authorities; Armed	Household, Site, Sub-district, District, Governorate

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occurred in or near, by actors involved		groups (e.g. ISIL); Site residents; Prefer not to say; Other	
% sites where security incidents occurred in or near, by actors involved	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where security incidents occurred in or near, by nature of the incident	What was the nature of the incident?	Problems with authorities (arrests, evictions, movement restrictions, etc.); Incidents involving physical violence ; Gender-Based Violence; Discrimination; Other (please specify)	Household, Site, Sub-district, District, Governorate
% sites where security incidents occurred in or near, by nature of the incident	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where people do not feel safe in the site area	Do you feel safe in the site area?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where people do not feel safe in the site area, by most frequently cited reasons	Why do you feel unsafe in the site area?	GBV inside/outside the HH; Illegal, dangerous or exploitative working conditions; Close to conflict (armed attacks, front line etc.); Risk of explosive hazards (mines, bombs, IEDs); Fear of armed security actors (recruitment, detention, violence, threats or harassment); Fear of armed opposition groups (recruitment, violence, threats or harassment); Fear of community/tribal groups (violence, threats, harassment related to ongoing dispute); Social exclusion or discrimination; Prefer not to say; Other	Household, Site, Sub-district, District, Governorate
% sites where people do not feel safe in the site area, by	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate

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	most frequently cited reasons			
12. What are the priority protection needs of IDP families?	% sites where women and girls do not feel safe, by type of area	Are there areas where women and girls do not feel safe?	In shelters; Water point; Market; School; Healthcare facility; WASH facilities (latrines/ bathing); Other (specify); Prefer not to say; None	Household, Site, Sub-district, District, Governorate
	% sites where men and boys do not feel safe, by type of area	Are there areas where men and boys do not feel safe?	In shelters; Water point; Market; School; Healthcare facility; WASH facilities (latrines/ bathing); Other (specify); Prefer not to say; None	Household, Site, Sub-district, District, Governorate
	% sites where there are adequate facilities/services available for individuals with physical health issues	Are there adequate facilities and/or services available for persons in the site with physical disabilities, through government, humanitarian or private service providers?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites where there are adequate facilities/services available for individuals with physical health issues, by actor	(If Yes) From who? (select all that apply)	Humanitarian organisations; Local NGO; Host community; Government assistance; Relatives and friends; Religious organisation; Charity organisations; Other; Don't know	Household, Site, Sub-district, District, Governorate
	% sites where there are adequate facilities/services available for individuals with mental health concerns	Are there adequate facilities and/or services available for persons in the site with intellectual or mental disabilities, through government, humanitarian or private service providers?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites where there are adequate facilities/services	(If Yes) From who? (select all that apply)	Humanitarian organisations; Local NGO; Host community; Government assistance; Relatives and friends; Religious organisation; Charity organisations; Other; Don't know	Household, Site, Sub-district, District, Governorate

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available for individuals with mental health concerns, by actor			
% sites where there are adequate facilities/services available for individuals with mental health issues or PSS needs	Are there adequate mental health and psycho-social support services available for persons in the site, through government, humanitarian or private service providers?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where there are adequate facilities/services available for individuals with mental health issues or PSS needs, by actor	(If Yes) From who? (select all that apply)	Humanitarian organisations; Local NGO; Host community; Government assistance; Relatives and friends; Religious organisation; Charity organisations; Other; Don't know	Household, Site, Sub-district, District, Governorate
% sites where there are adequate facilities/services available for individuals with mental health issues or PSS needs, by service available	(If Yes) what are the types of psychosocial support services available for persons in the site?	Peer-to-peer groups (for conflict-affected children and adolescents); Resilience-oriented individual counselling (for conflict-affected adults); Resilience-oriented group counselling (for conflict-affected adults); PSS (for GBV survivors); Mental health services through Health partners (e.g. psychiatrist, psychologist); PSS through Case Management ; Other; Structured PSS (for conflict-affected children and adolescents)	Household, Site, Sub-district, District, Governorate
% sites where there are adequate facilities/services available for individuals with mental health issues or PSS needs,	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate

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	by service available			
	Average % families are missing needed documents for one or more members	Is your family missing documentation needed to circulate freely (i.e. civil ID, nationality certificate, birth certificate, marriage/divorce certificate, Public Distribution System [PDS]/food ration card, etc.)?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	Average distance to accessible courts and civic registration offices	How far away are the nearest and accessible courts and civil registration offices that your family can use (km)?	(Open text)	Household, Site, Sub-district, District, Governorate
13. What is the level of preparedness to cope with COVID-19 in each informal site?	% sites where families have access to COVID-19 testing	Is your family able to access COVID-19 testing through a nearby designated hospital or health centre?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites where families with pre-existing conditions have been advised to practice social distancing	Have individuals within your family with pre-existing conditions been advised to practice preventative social distancing (e.g. elderly persons, pregnant women, people with immune-suppressing diseases, people with chronic illnesses)?	Yes; No; Not applicable	Household, Site, Sub-district, District, Governorate
	% sites where families report sufficient handwashing facilities	Are there enough handwashing facilities in existing WASH areas to meet your families additional needs?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites where families report sufficient soap and water available at handwashing facilities	Is there enough soap and water available for handwashing at most handwashing facilities?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites where families report	Has your family seen/heard COVID-19 preventive measures actively communicated within the site?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate

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	sufficient COVID-19 preventative measure communication			
	% sites where families report avoiding large gatherings	Is your family complying with instructions to avoid large gatherings (more than 10 people)?	No; Yes, fully; Do not know; Yes, partially; Prefer not to answer	Household, Site, Sub-district, District, Governorate
	% sites where families report using preventative social distancing in communal areas	Is your family practicing preventative social distancing (1.5-2m) when using shared communal facilities (e.g. WASH facilities, markets)?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited COVID-19 information needs	What do you need more information on, in related to COVID-19?	Nothing; Treatment; How to get a test; Identifying symptoms; How to prevent the spread of COVID-19; The location of cases; Other	Household, Site, Sub-district, District, Governorate
	% sites where families report ability to meet basic needs has changed since COVID-19	How has your family's ability to meet your basic needs changed since the virus started spreading in Iraq since January 2020?	Improved; Remained the same; Do not know; Worsened	Household, Site, Sub-district, District, Governorate
14. What concerns do families in informal sites have relating to the natural environment, using natural resources, and environmental hazards?	% sites where families report being concerned by the waste of resources	Are you concerned about the waste of resources from your family?	Concerned about material waste (i.e. household waste); Concerned about water waste; Concerned about organic waste (i.e. food waste); Concerned about energy waste; Not concerned about waste; Don't know	Household, Site, Sub-district, District, Governorate
	% sites where families report being interested in receiving information on waste management	Would you be interested in receiving information or assistance on how to mitigate water waste, energy waste, material waste, organic waste?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate

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% sites where families report disputes over resource sharing	Have there been any disputes and conflict between host communities and displaced communities as a result of sharing resources in the last 30 days?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where families report disputes over resource sharing	Please describe	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families report considering using renewable resources	Has your family considered using renewable energy resources such as small wind turbines or small solar panels?	No never considered it; No, but we plan to use it in the future; Yes but it is not possible in this site; Don't know; Yes but need to consider it more; Yes, we are using renewable energy	Household, Site, Sub-district, District, Governorate
Top 3 most frequently cited components of site waste	What are the main components of the sites waste?	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families report harvesting rainwater	Does your family harvest rainwater?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where families report concern about exposure to hazards	Are you concerned about exposure or risk to any hazards in your area?	Chemical hazards; Earthquakes; Air/water pollution; Flooding; Drought; Agricultural land degradation; Extreme temperature/heat waves; Fires; No not concerned; Don't know	Household, Site, Sub-district, District, Governorate

ANNEX 4: DATA ANALYSIS PLAN INFORMAL SITES INTENTIONS

Research questions	Indicator / Variable	Questionnaire Question	Questionnaire Responses	Data collection level
1. Understand IDPs previous movements	Governorate of origin	What is your families governorate of origin?	Governorate List	Household, Site, Sub-district, District, Governorate

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District of origin	What is your families district of origin?	District List	Household, Site, Sub-district, District, Governorate
Sub-district of origin	What is your families sub-district of origin?	Sub-district List	Household, Site, Sub-district, District, Governorate
% of families displaced due to the 2014 conflict	Was your family displaced due to the conflict after 2014?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% of families displaced in certain displacement waves	Why did your family move to this site?	Moved from nearby location; Previous displacement between 2003 - 2014; Previous displacement before 2003	Household, Site, Sub-district, District, Governorate
% of families displaced in certain displacement waves	Why did you move here from nearby?	(Open text)	Household, Site, Sub-district, District, Governorate
Average # times families have been displaced	How many times has your family been displaced approximately (since Jan 2014)?	(Open text)	Household, Site, Sub-district, District, Governorate
Governorate of last displacement	Where was your last governorate before moving to this site?	Governorate List	Household, Site, Sub-district, District, Governorate
District of last displacement	Where was your last district before moving to this site?	District List	Household, Site, Sub-district, District, Governorate
Sub-district of last displacement	Where was your last sub-district before moving to this site?	Sub-district List	Household, Site, Sub-district, District, Governorate
Camp of last displacement	Was a camp your last location before moving to this site?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
Camp of last displacement	Please Specify Camp	(Open text)	Household, Site, Sub-district, District, Governorate
% of families reporting why they left their previous camp	Why did you leave the camp?	Eviction without camp closure; Choose to leave; Camp closure; Other	Household, Site, Sub-district, District, Governorate
% of families reporting why they left their previous camp	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate

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	% of families displaced in certain displacement waves, from their area of origin	When did your family leave their area of origin for the first time?	(Open text)	Household, Site, Sub-district, District, Governorate
2. Understand movement intentions of IDP families	% sites where families report head of household is the decision-maker	Who is the main decision-maker who decides whether or when your family will leave or stay in this site?	Tribal leader/mukhtar; Head of family; Other (please specify); Head of extended family	Household, Site, Sub-district, District, Governorate
	% sites where families report select movement intentions over the 3 months following data collection	What are your families movement intentions over the next 3 months?	Move to another location - outside Iraq; Return to area of origin against my will; Remain in current location - settle in this area; Move to another location - inside Iraq [specify governorate & district]; Do not know	Household, Site, Sub-district, District, Governorate
	% sites where families report select movement intentions over the 12 months following data collection	What are your families movement intentions over the next 12 months?	Move to another location - outside Iraq; Return to area of origin against my will; Remain in current location - settle in this area; Move to another location - inside Iraq [specify governorate & district]; Do not know	Household, Site, Sub-district, District, Governorate
	Governorate of intent	What governorate does your family intend to move to?	Governorate List	Household, Site, Sub-district, District, Governorate
	District of intent	What district does your family intend to move to?	District List	Household, Site, Sub-district, District, Governorate
	Sub-district of intent	What sub-district does your family intend to move to?	Sub-district List	Household, Site, Sub-district, District, Governorate
	% sites where families report eventually planning to return to their areas of origin	If your family is not currently planning to return, do you still wish one day you could return to your area of origin?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites where families report other eventual plans	If no, what is your eventual family plan?	Move to another location - outside Iraq; Return to area of origin against my will; Remain in current location - settle in this area; Move to another location - inside Iraq	Household, Site, Sub-district, District, Governorate

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		[specify governorate & district]; Do not know	
% sites where families report sufficient information on their area of origin	Would you say you have enough accurate information about your location of origin to take a decision on whether to return or not?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where families report select types of information on their area of origin	What kind of information do you need about your location of origin in order to be able to take a decision on whether to return or not	Information on my housing (damage, whether it is occupied, etc.); Security situation (presence of armed groups, IEDs, government security provision, etc.); Functioning of basic services (water, electricity, health, education, etc.); Safety of the area (presence of uncleared mines, UXOs etc.); Livelihoods/job opportunities; Humanitarian assistance; Other (please specify)	Household, Site, Sub-district, District, Governorate
% sites where families report select types of information on their area of origin	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families report reasons to not return	In your opinion, what are the main reasons why your families does not intend to return to your area of origin?	Presence of explosive hazards (mines, bombs, IEDs); Fear/trauma associated with returning to place of origin; Fear of discrimination or rejection from the community in AoO; Lack of security forces; Ongoing community tensions (ethno-religious); Movement restrictions by militias; Lack of safety and security for women and girls; Non-restoration of courts and/or civil registries in AoO; In need of civil documentation (including personal IDs, marriage or divorce certificates, death certificates, inheritance documents...); In need of Security Clearance; No transportation available to return home; No financial means to return and restart; Lack of livelihood/income generating activities in AoO; House I own in AoO has been damaged/destroyed; House/land I own in AoO is currently occupied; Family assets in AoO have been damaged/stolen; Local markets are not functioning; Basic services in the area of origin are not	Household, Site, Sub-district, District, Governorate

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			enough/available (electricity, water, health); Lack of education opportunities for children in the area of origin; Immediate family and network will not return; Health condition does not allow me to leave the area of displacement; Children enrolled at school in the area of displacement; Living conditions are better in the area of displacement; Do not know; Decline to answer	
	% sites where families report reasons to not return	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
3. Identify needs and vulnerabilities that may influence choices to return, resettle, or remain	Top 3 most frequently cited needs to return	If it were possible, what are the main needs you or your family require in order to return safely and dignified to your area of origin? (Select max 3)	Basic services (water, electricity, sanitation, waste removal); Access to information on the current situation of the area of origin; Healthcare services; Increased safety and security in the area of return; Education services (schooling); Transportation services; Psychosocial services; Legal assistance needed regarding Housing/Property Ownership; Functioning justice mechanisms; Civil documentation (ID cards, etc.); Rehabilitation/Reconstruction of Homes; Furniture / Non-food items ; Food items; Livelihood/income generating opportunities / Professional development training ; Functioning markets; Do not know; Decline to answer; Other (please specify); Nothing (no needs)	Household, Site, Sub-district, District, Governorate
	Top 3 most frequently cited needs to return	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
	% sites where families report safety concerns in their areas of origin	Do you think it is currently safe in your area of origin?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
	% sites where families report reasons safety concerns in	Why don't you think it is safe in your area of origin?	Illegal dangerous or exploitative working conditions; Gender Based Violence (GBV); Close to conflict (armed attacks front line etc.) ; Sporadic clashes; Land	Household, Site, Sub-district, District, Governorate

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their areas of origin		contaminated with explosive hazards (mines, bombs, IEDs); Fear of armed security actors (recruitment, detention, violence, threats or harassment); Fear of extremist groups (recruitment, violence, threats or harassment); Fear of community/tribal groups (violence, threats, harassment related to ongoing dispute); Social exclusion or discrimination; Poor infrastructure (buildings and roads) ; Restrictions on families; Other (specify)	
% sites where families report reasons safety concerns in their areas of origin	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families report safety concerns in their areas of origin affect their decision to return	Does the current safety condition in your area of origin affect your decision on whether or not to return?	It makes it less likely I will decide to return; I am already planning to return; It is a reason that I currently do not plan to return; It makes it more likely I will decide to return; No effect on my decision to return or not; Do not know	Household, Site, Sub-district, District, Governorate
% sites where families report assistance services in their areas of origin	Is assistance provided to IDPs who return to your area of origin?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where families report assistance services in their areas of origin affect, by type	What type(s) of assistance are being provided in your AoO?	NFI distributions; Cash assistance; Livelihoods/income generating activities; Food assistance; Shelter rehabilitation or reconstruction; Other	Household, Site, Sub-district, District, Governorate
% sites where families report assistance services in their areas of origin, by type	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families report assistance services in their areas of origin, by actor	Who is providing that assistance?	Humanitarian organisations; Charity; Local NGO; Host community; Government assistance; Relatives and friends; Religious organisation; Other; Do not know	Household, Site, Sub-district, District, Governorate

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% sites where families report basic services in their areas of origin	Are basic services (electricity, water, waste disposal, health, education) available in your area of origin?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where families report basic services in their areas of origin, by type	What type(s) of services are available in your area of origin?	Cash; Food assistance; NFIs; Winterisation items; Summarisation items; Fuel; In-kind shelter assistance i.e. tarpaulins, tents; Shelter rehabilitation; De-mining services (actual demining or mine risk education); Protection services (legal assistance); Protection services (psycho-social support); Protection services (child protection or GBV); Health services i.e. mobile clinics, pre or post-natal appointments; WASH (water); WASH (hygiene and sanitation infrastructure i.e. latrines and showers); WASH (hygiene promotion); In-kind education i.e. books, stationary etc.; Education services i.e. informal education or remedial classes; Cash for Work activities; Other; Cash for COVID-19; MPCA	Household, Site, Sub-district, District, Governorate
% sites where families report basic services in their areas of origin, by type	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families report situation of services in their areas of origin affect their decision to return	Does the current situation of services in your area of origin affect your decision on whether or not to return?	It makes it less likely I will decide to return; I am already planning to return; It is a reason that I currently do not plan to return; It makes it more likely I will decide to return; No effect on my decision to return or not; Do not know	Household, Site, Sub-district, District, Governorate
% sites where families report HLP damage in their areas of origin	Was housing, land or property that you own in your area of origin damaged during the conflict?	Partially damaged; Completely destroyed; Undamaged; Heavily damaged; Do not know; Decline to answer	Household, Site, Sub-district, District, Governorate
% sites where families report select types of tenancy agreements in their areas of origin	What type of housing or tenancy agreement did or does your family have in your area of origin?	Owned single family house; Rented single family house; Owned multiple family house; Rented multiple family house; Living with family members (not paying rent or having ownership documents); Other (please specify)	Household, Site, Sub-district, District, Governorate

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% sites where families report select types of tenancy agreements in their areas of origin	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families report location of their proof of ownership documents	If your family owns a house, property or land in your area of origin, where are your proof of ownership documents?	Lost; Physically with me; Stolen or confiscated; Not with me but in a secure place; Do not know; We never obtained ownership documents; We do not own a house, land or property in the area of origin	Household, Site, Sub-district, District, Governorate
% sites where families report HLP disputes in their areas of origin	Is the property you own in area of origin under any kind of dispute?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate
% sites where families report livelihood opportunities in their areas of origin	Are there livelihood/income earning opportunities in your area of origin?	Construction; None; Government jobs; Agriculture; Health services; Transportation; Service industry(Hotel, Restaurant); Private Business; Vocational (carpenter, electrician, plumber, etc.); Other; Decline to answer; Do not know	Household, Site, Sub-district, District, Governorate
% sites where families report livelihood opportunities in their areas of origin	If private business, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families report livelihood opportunities in their areas of origin	If other, please specify	(Open text)	Household, Site, Sub-district, District, Governorate
% sites where families report livelihood opportunities in their areas of origin, by skillset	If there are livelihood/income earning activities in your area of origin, are there livelihood/income earning activities that match your skillset?	Yes; No; Don't know; Prefer to not answer	Household, Site, Sub-district, District, Governorate