

Research Terms of Reference

Post distribution monitoring for the Kenya Cash Consortium response to the drought in Arid and Semi-Arid Lands (ASAL) Counties of Kenya

KEN2202

Kenya

March 2022

V1

IMPACT Shaping practices
Influencing policies
Impacting lives

1. Executive Summary

Country of intervention	Kenya					
Type of Emergency	x	Natural disaster	<input type="checkbox"/>	Conflict		
Type of Crisis	<input type="checkbox"/>	Sudden onset	<input type="checkbox"/>	Slow onset	x	Protracted
Mandating Body/ Agency	Kenya Cash Consortium (KCC)					
Project Code	24EUS					
Overall Research Timeframe (from research design to final outputs / M&E)	March 2022 to September 2022					
Research Timeframe	1. Research Design Finalize – March 10 th 2022		2. Planned Data Collection Start Schedule Baseline data collection: 19 th March 2022 (1 week before first round of money transfer) Midline Data Collection –start-May 2022 (2 weeks after 2 rounds of money transfer) Endline Data Collection – mid-July. 2022 (2 weeks after 5 rounds of money transfer)			
<i>Add planned deadlines Baseline timelines¹</i>	3. Data Analysis Completion Schedule Two weeks after last data collection in all rounds.		4. Data Sent for Validation - Two weeks after last data collection day in all rounds.			
	5. Output Sent for Validation - Four weeks after data collection (factsheet) Five weeks after data collection (presentation)		6. Outputs published: Five weeks after completed data collection (factsheet) Six weeks after completed data collection (presentation on request of the KCC)			
	7. Final presentation: Upon request by the consortium					

¹ The timelines shown are for baseline only, first midline will be done in September, second one in October and endline will be done in December.

Number of assessments	<input type="checkbox"/>	Single assessment (one cycle)	
	<input checked="" type="checkbox"/>	Multi assessment (more than one cycle) <i>Three cycles: Baseline, Midline and Endline</i> Baseline assessment will be conducted prior to the start of Unconditional Cash Transfers (UCTs) for households who were not a part of the ASAL Humanitarian Network's drought response program. Following the baseline, two rounds of post-distribution assessments will take place: one at the project mid-line (UCT 2) and one at the end-line (UCT 5).	
Humanitarian milestones Specify what will the assessment inform and when e.g. The shelter cluster will use this data to draft its Revised Flash Appeal;	Milestone		Deadline
	<input checked="" type="checkbox"/>	Donor plan/strategy	Collected data will inform future projects of the donor.
	<input checked="" type="checkbox"/>	Inter-cluster plan/strategy	Collected data will inform inter-cluster strategic planning following the release of the HNO.
	<input checked="" type="checkbox"/>	Cluster plan/strategy	Collected data will feed into upcoming programs by actors planning cash-based interventions.
	<input checked="" type="checkbox"/>	NGO platform plan/strategy	Collected data will feed into upcoming programs by actors planning cash-based interventions
	<input type="checkbox"/>	Other (Specify):	_/_/_/_/_/_
Audience Type & Dissemination Specify who will the assessment inform and how you will disseminate to inform the audience	Audience type		Dissemination
	<input checked="" type="checkbox"/> Strategic <input checked="" type="checkbox"/> Programmatic <input checked="" type="checkbox"/> Operational <input type="checkbox"/> [Other, Specify]		<input checked="" type="checkbox"/> Mailing list of the Kenya cash consortium members and partners operating in counties of intervention. <input type="checkbox"/> Cluster Mailing (Education, Shelter and WASH) and presentation of findings at next cluster meeting <input checked="" type="checkbox"/> Presentation of findings (e.g. at HCT meeting; Cluster meeting) <input checked="" type="checkbox"/> Website Dissemination (Relief Web & REACH Resource Centre) <input type="checkbox"/> [Other, Specify]
Detailed dissemination plan required	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
General Objective	To monitor the impact of the Kenya Cash Consortium Unconditional Cash Transfer (UCT) 2022 programme on the expenditure patterns and food security status of beneficiary households, to inform the multipurpose cash-based humanitarian response in ASAL counties of Kenya .		
Specific Objective(s)	1. Understand household expenditure patterns at the beginning of the UCT programme and how it changes over time or differs between targeted counties. 2. Understand household food and livelihood security status at the beginning of the UCT programme and how it changes overtime or differs between targeted counties. 3. Understand the impact of receiving cash on the household's well-being and interactions with society.		

	<ol style="list-style-type: none"> 4. Understand household experience and satisfaction with participating in UCT programme at all stages of the project cycle 5. Understand awareness of complaint response mechanisms 6. Understand the degree to which ECHO protection related key performance indicators (KPI) are met at all stages of the project cycle 7. Understand how all the above varies between the geographical areas assessed. 			
Research Questions	<ol style="list-style-type: none"> 1. How has the drought affected the household and their community? 2. What are the household's baseline expenditure patterns prior to receiving a UCT from the KCC, and how does this change after receiving multiple rounds of the UCT? <ol style="list-style-type: none"> a. What is the HH monthly income and what other resources are available to the HH? b. What are the household's main expenditures? c. What proportion of their total income do households spend on food-related expenses? d. What proportion of their total income do households spend to meet other basic needs? 3. What is the household's baseline level of food and livelihood security and how does this change over time after receiving UCT from the KCC? 4. How was the cash transfer used by the HH and what was its impact (positive and negative) on social interactions within the household and in the community? 5. What are the household's experiences with protection issues and NGO accountability to beneficiary populations? Specifically in terms of: <ol style="list-style-type: none"> a. Awareness about the program details in the beneficiary population before it begins b. Satisfaction with the payment process, amount, and timeframe c. Frequency of payment delays d. Ability to receive and access UCT e. Knowledge of complaint mechanism and ability to engage with a said mechanism to directly provide feedback, comments or complaints f. Presence of community consultations by the partner NGO about the needs of the community? g. Safety perceptions of the households during the selection and registration process. h. A fair selection process. i. Respectful treatment by the NGO staff. j. Suggestions on project improvement. 			
Geographic Coverage	Garissa, Mandera, Marsabit, Turkana and Wajir counties			
Secondary data sources	<ol style="list-style-type: none"> 1. <i>Baseline reports from ASAL Humanitarian Network drought response program in late 2021-early 2022.</i> 2. <i>Baseline, PDM and endline reports from Kenya Cash Consortium in 2019 & 2020</i> 3. ECHO Protection Key Performance Indicators list 			
Population(s) <i>Select all that apply</i>	<input type="checkbox"/>	IDPs in camp	<input type="checkbox"/>	IDPs in informal sites
	<input type="checkbox"/>	IDPs in host communities	<input type="checkbox"/>	IDPs [Other, Specify]
	<input type="checkbox"/>	Refugees in camp	<input type="checkbox"/>	Refugees in informal sites
	<input type="checkbox"/>	Refugees in host communities	<input type="checkbox"/>	Refugees [Other, Specify]

	<input checked="" type="checkbox"/>	Host communities		<input type="checkbox"/>	[Other, Specify]	
Stratification <i>Select type(s) and enter number of strata</i>	<input checked="" type="checkbox"/>	Geographical #: 5 counties Population size per strata is known? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/>	Group #: ____ Population size per strata is known? <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/>	[Other Specify] #: ____ Population size per strata is known? <input type="checkbox"/> Yes <input type="checkbox"/> No
Data collection tool(s)	<input checked="" type="checkbox"/>	Structured (Quantitative)		<input type="checkbox"/>	Semi-structured (Qualitative)	
		Sampling method			Data collection method	
Structured data collection tool # 1 <i>Select sampling and data collection method and specify target # interviews</i>		<input type="checkbox"/> Purposive <input type="checkbox"/> Probability / Simple random <input checked="" type="checkbox"/> Probability / Stratified simple random <input type="checkbox"/> Probability / Cluster sampling <input type="checkbox"/> Probability / Stratified cluster sampling <input type="checkbox"/> [Other, Specify]			<input type="checkbox"/> Key informant interview (Target #): ____ – <input type="checkbox"/> Group discussion (Target #): ____ <input checked="" type="checkbox"/> Household interview (Target #): 2354² <input type="checkbox"/> Individual interview (Target #): ____ <input type="checkbox"/> Direct observations (Target #): ____ <input type="checkbox"/> [Other, Specify] (Target #): ____	
Target level of precision if probability sampling		95% level of confidence			5+/- % margin of error	
Data management platform(s)	<input checked="" type="checkbox"/>	IMPACT Kobo Server Kenya		<input type="checkbox"/>	UNHCR	
	<input type="checkbox"/>	[Other, Specify]				
Expected output type(s)	<input type="checkbox"/>	Situation overview #: ____	<input type="checkbox"/>	Report #: ____	<input type="checkbox"/>	Profile #: ____
	<input checked="" type="checkbox"/>	Presentation #: 3 (upon request)	<input type="checkbox"/>	Presentation (Final) #: ____	<input checked="" type="checkbox"/>	Factsheet #: 3
	<input type="checkbox"/>	Interactive dashboard #: ____	<input type="checkbox"/>	Webmap #: ____	<input type="checkbox"/>	Map #: ____
Access	<input checked="" type="checkbox"/>	Public (available on REACH resource center and other humanitarian platforms)				
	<input type="checkbox"/>	Restricted (bilateral dissemination only upon agreed dissemination list, no publication on REACH or other platforms)				
Visibility <i>Specify which logos should be on outputs</i>	IMPACT Donor: ECHO Coordination Framework: Kenya Cash Consortium Partners – Concern, Oxfam, ASAL Humanitarian Network Partners: Turkana Pastoralist Development Organization (TUPADO), Wajir South Development Association (WASDA), Arid Lands Development Focus (ALDEF), Pastoralist Girls Initiative (PGI), Pastoralist Community Initiative and Development Assistance (PACIDA), Strategies for Northern Development (SND), Sustainable Approaches for Community Empowerment (SAPCONE), Nomadic Assistance for Peace and Development (NAPAD), and Rural Agency for Community Development and Assistance (RACIDA)					

² The detailed breakdown can be found in Table 1 and Table 2 on page 7.

2. Rationale

2.1. Background Info

Arid and semi-arid lands (ASAL) cover 80% of Kenya's landmass. Many of the regions are still recovering from the worst locust invasion in over 70 years. The October to December short rains are less than 30-60% of the 40-year average in northern and eastern Kenya ([CHIRPS satellite data, 20 November, 2021](#)). Based on median rainfall in past years, cumulative rainfall is likely to be less than 45% of average in northern and eastern Kenya. Additionally, based on historical data, there is around a 70% likelihood that the March to May 2022 long rains will be below average ([Famine Early Warning Systems Network, November 2021](#)). The pastoralist counties are facing extreme situations, now experiencing the second consecutive failed season with Mandera, Turkana, Marsabit, Wajir, and Garissa the worst hit. Declining forage and water resources continue to intensify atypical livestock migrations as pastoralists seek better grazing resources. Increased distances to fetch water and poor grazing conditions are leading to a deterioration in the physical condition of livestock and increased reports of resource conflicts. In terms of agricultural resources, according to the latest KCC baseline data that has been collected in the ASALs, 84% of the population stated that they have suffered from crop losses during the dry spell. In Wajir, over 98% of the population reported rangeland losses in the same period. Moreover, the COVID-19 pandemic has created pressure throughout the country, but more so in arid and semi-arid counties where the health system was already weak and drought adaptation behaviours are not sustainable. Effects of COVID-19 containment measures, such as movement restriction and temporary closure of public places, continued to have an effect on households, especially in urban centres, due to loss of incomes. Though markets were temporarily closed, trade volumes were below the long-term average, due to the impact of the pandemic on the movement of people, livestock and goods. Overall, 3.53 million people are in crisis phase 3 according to the IPC classification and require humanitarian assistance (Integrated Food Security Phase Classification). According to the last [KCC baseline assessment from November 2022](#), 99% of the population in Isiolo, Samburu and Marsabit claimed their livelihoods had been highly impacted by the effects of drought over the past six months. 34% of the population in Wajir declared that the drought had lasted six months, 100% in Samburu and 86% in Garissa claimed that the impacts lasted more than six months.

2.2. Intended Impact

Based on the rationale summarised above, the KCC will implement an emergency multi-sector response through mobile money unconditional cash transfers (UCTs). All targeted households will receive a total of five transfers. The planned cash assistance will reach **7,567 households**, 44,776 individuals (the number of individual beneficiaries being calculated based on the average size of households per county as per GoK census data 2019). **The proposed action will address economic root causes identified as a barrier to food security, nutrition, health and protection outcomes.** The immediate impact of the program is expected in the increased food consumption and dietary diversity, decreased usage of coping strategies, and improved income/ expenditure patterns for the beneficiary households.

In order to monitor the ongoing impact of the UCTs at the household level, IMPACT Initiatives will conduct a baseline and two rounds of post-distribution monitoring (PDM). First, an initial baseline survey will be conducted for the new beneficiaries before they receive any UCT from in mid-March 2021. Two weeks after the second round of disbursements of the UCT to households, IMPACT Initiatives will conduct a midline survey for two samples drawn from the populations of the new and old beneficiary households. After the fifth and last disbursement of UCT to households, IMPACT Initiatives will conduct an endline survey, again drawing two separate samples from the populations of the new and old beneficiary households. The baseline, midlines and endline surveys will be conducted remotely through phone interviews. The overall aim of this research is to understand the outcome of UCT to drought-affected households in Garissa, Mandera, Marsabit, Turkana and Wajir counties, and inform similar interventions in the future by Kenya Cash Consortium.

3. Methodology

3.1. Methodology overview

The baseline, midline, and endline surveys will be comprised of a representative household survey targeting beneficiaries of the Kenya Cash Consortium UCT programme. Through this survey, households will be asked about their overall food security situation, as well as their perceptions of whether humanitarian assistance is delivered in a safe, accessible, accountable and participatory manner. The data will be collected through phone interviews and they will be randomly selected per geographic area from the list of registered beneficiaries. For the baseline, midline, and endline survey, a stratified simple random sampling approach will be used to have data that is representative of the beneficiary population (households), with a 95% confidence level and a 5% margin of error. A 10% buffer will be included in the sample to account for non-response or data quality issues. The baseline assessment will be conducted in March 2022, the midline in May 2022, and the endline in July 2022. Do note that for the baseline survey, only those households who were registered specifically for this project will form a part of the population to be sampled i.e. all ongoing or old caseloads will not be surveyed at the baseline. For those who were involved in the AHN drought response program, the baseline findings from AHN's drought response program in 2021-22 will be used for comparison. For the midline and endline, two samples each will be drawn from the old and new beneficiary household populations.

The beneficiary population split by county is as follows: 1,670 households in Marsabit, 941 in Mandera, 2,736 in Turkana, 885 in Wajir, and 1,335 in Garissa. Some of the households will be carried over from the Asal Humanitarian Network's drought response program's caseload that has received two-three rounds of cash transfers. For the purposes of this document, moving forward, households that are carried over from the Asal Humanitarian Network's drought response program's caseload to the KCC caseload are referred to as ongoing beneficiaries. Those who were not a part of the AHN program are referred to as new beneficiaries.

3.2. Population of interest

The geographical area assessed consists of Garissa, Mandera, Marsabit, Turkana and Wajir counties. The population assessed includes all households that received UCT from Kenya Cash Consortium partners under the 2022 program. The unit of measurement for the assessment is the household. While the primary disaggregation of the data will be on the county level, the following information will be collected on household demographics to allow a disaggregated analysis according to the demographic characteristics of the population assessed. This includes location i.e. county, sub-county, village/settlement), size of the households, and age & gender of the respondent, and head of household (if different). Do note that this disaggregated analysis will be indicative rather than representative as the sample has only been stratified according to the county.

3.3 Secondary data review

The [baseline](#), [midline](#), and [endline](#) reports from Kenya Cash Consortium in 2019 and 2020 will be used to inform the assessment methodology and questionnaire design. Information will be integrated from the 2019, 2020, and 2021 assessment cycles of the Somali Cash Consortium third-party monitoring done by IMPACT.

3.4 Primary Data Collection

As mentioned above, some of the households will be carried over from the Asal Humanitarian Network's drought response program's caseload that has received two-three rounds of cash transfers. The households from the Asal Humanitarian Network's drought response program's caseload to the KCC caseload are referred to as ongoing beneficiaries. Those who were not a part of the AHN program are referred to as new beneficiaries. For the baseline survey, only the new beneficiaries will be sampled. For the midline and end-line assessments, two samples will be drawn from the two populations of old and new beneficiaries. Across all assessments, a stratified simple random sampling approach will be used to have data that is representative of beneficiary population (households) in Garissa, Mandera, Marsabit, Turkana and Wajir counties, with a 95% confidence level and a 5% margin of error. A 10% buffer will be included to the sample to account for non-response or

data quality issues. In addition, an additional buffer list of respondents will be generated, so in cases of non-response, the next respondent in the list will be sampled. In case the target sample size has not been met, the team will re-run the sample using only those that were not already contacted, to fulfil the sample. There will be different groups of respondents for each survey, as response rates are expected to be too low to make a longitudinal study possible. To ensure random selection of respondents, IMPACT will generate random numbers on the list of beneficiaries that will be provided by the partners and then sort the random numbers from smallest to largest to select the required number of respondents. The interview will be conducted with the person registered as a beneficiary in each household. All non-responses will be monitored in a tracker.

For the new beneficiaries, three rounds of data collection (baseline, midline, and endline) will be conducted. The sample size and buffer for the new caseloads are shown in the table below.

County	New Beneficiaries	Sample size (Baseline, Midline and Endline)	Sample Size +10% Buffer
Garissa	685	246	271
Mandera	224	142	156
Marsabit	835	263	289
Turkana	0	0	0
Wajir	236	146	161
Total	1980	797	877

Table 1: Data Collection Timeline – New Beneficiaries

For the ongoing beneficiaries, two rounds of data collection (midline and endline) will be conducted. The [baseline findings](#) from AHN's drought response program in 2021-22 will be used for comparison. The sample size and buffer for the ongoing caseloads are shown in the table below.

County	Ongoing Beneficiaries	Sample size (Midline and Endline)	Sample Size +10% Buffer
Garissa	717	242	266
Mandera	650	251	276
Marsabit	535	224	246
Turkana	1235	294	323
Wajir	650	333	366
Total	3787	1343	1477

Table 2: Data Collection Timeline – Ongoing Beneficiaries

The tentative timeline for the cash transfers and data collection is shown in the figure below.

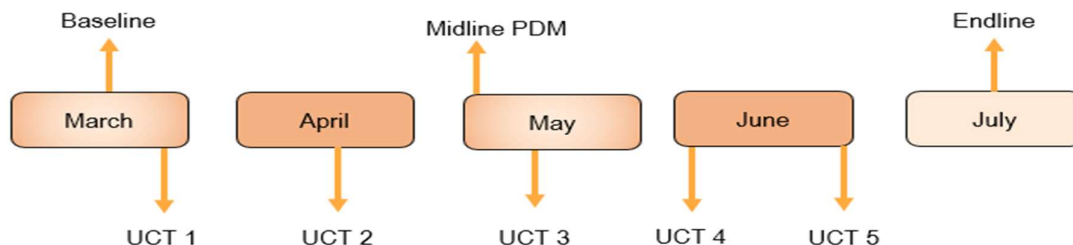


Figure 1: Cash Transfer and Data Collection Timeline

3.5 Data Processing & Analysis

The assessment will comprise a household survey designed in partnership with the Kenya Cash Consortium partners. The tool will be designed and coded using kobo and all data will be collected via smart phone using Open Data Kit (ODK collect). Collected data will be subjected to daily checks to identify any issues with data quality and divergence from the sample frame, in line with IMPACT's [Data Cleaning Minimum Standards Checklist](#). In addition to the daily data checks, the final datasets will undergo a thorough cleaning, with any outstanding issues reported to the field staff for feedback. Following data cleaning, the data will be analyzed using R. For the open-ended questions, a thematic analysis approach will for analysis. For food consumption score and coping strategy index, the guidelines provided by World Food Programme (WFP) from pages 63 to 80 [here](#) will be used. We will compile factsheets from the analyzed data and there after publish the reports on REACH website and share the outputs with the Kenya Cash Consortium.

4. Key ethical considerations and related risks

The proposed research design meets / does not meet the following criteria:

<i>The proposed research design...</i>	<i>Yes/ No</i>	<i>Details if no (including mitigation)</i>
... Has been coordinated with relevant stakeholders to avoid unnecessary duplication of data collection efforts?	Yes	
... Respects respondents, their rights and dignity (<i>specifically by: seeking informed consent, designing length of survey/ discussion while being considerate of participants' time, ensuring accurate reporting of information provided</i>)?	Yes	
... Does not expose data collectors to any risks as a direct result of participation in data collection?	Yes	
... Does not expose respondents / their communities to any risks as a direct result of participation in data collection?	Yes	

... Does not involve collecting information on specific topics which may be stressful and/ or re-traumatising for research participants (both respondents and data collectors)?	Yes	
... Does not involve data collection with minors i.e. anyone less than 18 years old?	Yes	
... Does not involve data collection with other vulnerable groups e.g. persons with disabilities, victims/ survivors of protection incidents, etc.?	Yes	
... Follows IMPACT SOPs for management of personally identifiable information ?	Yes	

5. Roles and responsibilities

Table 2: Description of roles and responsibilities

<i>Task Description</i>	<i>Responsible</i>	<i>Accountable</i>	<i>Consulted</i>	<i>Informed</i>
<i>Research design</i>	<i>Assessment Officer</i>	<i>Research Manager</i>	IMPACT HQ Research Design and Data Unit (RDDU), consortium members, Research Manager	<i>HQ, Consortium Members, county coordinator</i>
<i>Supervising data collection</i>	<i>Field Team Lead</i>	<i>Database Officer</i>	IMPACT HQ RDDU, Consortium members, Research Manager, Assessment officer	<i>HQ, Consortium Members, county coordinator</i>
<i>Data processing (checking, cleaning)</i>	<i>Field Team Lead</i>	<i>Database Officer</i>	IMPACT HQ RDDU, consortium members, Research Manager, Assessment officer	<i>HQ, Consortium Members, county coordinator</i>
<i>Data analysis</i>	<i>Database Officer</i>	<i>Assessment officer</i>	IMPACT HQ RDDU,	<i>HQ, Consortium Members,</i>

			consortium members, Research Manager, Assessment officer	county coordinator
Output production	Assessment Officer, GIS officer	Assessment Officer	IMPACT HQ Research Reporting Unit (RRU), consortium members, Research Manager	HQ, Consortium Members, county coordinator
Dissemination	Assessment Officer	Assessment Officer	Consortium members, Research Manager, IMPACT HQ Communications Officer	HQ, Consortium Members, county coordinator, HQ Communications Officer
Monitoring & Evaluation	Assessment Officer	Assessment Officer	IMPACT HQ Research Department, consortium members, Research Manager	HQ, Consortium Members, county coordinator
Lessons learned	Assessment Officer	Assessment Officer	IMPACT HQ Research Department, consortium members, Research Manager	HQ, Consortium Members, county coordinator

Responsible: the person(s) who executes the task

Accountable: the person who validates the completion of the task and is accountable of the final output or milestone

Consulted: the person(s) who must be consulted when the task is implemented

Informed: the person(s) who need to be informed when the task is completed

6. Data Analysis Plan

This is presented in Annex 1

8. Monitoring & Evaluation Plan

IMPACT Objective	External M&E Indicator	Internal M&E Indicator	Focal point	Tool	Will indicator be tracked?
Humanitarian stakeholders are accessing IMPACT products	Number of humanitarian organisations accessing IMPACT services/products Number of individuals accessing IMPACT services/products	# of downloads of x product from Resource Center	Country request to HQ	User_log	<input checked="" type="checkbox"/> Yes
		# of downloads of x product from Relief Web	Country request to HQ		<input checked="" type="checkbox"/> Yes
		# of downloads of x product from Country level platforms	Country team		<input checked="" type="checkbox"/> Yes
		# of page clicks on x product from REACH global newsletter	Country request to HQ		<input checked="" type="checkbox"/> Yes
		# of page clicks on x product from country newsletter, sendingBlue, bit.ly	Country team		<input checked="" type="checkbox"/> Yes
		# of visits to x webmap/x dashboard	Country request to HQ		<input type="checkbox"/> Yes
IMPACT activities contribute to better program implementation and coordination of the humanitarian response	Number of humanitarian organisations utilizing IMPACT services/products	# references in HPC documents (HNO, SRP, Flash appeals, Cluster/sector strategies)	Country team	Reference_log	Kenya 2022 Humanitarian Needs Overview
		# references in single agency documents			
Humanitarian stakeholders are using IMPACT products	Humanitarian actors use IMPACT evidence/products as a basis for decision making, aid planning and delivery Number of humanitarian documents (HNO, HRP, cluster/agency strategic plans, etc.) directly informed by	Perceived relevance of IMPACT country-programs	Country team	Usage_Feedback and Usage_Survey template	Decisions made and implemented on the basis of the assessment – to be checked with operational and donor partners to ask what actions they took on the basis of the findings and recommendations. This assessment may also be included in a usage survey of partners if one is conducted in the future.

	IMPACT products	Perceived usefulness and influence of IMPACT outputs			
		Recommendations to strengthen IMPACT programs			
		Perceived capacity of IMPACT staff			
		Perceived quality of outputs/programs			
		Recommendations to strengthen IMPACT programs			
Humanitarian stakeholders are engaged in IMPACT programs throughout the research cycle	Number and/or percentage of humanitarian organizations directly contributing to IMPACT programs (providing resources, participating to presentations, etc.)	# of organisations providing resources (i.e.staff, vehicles, meeting space, budget, etc.) for activity implementation	Country team	Engagement_log	x Yes
		# of organisations/clusters inputting in research design and joint analysis			x Yes
		# of organisations/clusters attending briefings on findings;			x Yes

9. Annex I – Data Analysis Plan

9.1 TOOL 1 – Baseline Data Analysis Plan

Research questions	Sub-Research questions	Data collection method	Indicator group / sector	Indicator / Variable	Questionnaire Question	Instructions	Questionnaire Responses	Data collection level
Enumerator and Partner	N/A	House hold survey	Key	Enumerator ID	What is the enumerator's unique ID?	Enter name	Text	HH
		House hold survey	NGO	Cash agency	Agency providing cash to beneficiary?	Select one	List of Cash Consortium partner NGOs: PGI, SAPCON E,SND, PACIDA, RACIDA, NAPAD, TUPADO, WASDA, ALDEF	HH

Consent		House hold survey	Key	Consent given	Consent statement read out. "My name is _____, and I am working with IMPACT initiatives, on behalf of \${ngo}, a member of the Kenya Cash Consortium. We are collecting information to review and improve the Consortium's humanitarian work in this community. We would like to ask you some questions. You are free to choose if you want to participate in this survey or not. Your participation – or your refusal to participate – will not affect your involvement in this program. If you agree to participate, please answer the questions honestly. We will store and process your information securely, and we will only share information necessary to monitor progress and undertake research – you will not be identifiable in this information unless you request otherwise. This information will be used to help us better provide services, ensure accountability and make our programmes better. We may share information we collect with the Consortium members to allow them to administer and monitor the programme. We will ensure that anyone we share information with stores and processes your information securely. If you'd like us to remove your information from the systems, please use the CRM contact number and we will remove your data apart from that which we're legally required to maintain to support disbursed funds. This survey should take about 30 minutes. Do you accept to participate?" Is consent given to continue the survey?	Select one	Yes or no	HH
Respondent Profile		House hold survey	Location	County	County	Select one	Admin list of beneficiary counties	HH
		House hold survey	Location	Sub-county	Sub-County	Select one	Admin list of beneficiary sub-counties	HH

		House hold survey	Location	Village	Village/Settlement	Select one	Admin list of settlements	HH
		House hold survey	Key	Phone number	Respondent phone number	Enter number	Phone number must start with 0 and be 10 digits	HH
		House hold survey	Key	HHH profile	Are you (the respondent) the head of the household?	Select one	Yes or no	HH
		House hold survey	Key	HHH profile	Gender of the head of HH	Select one	Male or female	HH
		House hold survey	Key	HHH profile	Age of the head of HH	Enter number	Integer	HH
		House hold survey	Key	HHH profile	Size of the Household	Enter number	Integer	HH
How has the drought		House hold survey	Drought impact	Drought impact	Was your community impacted by drought in the past 6 months?	Select one	Yes or no + text	HH

affected the household and their community?	House hold survey	Drought impact	Drought timeline	How long has the drought lasted?	Select one	List - Less than months; Last six months; More than six months	HH
	House hold survey	Drought impact	Crop Losses	If the household grows crops, in the past 6 months, did you face any crop losses due to the drought/dry spell ?	Select one	Yes or no	HH
	House hold survey	Drought impact	Harvest Prediction	Do you expect the next harvest of your most important crop to be below average?	Select one	Yes or no	HH
	House hold survey	Drought impact	Rangeland Losses	Did you face any rangeland losses recently due to the drought/dry spell?	Select one	Yes or no	HH
	House hold survey	Drought impact	Livestock condition	If the household has livestock, what is the condition of the livestock?	Select one	yes or no + fair or poor	
	House hold survey	Drought impact	Livestock condition	If the household has livestock in poor condition, can it be attributed to the drought?	Select one	Yes or no	HH
	House hold survey	Drought impact	Conflict due to drought	In the past 6 months, was there a conflict in or between communities due to the drought effects? If yes, what was the cause	Select one +	Yes or no + list of causes - pasture,	HH

						select multiple	water, land, or other	
What is the household's baseline level of food and livelihood security and how does this change overtime after receiving UCT from the Somali Cash Consortium?	What is the HH monthly income and what other resources are available to the HH?	House hold survey	Income	Income amount	In the past month, how much income did your household make, in KES?	Enter number	Between 0 and 200000 KES	HH
		House hold survey	Income	Savings	What is the total amount of cash savings your household currently has, in KES?	Enter number	Between 0 and 1000000 KES	HH
		House hold survey	Income	Main Source	What were your household's 3 main sources of income/household financial support in the past 6 months?	Select one	List - Livestock Sales, Poultry/Livestock Product (Milk, Meat, Egg, Ghee), Cash Crop/ Cash Fish Farming, Casual Labour Wage (Portage, Constructi	HH

							on, etc), Casual Labour Wage (Farm Labour), Business, Subsisten ce Farming or Subsisten ce Fishing, Contracte d Job, Remittanc es, Humanitar ian Assistanc e, Sale of Humanitar ian Assistanc e, Rent of Land, Other	
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		House hold survey	Income	Debt	What is the total amount of debt your household currently has (money owed to people outside of your household), in KES?	Enter number	Between 0 and 1000000 KES	HH
What are household's baseline expenditure patterns prior to receiving a UCT from the SCC, and how does this change after receiving multiple rounds of the UCT?	What are household's expenditure patterns (measured over a thirty day period) after receiving UCT from the Somali Cash Consortium?	House hold survey	Food security	Food and non-food expenditure as proportion of total household expenditure	How much did you spend on each of the following categories items in the past month (30 DAYS)?	Monthly household Expenditures in KES	Between 0 and 200000 KES	HH
					Categories: food, debt repayment of food, debt repayment of other items, medical expenses, WASH items, business, other			

What is the household's baseline level of food and livelihood security and how does this change overtime after receiving UCT from the Kenya Cash Consortium?	HH Level Food Security: What was the HH food consumption and dietary diversity over the last seven days?	Household survey	Food security	Food consumption/dietary diversity score	In the last 24 hours, how many meals were eaten in your household?	Enter number (total number of times meals eaten, not total number of people who ate)	Between 0 and 7	HH
		Household survey	Food security	Food consumption + dietary diversity score	How many days in the past 7 days + past 24 hours did you or anyone in your household consume each of the following categories of foods?	Enter number	Between 0 and 7	HH
					Categories: main staples, pulses, meat and fish or eggs, milk, fruits, vegetables, oil, sugar, and condiments			
		Household survey	Food security	Food source	What was the main source of food for the past 7 days?	Select one	List of food sources: own production, fishing and hunting, gathering, loan, market purchase	HH

							with cash, market purchase on credit, begging, exchange of labor, gift, in- kind aid	
		House hold survey	Food security	Food source	What was the secondary source of food for the past 7 days?	Select one (must be different from main source selecte d)	List of food sources: own production , fishing and hunting, gathering, loan, market purchase with cash, market purchase on credit, begging, exchange of labor, gift, in- kind aid	HH

	HH Level Food Security: Which consumption based coping strategies did the HH employ in the last seven days?	Household survey	Food security	Consumption based coping strategy - for full CSI and rCSI	During the last 7 days, were there days (and, if so, how many) when your household had to employ one of the following strategies (to cope with a lack of food or money to buy it)? Coping strategies: relied on less preferred or less expensive food, borrowed food or relied on help from friends or relatives, reduced the number of meals eaten per day, reduced portion size of meals, reduction in the quantities consumed by adults/mothers for young children, purchase food on credit, gather wild food/ hunt/ or harvest immature crop, consume seed stock held for next season, send household members to eat elsewhere, send household members to beg, feed working household members at the expense of non-working members, ration the money available and buy prepared food, pass entire days without eating,	For each coping strategy employed, list the number of days. If none, put 0	Number between 0 and 7	HH
	HH Level Livelihood Security: Which livelihood coping	Household survey	Livelihood security	Livelihood coping strategy	During the past 30 days, did anyone in your household have to engage in any of the following activities because there was not enough resources (food, cash, else) to access essential needs (e.g. adequate shelter, education services, health services, etc)?	For each coping strategy employed, select	List of coping strategies: No, No, because I already sold those	HH

	strategies did the HH employ in the last seven days?				<p>Coping strategies: sold household assets/goods (radio, furniture, refrigerator, television, jewellery, clothes etc.); purchased food on credit or borrowed food; spent savings; borrowed money; sold productive assets or means of transport (sewing machine, tools, wheelbarrow, bicycle, car, etc); old-off animals (productive and non-productive) and to retain minimum stock; reduced health (including Drugs) and education expenditures; consumed seed stocks that were to be held/saved for the next season; decreased expenditures on fodder, animal feed, veterinary care, etc.; withdrew children from school; sold house or land; begged; sold last female animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft</p>	<p>one answer from the coping list. Questions are sorted based on relevance to different livelihood sectors.</p>	<p>assets or have engaged in this activity, in the last 12 months, and cannot continue to do it, Yes, Not applicable because I do not own or use this asset or this activity is not relevant to my household</p>	
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How was the cash transfer used by the HH and what was its impact (positive and negative) on social interactions within the household and in the community?		House hold survey	Protecti on	Protection concern: HH	Who was the primary decision-maker in your household on how to spend your income over the past month?	Select one	Male HH member, Female HH member, decision made jointly by male and female HH members	HH
How do households perceive their	How do households perceive their	House hold survey	Subjecti ve WellBei ng	Wellbeing food	In the past month, has your household had sufficient quantity of food to eat?	Select one	List: always, mostly, rarely, never	HH

socio-economic wellbeing, ability to meet basic needs, and ability to respond to shocks and how does this perception change after receiving multiple rounds of the UCT??	socio-economic wellbeing, ability to meet basic needs, and ability to respond to shocks?	Household survey	Subjective WellBeing	Wellbeing food	In the past month, has your household had sufficient variety of food to eat?	Select one	List: always, mostly, rarely, never	HH
		Household survey	Subjective WellBeing	Wellbeing basic needs	In the past month, has your household had enough money to cover your household's basic needs?	Select one	List: always, mostly, rarely, never	HH
		Household survey	Subjective WellBeing	Wellbeing overall	Currently, how would you rate your household's overall wellbeing?	Select one	List: (always/ mostly/ rarely/ never - meeting basic needs)	HH
	To what extent do households feel they can respond to and withstand shocks and	Household survey	Subjective WellBeing	Wellbeing resilience	How would a crisis or shock, such as flooding, affect your household's well-being?	Select one	List: completely fine, regardless of these events; mostly fine, regardless of these events; would	HH

	stresser s?						meet some basic needs; would be completel y unable to meet basic needs for surviving	
What are househo ld's experien ces with protecti on issues and NGO account ability to benefici ary populati ons?	What were HH's experien ces with the UCT registrati on and distributi on process and did they experien ce any negative consequ	House hold survey	Protecti on	Protection concern: pay fees	Did you or anyone you know have to pay anyone in order to get on the beneficiary list, get registered, or receive the cash transfer? + <i>If yes, please explain</i>	Select one + text	yes or no or prefer not to answer + text	HH
		House hold survey	Protecti on	Protection concern: coercion	Are you aware of anyone in the community being pressured or coerced to exchange non-monetary favors in order to get on the beneficiary list, get registered, or receive the cash transfer? + <i>If yes, please explain</i>	Select one + text	yes or no or prefer not to answer + text	HH
		House hold survey	Protecti on	Protection concern: taxation	Did you have to pay any fees or taxes against your will because you are a beneficiary of cash transfers? + <i>If yes, please explain</i>	select one + text	yes or no or prefer not to answer + text	HH

	ences as a result of being a beneficiary of UCT?	House hold survey	Protecti on	Protection concern: other negative consequences	Did you or any member of your household experience any other negative consequences as a result of your beneficiary status? + <i>if yes, please explain</i>	select one + text	yes or no or prefer not to answer + text	HH
		House hold survey	Protecti on	Protection: preference of transfer modality	What is your preferred method of receiving assistance? + why if mobile money is not preferred	select one + text	List: Mobile money, Cash vouchers (ex. Scope), In kind food, Food, Food Vouchers + text (<i>if not mobile money preferred</i>)	HH
	ECHO Protection KPIs: What are household's perceptions of key	House hold survey	Protecti on	ECHO KPI	Do you know of anyone in your community having been consulted by the NGO on what your needs are and how the NGO can best help?	select one	yes or no or prefer not to answer	HH
		House hold survey	Protecti on	ECHO KPI	Do you think that cash assistance you are about to receive is appropriate to your needs or those of members of your community?	select one	yes or no or prefer not to answer	HH

	protection issues?	House hold survey	Protection	ECHO KPI	Do you feel safe when going through this program's selection process, registration and surveys? + <i>If no, do you mind telling us why?</i>	select one + text	yes or no or prefer not to answer + text	HH
		House hold survey	Protection	ECHO KPI	Did you feel you were treated with respect by NGO staff during the intervention so far? + <i>If not, would you mind telling us why?</i>	select one + text	yes or no or prefer not to answer + text	HH
		House hold survey	Protection	ECHO KPI	During the selection process, do you think there were households that were unfairly selected for cash distributions over other households more in need?	select one	yes or no or prefer not to answer	HH
		House hold survey	Protection	ECHO KPI	Are you aware of any options to contact the agency if you have any questions, complaints, or problems receiving the assistance? + <i>If yes, which options are you aware of?</i>	select one + select multiple (Note to enumerator: do not list the below as options to the interviewee. Instead,	yes or no + list of options to contact agency: talk directly to NgO staff, use the NGO hotline, use the NGO desk, not aware of	HH

						mark all those that apply based on the answer provided.)	any options	
		House hold survey	Protection	ECHO KPI	Have you or anyone you know in your community ever raised any concerns on the assistance you are about to receive to the NGO using one of the above mechanisms? + If yes, are you satisfied with the response you have received? + If no, why were no concerns raised?	Select one + select one + select one	yes or no + concern list: no concerns existed, fear of repercussions for raising concerns, lack of awareness about how to raise concerns	HH
	How can the project be improve	House hold survey	Accountability	Improvement	Do you have any other comment, complaint or feedback? + explain	Select one + text	yes or no + text	HH

	d in the future?							
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9.2 TOOL 2 – Midline Data Analysis Plan

Research questions	Sub-Research questions	Data collection method	Indicator group / sector	Indicator / Variable	Questionnaire Question	Instructions	Questionnaire Responses	Data collection level
Enumerator and Partner	N/A	Household survey	Key	Enumerator ID	What is the enumerator's unique ID?	Enter name	Text	HH
		Household survey	NGO	Cash agency	Agency providing cash to beneficiary?	Select one	List of Cash Consortium partner NGOs: PGI, SAPCO NE, SND, PACIDA, RACIDA, NAPAD, TUPAD O,	HH

							WASDA , ALDEF	
Consent		Household survey	Key	Consent given	Consent statement read out. Is consent given to continue the survey?	Select one	Yes or no	HH
Respondent Profile		Household survey	Location	County	County	Select one	Admin list of beneficiary counties	HH
		Household survey	Location	Sub-county	Sub-County	Select one	Admin list of beneficiary sub-counties	HH
		Household survey	Location	Village	Village/Settlement	Select one	Admin list of settlements	HH
		Household	Key	Phone number	Respondent phone number	Enter number	Phone number must start	HH

		survey					with 0 and be 10 digits	
		Household survey	Key	HHH profile	Are you (the respondent) the head of the household?	Select one	Yes or no	HH
		Household survey	Key	HHH profile	Gender of the head of HH	Select one	Male or female	HH
		Household survey	Key	HHH profile	Age of the head of HH	Enter number	Integer	HH
		Household survey	Key	HHH profile	Size of the Household	Enter number	Integer	HH
Respondent Beneficiary	N/A	Household	Receipt of Assistance	Assistance received cash	In the past month, did your household receive cash assistance from \$ngo?	Select one	Yes or no	HH

Status & Reciept of Assistance		survey						
		Household survey	Receipt of Assistance	Assistance received cash	If cash was received, how much money did your household receive in KES?	Enter number	Integer	HH
		Household survey	Receipt of Assistance	Assistance not received cash	If cash was not received, why did the household not receive cash?	Select multiple	List - delay, lack of access, lack of knowledge, unregistered, removed from beneficiary list + other	HH
		Household survey	Receipt of Assistance	Assistance received cash	If cash received was higher or lower than the transfer amount, why?	Text	Text	HH

How has the drought affected the household and their community?		Household survey	Drought impact	Drought impact	Was your community impacted by drought in the past 6 months?	Select one	Yes or no + text	HH
		Household survey	Drought impact	Drought timeline	How long has the drought lasted?	Select one	List - Last three months; Last six months; More than six months	HH
		Household survey	Drought impact	Crop Losses	If the household grows crops, in the past 6 months, did you face any crop losses due to the drought/dry spell ?	Select one	Yes or no	HH
		Household survey	Drought impact	Harvest Prediction	Do you expect the next harvest of your most important crop to be below average?	Select one	Yes or no	HH
		Household	Drought impact	Rangeland Losses	Did you face any rangeland losses recently due to the drought/dry spell?	Select one	Yes or no	HH

		survey						
		Household survey	Drought impact	Livestock condition	If the household has livestock, what is the condition of the livestock?	Select one	yes or no + <i>fair or poor</i>	
		Household survey	Drought impact	Livestock condition	If the household has livestock in poor condition, can it be attributed to the drought?	Select one	Yes or no	HH
		Household survey	Drought impact	Conflict due to drought	In the past 6 months, was there a conflict in or between communities due to the drought effects? If yes, what was the cause	Select one + select multiple	Yes or no + <i>list of causes - pasture, water, land, or other</i>	HH
What is the household's baseline	What is the HH monthly	Household survey	Income	Income amount	In the past month, how much income did your household make, in KES?	Enter number	Between 0 and 200000 KES	HH

ne level of food and liveli ood securit y and how does this chang e overti me after receivi ng UCT from the Somali Cash Conso rtium?	incom e and what other resour ces are availab le to the HH?	Hous ehol d surv ey	Incom e	Savings	What is the total amount of cash savings you have, in KES?	Enter numb er	Between n 0 and 100000 0 KES	HH
		Hous ehol d surv ey	Incom e	Main Source	What were your household's 3 main sources of income/household financial support in the past 6 months?	Select one	List - Livestoc k Sales, Poultry/ Livestoc k Product (Milk, Meat, Egg, Ghee), Cash Crop/ Cash Fish Farming , Casual Labour Wage (Portag e, Constru ction, etc), Casual Labour	HH

							Wage (Farm Labour), Busines s, Subsist ence Farming or Subsist ence Fishing, Contract ed Job, Remitta nces, Humanit arian Assistan ce, Sale of Humanit arian Assistan ce, Rent of Land, Other	
		Hous ehol d	Incom e	Debt	What is the total amount of debt your household currently has (money owed to people outside of your household), in KES?	Enter numb er	Between n 0 and	HH

		survey					100000 0 KES	
What are household's baseline expenditure patterns prior to receiving a UCT from the SCC, and how does this change after receiving multiple rounds	What are household's expenditure patterns (measured over a thirty day period) after receiving UCT from the Somali Cash Consortium?	Household survey	Food security	Food and non-food expenditure as proportion of total household expenditure	How much did you spend on each of the following categories items in the past month (30 DAYS)?	Monthly household Expenditures in KES	Between 0 and 200000 KES	HH
					Categories: food, debt repayment of food, debt repayment of other items, medical expenses,WASH items, business, other			

of the UCT?								
What is the household's baseline level of food and livelihood security and how does this change overtime after receiving	HH Level Food Security: What was the HH food consumption and dietary diversity over the last seven days?	Hous ehol d surv ey	Food securit y	Food consumpt ion/dietar y diversity score	In the last 24 hours, how many meals were eaten in your household?	Enter numb er (total numb er of times meals eaten, not total numb er of peopl e who ate)	Between n 0 and 7	HH
		Hous ehol d surv ey	Food securit y	Food consumpt ion + dietary diversity score	How many days in the past 7 days + past 24 hours did you or anyone in your household consume each of the following categories of foods?	Enter numb er	Between n 0 and 7	HH
					Categories: main staples, pulses, meat and fish or eggs, milk, fruits, vegetables, oil, sugar, and condiments			

UCT from the Somali Cash Conso rtium?		Hous ehol d surv ey	Food securit y	Food source	What was the main source of food for the past 7 days?	Select one	List of food sources: own producti on, fishing and hunting, gatherin g, loan, market purchas e with cash, market purchas e on credit, begging , exchang e of labor, gift, in- kind aid	HH

		Household survey	Food security	Food source	What was the secondary source of food for the past 7 days?	Select one (must be different from main source selected)	List of food sources: own production, fishing and hunting, gathering, loan, market purchase with cash, market purchase on credit, begging, exchange of labor, gift, in-kind aid	HH
	HH Level Food	Household		Consumption based	During the last 7 days, were there days (and, if so, how many) when your household had to employ one of the following strategies (to cope with a lack of food or money to buy it)?	For each copin		HH

	Security: Which consumption based coping strategies did the HH employ in the last seven days?	survey	Food security	coping strategy - for full CSI and rCSI	Coping strategies: relied on less preferred or less expensive food, borrowed food or relied on help from friends or relatives, reduced the number of meals eaten per day, reduced portion size of meals, reduction in the quantities consumed by adults/mothers for young children, purchase food on credit, gather wild food/ hunt/ or harvest immature crop, consume seed stock held for next season, send household members to eat elsewhere, send household members to beg, feed working household members at the expense of non-working members, ration the money available and buy prepared food, pass entire days without eating,	g strate gy emplo yed, list the numb er of days. If none, put 0	Number between 0 and 7	
	HH Level Livelihood Security: Which	Hous ehol d surv ey	Liveli hood securit y	Livelihood coping strategy	During the past 30 days, did anyone in your household have to engage in any of the following activities because there was not enough resources (food, cash, else) to access essential needs (e.g. adequate shelter, education services, health services, etc)?	For each copin g strate gy emplo	List of coping strategi es: No, No, because I	HH

	liveliho od coping strateg ies did the HH emplo y in the last seven days?				Coping strategies: sold household assets/goods (radio, furniture, refrigerator, television, jewellery, clothes etc.); purchased food on credit or borrowed food; spent savings; borrowed money; sold productive assets or means of transport (sewing machine, tools, wheelbarrow, bicycle, car, etc); old-off animals (productive and non-productive) and to retain minimum stock; reduced health (including Drugs) and education expenditures; consumed seed stocks that were to be held/saved for the next season; decreased expenditures on fodder, animal feed, veterinary care, etc.; withdrew children from school; sold house or land; begged; sold last female animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft	yed, select one answe r from the copin g list. Questi ons are sorted based on releva nce to differe nt livelih ood sector s.	already sold those assets or have engage d in this activity, in the last 12 months, and cannot continue to do it, Yes, Not applicab le because I do not own or use this asset or this activity is not relevant to my househ old	
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How was the cash transfer used by the HH and what was its impact (positive and negative) on social interactions within the household and in the community?	Hous ehol d surv ey	Cash impact	Impact basic needs	Did the cash received help you to meet any of your household's basic needs (food, water, health, debt repayment, education, transport, fuel, HH items, rent, or shelter)? + <i>If no, why did the cash not help you meet any of your household's basic needs?</i>	Select one + text	Yes or no + text	HH
	Hous ehol d surv ey	Cash impact	Cash shared	In the past month, what amount of the cash transfer OR commodities bought with the cash transfer did you share with other HHs? This includes gifts bought for people outside of your household.	Select one + text	Yes or no + text	HH
	Hous ehol d surv ey	Protect ion	Protection : concern pressure	Did you feel more pressure to share the cash transfer money with other households than you do to share money obtained from other sources of income? + <i>if yes, please explain</i>	Select one + text	Yes or no + text	HH
	Hous ehol d surv ey	Protect ion	Protection concern: HH conflict	Who was the primary decision-maker in your household on how to spend the cash received over the past month?	Select one	Male HH member , Female HH member , decision made jointly by male and female HH	HH

							member s	
		Hous ehol d surv ey	Protect ion	Protection concern: HH conflict	Were there any problems or conflict in the household as a result of disagreement on how to spend the cash? + <i>if yes, please explain</i>	Select one + text	Yes or no + text	HH
		Hous ehol d surv ey	Cash Use - Expen diture Pattern s	Food and non-food expenditu re as proportion of total househol d expenditu re	In the past 30 days, from only the amount you received from the Kenya Cash Consortitum, how much did your HH spend cash or commodities in KES value on the following things? Categories: food, debt repayment medical expenses,WASH items, business, education, sharing, savings, other	Month ly house hold Expen diture s in KES	Between n 0 and transfer amount received .	HH
		Hous ehol d surv ey	Impact of Cash on needs	Cash Impact on needs	Are other members of your community jealous of you because you received the cash transfer? + Have you experienced any of the following as a result of jealousy?	Select multipl e	list of needs:- Water, Food, Nutrition services , Latrines , Educati on, Security	

[illegible]

							irrigation pump etc), Fuel for lighting and heating (electricity, kerosene, gas, firewood, etc.), Shelter, Other, None	
How do households perceive their socio-economic wellbeing, ability to meet	How do households perceive their socio-economic wellbeing, ability to meet	Household survey	Subjective WellBeing	Wellbeing food	In the past month, has your household had sufficient quantity of food to eat?	Select one	List: always, mostly, rarely, never	HH
		Household survey	Subjective WellBeing	Wellbeing food	In the past month, has your household had sufficient variety of food to eat?	Select one	List: always, mostly, rarely, never	HH
		Household	Subjective	Wellbeing basic needs	In the past month, has your household had enough money to cover your household's basic needs?	Select one	List: always, mostly,	HH

basic needs, and ability to respond to shocks and how does this perception change after receiving multiple rounds of the UCT??	basic needs, and ability to respond to shocks?	survey	WellBeing				rarely, never	
		Household survey	Subjective WellBeing	Wellbeing overall	Currently, how would you rate your household's overall wellbeing?	Select one	List: always, mostly, rarely, never - meeting basic needs	HH
	To what extent do households feel they can respond to and withstand shocks and stressors?	Household survey	Subjective WellBeing	Wellbeing resilience	How would a crisis or shock, such as flooding, affect your household's well-being?	Select one	List: completely fine, regardless of these events; mostly fine, regardless of these events; would meet some basic needs; would be	HH

							completely unable to meet basic needs for surviving	
What are household's experiences with protection issues and NGO accountability to beneficiary populations?	What were HH's experiences with the UCT registration and distribution process and did they experience any	Household survey	Protection	Protection concern: pay fees	Did you or anyone you know have to pay anyone in order to get on the beneficiary list, get registered, or receive the cash transfer? + <i>If yes, please explain</i>	Select one + text	yes or no or prefer not to answer + text	HH
		Household survey	Protection	Protection concern: coercion	Are you aware of anyone in the community being pressured or coerced to exchange non-monetary favors in order to get on the beneficiary list, get registered, or receive the cash transfer? + <i>If yes, please explain</i>	Select one + text	yes or no or prefer not to answer + text	HH
		Household survey	Protection	Protection concern: taxation	Did you have to pay any fees or taxes against your will because you are a beneficiary of cash transfers? + <i>If yes, please explain</i>	select one + text	yes or no or prefer not to answer + text	HH

	negative consequences as a result of being a beneficiary of UCT?	Household survey	Protection	Protection concern: other negative consequences	Did you or any member of your household experience any other negative consequences as a result of your beneficiary status? + <i>if yes, please explain</i>	select one + text	yes or no or prefer not to answer + text	HH
		Household survey	Protection	Protection : preference of transfer modality	What is your preferred method of receiving assistance? + why if mobile money is not preferred	select one + text	List: Mobile money, Cash vouchers (ex. Scope), In kind food, Food, Food Voucher s+ text (if not mobile money preferred)	HH
	What were household experiences?	Household survey	Accountability	Accountability: lack of knowledge on	Did you experience any problems receiving your money due to lack of access to or knowledge about mobile money technology? + <i>if yes, please explain</i>	select one + text	yes or no + text	HH

	ences with the cash transfer process?			mobile money				
		Household survey	Accountability	Accountability concern: access difficulty	Did you experience any other problems in receiving and accessing your money? + if yes, please explain	select one + text	yes or no + text	HH
		Household survey	Accountability: Transport	Accountability concern: access	How did you travel to withdraw the money you received from Asal Humanitarian Network(AHN) ?			
		Household survey	Accountability: Transport	Accountability concern: access	How many minutes did it take you to travel to withdraw the money you received from Asal Humanitarian Network(AHN)?			
	ECHO Protection KPIs: What are household's perceptions of key	Household survey	Protection	ECHO KPI	Do you know of anyone in your community having been consulted by the NGO on what your needs are and how the NGO can best help?	select one	yes or no or prefer not to answer	HH
		Household survey	Protection	ECHO KPI	Do you think that cash assistance is appropriate to your needs or those of members of your community?	select one	yes or no or prefer not to answer	HH

	protect ion issues ?	Hous ehol d surv ey	Protect ion	ECHO KPI	Do you feel safe when going through this program's selection process, registration and surveys? + <i>If no, do you mind telling us why?</i>	select one + text	yes or no or prefer not to answer + text	HH
		Hous ehol d surv ey	Protect ion	ECHO KPI	Did you feel you were treated with respect by NGO staff during the intervention so far? + <i>If not, would you mind telling us why?</i>	select one + text	yes or no or prefer not to answer + text	HH
		Hous ehol d surv ey	Protect ion	ECHO KPI	During the selection process, do you think there were households that were unfairly selected for cash distributions over other households more in need?	select one	yes or no or prefer not to answer	HH
		Hous ehol d surv ey	Protect ion	ECHO KPI	Are you aware of any options to contact the agency if you have any questions, complaints, or problems receiving the assistance? + <i>If yes, which options are you aware of?</i>	select one + select multipl e (Note to enum erator: do not list the below as	yes or no + list of options to contact agency: talk directly to NgO staff, use the NGO	HH

						option s to the intervi ewee. Instea d, mark all those that apply based on the answe r provid ed.)	hotline, use the NGO desk, not aware of any options	
		Hous ehol d surv ey	Protect ion	ECHO KPI	Have you or anyone you know in your community ever raised any concerns on the assistance you received to the NGO using one of the above mechanisms? + <i>If yes, are you satisfied with the response you have received?</i> + <i>If no, why were no concerns raised?</i>	Select one + select one + select one	yes or no + concern list: no concern s existed, fear of repurcu ssions for raising concern	HH

							s, lack of awareness about how to raise concerns	
Project Improvement	How can the project be improved in the future?	Hous ehol d surv ey	Accou ntabilit y	Improvem ent	Do you have any other comment, complaint or feedback? + <i>explain</i>	Select one + <i>text</i>	yes or no + <i>text</i>	HH

9.3 TOOL 3 – Endline Data Analysis Plan

Research questions	Sub-Research questions	Data collection method	Indicator group / sector	Indicator / Variable	Questionnaire Question	Instructions	Questionnaire Responses	Data collection level
Enumerator and Partner	N/A	Household survey	Key	Enumerator ID	What is the enumerator's unique ID?	Enter name	Text	HH
		Household survey	NGO	Cash agency	Agency providing cash to beneficiary?	Select one	List of Cash Consortium partner NGOs: PGI, SAPCO NE, SND, PACIDA, RACIDA, NAPAD, TUPAD O, WASDA, ALDEF	HH

Consent		Household survey	Key	Consent given	Consent statement read out. Is consent given to continue the survey?	Select one	Yes or no	HH
Respondent Profile		Household survey	Location	County	County	Select one	Admin list of beneficiary counties	HH
		Household survey	Location	Sub-county	Sub-County	Select one	Admin list of beneficiary sub-counties	HH
		Household survey	Location	Village	Village/Settlement	Select one	Admin list of settlements	HH
		Household survey	Key	Phone number	Respondent phone number	Enter number	Phone number must start with 0 and be 10 digits	HH
		Household	Key	HHH profile	Are you (the respondent) the head of the household?	Select one	Yes or no	HH

		survey						
		Household survey	Key	HHH profile	Gender of the head of HH	Select one	Male or female	HH
		Household survey	Key	HHH profile	Age of the head of HH	Enter number	Integer	HH
		Household survey	Key	HHH profile	Size of the Household	Enter number	Integer	HH
Respondent Beneficiary Status & Receipt of Assistance	N/A	Household survey	Receipt of Assistance	Assistance received cash	In the past month, did your household receive cash assistance from \${ngo}?	Select one	Yes or no	HH
		Household survey	Receipt of Assistance	Assistance received cash	If cash was received, how much money did your household receive in KES?	Enter number	Integer	HH
		Household survey	Receipt of Assistance	Assistance not received cash	If cash was not received, why did the household not receive cash?	Select multiple	List - delay, lack of access, lack of	HH

							known ge, unregist ered, remove d from benefici ary list + other	
		Household survey	Receipt of Assistance	Assistance received cash	If cash received was higher or lower than the transfer amount, why?	Text	Text	HH
How has the drought affected the household and their community?		Household survey	Drought impact	Drought impact	Was your community impacted by drought in the past 6 months?	Select one	Yes or no + text	HH
		Household survey	Drought impact	Drought timeline	How long has the drought lasted?	Select one	List - Last three months; Last six months; More than six months	HH

		Household survey	Drought impact	Crop Losses	If the household grows crops, in the past 6 months, did you face any crop losses due to the drought/dry spell ?	Select one	Yes or no	HH
		Household survey	Drought impact	Harvest Prediction	Do you expect the next harvest of your most important crop to be below average?	Select one	Yes or no	HH
		Household survey	Drought impact	Rangeland Losses	Did you face any rangeland losses recently due to the drought/dry spell?	Select one	Yes or no	HH
		Household survey	Drought impact	Livestock condition	If the household has livestock, what is the condition of the livestock?	Select one	yes or no + <i>fair or poor</i>	
		Household survey	Drought impact	Livestock condition	If the household has livestock in poor condition, can it be attributed to the drought?	Select one	Yes or no	HH
		Household survey	Drought impact	Conflict due to drought	In the past 6 months, was there a conflict in or between communities due to the drought effects? If yes, what was the cause	Select one + select multiple	Yes or no + <i>list of causes - pasture, water,</i>	HH

							land, or other	
What is the household's baseline level of food and livelihood security and how does this change overtime after receiving UCT from the Somali Cash	What is the HH monthly income and what other resources are available to the HH?	Household survey	Income	Income amount	In the past month, how much income did your household make, in KES?	Enter number	Between 0 and 200000 KES	HH
		Household survey	Income	Savings	What is the total amount of cash savings you have, in \$USD?	Enter number	Between 0 and 1000000 KES	HH
		Household survey	Income	Main Source	What were your household's 3 main sources of income/household financial support in the past 6 months?	Select one	List - Livestock Sales, Poultry/ Livestock Product (Milk, Meat, Egg, Ghee), Cash Crop/ Cash Fish Farming, Casual Labour Wage (Portag	HH

Conso rtium?						e, Constru ction, etc), Casual Labour Wage (Farm Labour), Busines s, Subsist ence Farming or Subsist ence Fishing, Contract ed Job, Remitta nces, Humanit arian Assistan ce, Sale of Humanit arian Assistan ce, Rent	
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							of Land, Other	
		Household survey	Income	Debt	What is the total amount of debt your household currently has (money owed to people outside of your household), in \$USD?	Enter number	Between 0 and 100000 KES	HH
What are household's baseline expenditure patterns prior to receiving a	What are household's expenditure patterns (measured over a thirty day period)	Household survey	Food security	Food and non-food expenditure as proportion of total household expenditure	How much did you spend on each of the following categories items in the past month (30 DAYS)? Categories: food, debt repayment of food, debt repayment of other items, medical expenses, WASH items, business, other	Monthly household Expenditures in KES	Between 0 and 200000 KES	HH

UCT from the SCC, and how does this change after receiving multiple rounds of the UCT?	after receiving UCT from the Somali Cash Consortium?							
What is the household's baseline level of food and livelihood security?	HH Level Food Security: What was the HH food consumption and dietary	Household survey	Food security	Food consumption/dietary diversity score	In the last 24 hours, how many meals were eaten in your household?	Enter number (total number of times meals eaten, not total number of	Between 0 and 7	HH

y and how does this change overtime after receiving UCT from the Somali Cash Consortium?	diversity over the last seven days?					people who ate)		
		Household survey	Food security	Food consumption + dietary diversity score	How many days in the past 7 days + past 24 hours did you or anyone in your household consume each of the following categories of foods?	Enter number	Between 0 and 7	HH
					Categories: main staples, pulses, meat and fish or eggs, milk, fruits, vegetables, oil, sugar, and condiments			
		Household survey	Food security	Food source	What was the main source of food for the past 7 days?	Select one	List of food sources: own production, fishing and hunting, gathering, loan, market purchase with cash, market purchase on credit, begging	HH

							exchang e of labor, gift, in- kind aid	
		Hous ehold surve y	Food securit y	Food source	What was the secondary source of food for the past 7 days?	Select one (must be differe nt from main sourc e select ed)	List of food sources: own producti on, fishing and hunting, gatherin g, loan, market purchas e with cash, market purchas e on credit, begging , exchang e of labor,	HH

							gift, in-kind aid	
	HH Level Food Security: Which consumption based coping strategies did the HH employ in the last seven days?	Household survey	Food security	Consumption based coping strategy - for full CSI and rCSI	<p>During the last 7 days, were there days (and, if so, how many) when your household had to employ one of the following strategies (to cope with a lack of food or money to buy it)?</p> <p>Coping strategies: relied on less preferred or less expensive food, borrowed food or relied on help from friends or relatives, reduced the number of meals eaten per day, reduced portion size of meals, reduction in the quantities consumed by adults/mothers for young children, purchase food on credit, gather wild food/ hunt/ or harvest immature crop, consume seed stock held for next season, send household members to eat elsewhere, send household members to beg, feed working household members at the expense of non-working members, ration the money available and buy prepared food, pass entire days without eating,</p>	For each coping strategy employed, list the number of days. If none, put 0	Number between 0 and 7	HH

	HH Level Livelihood Security: Which livelihood coping strategies did the HH employ in the last seven days?	Household survey	Livelihood security	Livelihood coping strategy	During the past 30 days, did anyone in your household have to engage in any of the following activities because there was not enough resources (food, cash, else) to access essential needs (e.g. adequate shelter, education services, health services, etc)?	For each coping strategy employed, select one answer from the coping list. Questions are sorted based on relevance to different livelihood sectors.	List of coping strategies: No, No, because I already sold those assets or have engaged in this activity, in the last 12 months, and cannot continue to do it, Yes, Not applicable because I do not own or use this asset or this	HH
					Coping strategies: sold household assets/goods (radio, furniture, refrigerator, television, jewellery, clothes etc.); purchased food on credit or borrowed food; spent savings; borrowed money; sold productive assets or means of transport (sewing machine, tools, wheelbarrow, bicycle, car, etc); old-off animals (productive and non-productive) and to retain minimum stock; reduced health (including Drugs) and education expenditures; consumed seed stocks that were to be held/saved for the next season; decreased expenditures on fodder, animal feed, veterinary care, etc.; withdrew children from school; sold house or land; begged; sold last female animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft			

							activity is not relevant to my household	
How was the cash transfer used by the HH and what was its impact (positive and		Household survey	Cash impact	Impact basic needs	Did the cash received help you to meet any of your household's basic needs (food, water, health, debt repayment, education, transport, fuel, HH items, rent, or shelter)? + <i>If no, why did the cash not help you meet any of your household's basic needs?</i>	Select one + text	Yes or no + text	HH
		Household survey	Cash impact	Cash shared	In the past month, what amount of the cash transfer OR commodities bought with the cash transfer did you share with other HHs? This includes gifts bought for people outside of your household.	Select one + text	Yes or no + text	HH
		Household survey	Protection	Protection: concern pressure	Did you feel more pressure to share the cash transfer money with other households than you do to share money obtained from other sources of income? + <i>if yes, please explain</i>	Select one + text	Yes or no + text	HH

negative) on social interactions within the household and in the community?		Household survey	Protection	Protection concern: HH conflict	Who was the primary decision-maker in your household on how to spend the cash received over the past month?	Select one	Male HH member , Female HH member , decision made jointly by male and female HH members	HH
		Household survey	Protection	Protection concern: HH conflict	Were there any problems or conflict in the household as a result of disagreement on how to spend the cash? + <i>if yes, please explain</i>	Select one + text	Yes or no + text	HH
		Household survey	Cash Use - Expenditure Patterns	Food and non-food expenditure as proportion of total household	In the past 30 days, from only the amount you received from the Kenya Cash Consortium, how much did your HH spend cash or commodities in KES value on the following things? Categories: food, debt repayment medical expenses, WASH items, business, education, sharing, savings, other	Monthly household Expenditures in KES	Between 0 and transfer amount received .	HH

				expenditure				
		Household survey	Impact of Cash on needs	Cash Impact on needs	Are other members of your community jealous of you because you received the cash transfer? + Have you experienced any of the following as a result of jealousy?	Select multiple	list of needs:- Water, Food, Nutrition services , Latrines , Education, Security , Clothing , Health care, Mats and blankets , Cooking equipment, Water basin, Jerry cans, Soap, Communities	

									nity spaces, Reunific ation with family member s Liveliho od support (livestoc k restocki ng, irrigatio n pump etc), Fuel for lighting and heating (electrici ty, kerosen e, gas, firewood , etc.), Shelter, Other, None	
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How do households perceive their socio-economic wellbeing, ability to meet basic needs, and ability to respond to shocks and how	How do households perceive their socio-economic wellbeing, ability to meet basic needs, and ability to respond to shocks?	Household survey	Subjective WellBeing	Wellbeing food	In the past month, has your household had sufficient quantity of food to eat?	Select one	List: always, mostly, rarely, never	HH
		Household survey	Subjective WellBeing	Wellbeing food	In the past month, has your household had sufficient variety of food to eat?	Select one	List: always, mostly, rarely, never	HH
		Household survey	Subjective WellBeing	Wellbeing basic needs	In the past month, has your household had enough money to cover your household's basic needs?	Select one	List: always, mostly, rarely, never	HH
		Household survey	Subjective WellBeing	Wellbeing overall	Currently, how would you rate your household's overall wellbeing?	Select one	List: always, mostly, rarely, never - meeting basic needs	HH

does this perception change after receiving multiple rounds of the UCT??	To what extent do households feel they can respond to and withstand shocks and stressors?	Household survey	Subjective WellBeing	Wellbeing resilience	How would a crisis or shock, such as flooding, affect your household's well-being?	Select one	List: completely fine, regardless of these events; mostly fine, regardless of these events; would meet some basic needs; would be completely unable to meet basic needs for surviving	HH

What are household's experiences with protection issues and NGO accountability to beneficiary populations?	What were HH's experiences with the UCT registration and distribution processes and did they experience any negative consequences as a result of	Household survey	Protection	Protection concern: pay fees	Did you or anyone you know have to pay anyone in order to get on the beneficiary list, get registered, or receive the cash transfer? + <i>If yes, please explain</i>	Select one + text	yes or no or prefer not to answer + text	HH
		Household survey	Protection	Protection concern: coercion	Are you aware of anyone in the community being pressured or coerced to exchange non-monetary favors in order to get on the beneficiary list, get registered, or receive the cash transfer? + <i>If yes, please explain</i>	Select one + text	yes or no or prefer not to answer + text	HH
		Household survey	Protection	Protection concern: taxation	Did you have to pay any fees or taxes against your will because you are a beneficiary of cash transfers? + <i>If yes, please explain</i>	select one + text	yes or no or prefer not to answer + text	HH
	negative consequences as a result of	Household survey	Protection	Protection concern: other negative consequences	Did you or any member of your household experience any other negative consequences as a result of your beneficiary status? + <i>if yes, please explain</i>	select one + text	yes or no or prefer not to answer + text	HH

	being a beneficiary of UCT?	Household survey	Protection	Protection: preference of transfer modality	What is your preferred method of receiving assistance? + why if mobile money is not preferred	select one + text	List: Mobile money, Cash vouchers (ex. Scope), In kind food, Food, Food Vouchers + text (if not mobile money preferred)	HH
	What were household experiences with the cash transfer	Household survey	Accountability	Accountability: lack of knowledge on mobile money	Did you experience any problems receiving your money due to lack of access to or knowledge about mobile money technology? + if yes, please explain	select one + text	yes or no + text	HH
		Household survey	Accountability	Accountability concern: access difficulty	Did you experience any other problems in receiving and accessing your money? + if yes, please explain	select one + text	yes or no + text	HH

	proces s?							
		Hous ehold surve y	Accou ntabilit y: Transp ort	Accounta bility concern: access	How did you travel to withdraw the money you received from Asal Humanitarian Network(AHN) ?			
		Hous ehold surve y	Accou ntabilit y: Transp ort	Accounta bility concern: access	How many minutes did it take you to travel to withdraw the money you received from Asal Humanitarian Network(AHN)?			
	Sustai nabilit y and Exit Plan	Hous ehoul d surve y	Accou ntabilit y	Accounta bility: Exit plan	Do you expect that your household will encounter any challenges or difficulties as a result of the cash transfers ending? + Please explain what challenges your household will face	Select one + text	yes or no or prefer not to answer + text	HH
	ECHO Protect ion KPIs: What are house hold's percep tions	Hous ehold surve y	Protect ion	ECHO KPI	Do you know of anyone in your community having been consulted by the NGO on what your needs are and how the NGO can best help?	select one	yes or no or prefer not to answer	HH
		Hous ehold surve y	Protect ion	ECHO KPI	Do you think that cash assistance is appropriate to your needs or those of members of your community?	select one	yes or no or prefer	HH

	of key protection issues?						not to answer	
		Household survey	Protection	ECHO KPI	Do you feel safe when going through this program's selection process, registration and surveys? + <i>If no, do you mind telling us why?</i>	select one + text	yes or no or prefer not to answer + text	HH
		Household survey	Protection	ECHO KPI	Did you feel you were treated with respect by NGO staff during the intervention so far? + <i>If not, would you mind telling us why?</i>	select one + text	yes or no or prefer not to answer + text	HH
		Household survey	Protection	ECHO KPI	During the selection process, do you think there were households that were unfairly selected for cash distributions over other households more in need?	select one	yes or no or prefer not to answer	HH
		Household survey	Protection	ECHO KPI	Are you aware of any options to contact the agency if you have any questions, complaints, or problems receiving the assistance? + <i>If yes, which options are you aware of?</i>	select one + select multiple (Note to enumerator: do not	yes or no + list of options to contact agency: talk directly to NgO	HH

						list the below as options to the interviewee. Instead, mark all those that apply based on the answer provided.)	staff, use the NGO hotline, use the NGO desk, not aware of any options	
		Household survey	Protection	ECHO KPI	Have you or anyone you know in your community ever raised any concerns on the assistance you received to the NGO using one of the above mechanisms? + <i>If yes, are you satisfied with the response you have received?</i> + <i>If no, why were no concerns raised?</i>	Select one + select one + select one	yes or no + concern list: no concerns existed, fear of repercussions	HH

							for raising concern s, lack of awaren ess about how to raise concern s	
Projec t Improv ement	How can the project be improv ed in the future?	Hous ehold surve y	Accou ntabilit y	Improvem ent	Do you have any other comment, complaint or feedback? + <i>explain</i>	Select one + <i>text</i>	yes or no + <i>text</i>	HH

