Research Terms of Reference

Post distribution monitoring for the Kenya Cash Consortium response to the drought in Arid and Semi-Arid Lands (ASAL) Counties of Kenya

KEN2202 Kenya

March 2022 V1



1. Executive Summary

Country of intervention	Ken	ya						
Type of Emergency	Х	Natural disaster		Cor	nflict			
Type of Crisis		Sudden onset		Slo	low onset x Protracted			
Mandating Body/	Ken	ya Cash Consortium (KCC)					•	
Agency								
Project Code	24E	US						
Overall Research								
Timeframe (from	Mar	ch 2022 to September 2022						
research design to final								
outputs / M&E)					T .			
Research Timeframe		lesearch Design Finalize – M	arch	10 th	2. Planned Data Collection Start Schedule			
	2022			Baseline data collection: 19th March 2022 (1				
				week before first round of money transfer)				
					Midline Data Colle		•	
					(2 weeks after 2 rounds of money transfer)			
							n – mid-July. 2022	
	0.5				(2 weeks after 5 rounds of money transfer)			
Add planned deadlines Baseline timelines ¹		ata Analysis Completion Sch			4. Data Sent for V			
baseline umelines		weeks after last data collect	ion II	n all		ast c	lata collection day in all	
	rour				rounds.			
	5. Output Sent for Validation -			6. Outputs published:				
		r weeks after data collection			Five weeks after completed data collection			
	(factsheet)				(factsheet)			
		weeks after data collection					eted data collection	
	· · ·	sentation)			(presentation on re	eque	est of the KCC)	
		inal presentation: Upon requ	est b	y				
	the	consortium						

¹ The timelines shown are for baseline only, first midline will be done in September, second one in October and endline will be done in December.

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	4. Understand household experience and satisfaction with participating in UCT
	programme at all stages of the project cycle
	5. Understand awareness of complaint response mechanisms
	6. Understand the degree to which ECHO protection related key performance
	indicators (KPI) are met at all stages of the project cycle
	7. Understand how all the above varies between the geographical areas assessed.
Research Questions	How has the drought affected the household and their community?
	2. What are the household's baseline expenditure patterns prior to receiving a UCT
	from the KCC, and how does this change after receiving multiple rounds of the
	UCT?
	a. What is the HH monthly income and what other resources are available
	to the HH?
	b. What are the household's main expenditures?
	c. What proportion of their total income do households spend on food-
	related expenses?
	d. What proportion of their total income do households spend to meet
	other basic needs?
	3. What is the household's baseline level of food and livelihood security and how
	does this change over time after receiving UCT from the KCC?
	4. How was the cash transfer used by the HH and what was its impact (positive and
	negative) on social interactions within the household and in the community?
	5. What are the household's experiences with protection issues and NGO
	accountability to beneficiary populations? Specifically in terms of:
	a. Awareness about the program details in the beneficiary population
	before it begins
	b. Satisfaction with the payment process, amount, and timeframe
	c. Frequency of payment delays
	d. Ability to receive and access UCT
	e. Knowledge of complaint mechanism and ability to engage with a said
	mechanism to directly provide feedback, comments or complaints
	f. Presence of community consultations by the partner NGO about the
	needs of the community?
	g. Safety perceptions of the households during the selection and
	registration process.
	h. A fair selection process.
	i. Respectful treatment by the NGO staff.
	j. Suggestions on project improvement.
Geographic	Garissa, Mandera, Marsabit, Turkana and Wajir counties
Coverage	·
Secondary data	
sources	1. Baseline reports from ASAL Humanitarian Network drought response program in
	in late 2021-early 2022.
	2. Baseline, PDM and endline reports from Kenya Cash Consortium in 2019 & 2020
	3. ECHO Protection Key Performance Indicators list
Population(s)	□ IDPs in camp □ IDPs in informal sites
Select all that apply	□ IDPs in host communities □ IDPs [Other, Specify]
	□ Refugees in camp □ Refugees in informal sites
	□ Refugees in host communities □ Refugees [Other, Specify]

	X	Host communities				□ [Other, Specify]			
Stratification	Х	Geographical #: 5		Gro	up #:	:		[Other Specify] #:	
Select type(s) and enter		counties		Pop	ulati	on size per		Population size per	
number of strata		Population size per		strat	a is	known?		strata is known?	
		strata is known? x Yes □		□ Y	es 🗆	No		□ Yes □ No	
		No							
Data collection	X	Structured (Quantitative)		□ Semi-structured (Qualitative)				
tool(s)									
	Samp	oling method			Da	ta collection m	etho	od	
Structured data	□ Pı	ırposive □ Key informant interview (Target #):_					view (Target #)·		
collection tool # 1		•			They informatic interview (range: ii)				
Select sampling and data	□ Pr	obability / Simple random			_				
collection method and	x Pro	bability / Stratified simple				Group discussi	on (1	「arget #):	
specify target #	rando	om			хI	Household inte	ervie	w (Target #): 2354 ²	
interviews	 □ Pr	obability / Cluster sampling						(Target #):	
		obability / Stratified cluster s	amn	lina				(Target #):	
		ther, Specify]	p	3					
Townst lovel of					□ [Other, Specify] (Target #):				
Target level of	95%	level of confidence			5+/- % margin of error				
precision if									
probability sampling		IMDACT Kaba Camaa Kaa				UNHCR			
Data management platform(s)	X	IMPACT Kobo Server Ken	iya						
. ,,		[Other, Specify]							
Expected ouput		Situation overview #:		Rep	ort#	<u> </u>		□ Profile #:	
type(s)									
	Х	Presentation #: 3		Pres	senta	ation (Final)	Х	Factsheet #: 3	
		(upon request)		#:_	_				
		Interactive dashboard		Web	map	o #:		Map #:	
		#:_							
Access	х	Public (available on REAC	H re	sourc	е се	enter and other	huma	anitarian platforms)	
		Restricted (bilateral disser publication on REACH or o					sem	ination list, no	
Visibility Specify which	IMPA	•	Julio	piati	JIIII	?)			
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		iation (WASDA), Arid Lands D	-		-	•	•	•	
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 $^{^{\}rm 2}$ The detailed breakdown can be found in Table 1 and Table 2 on page 7.

2. Rationale

2.1. Background Info

Arid and semi-arid lands (ASAL) cover 80% of Kenya's landmass. Many of the regions are still recovering from the worst locust invasion in over 70 years. The October to December short rains are less than 30-60% of the 40-year average in northern and eastern Kenya (CHIRPS satellite data, 20 November, 2021). Based on median rainfall in past years, cumulative rainfall is likely to be less than 45% of average in northern and eastern Kenya. Additionally, based on historical data, there is around a 70% likelihood that the March to May 2022 long rains will be below average (Famine Early Warning Systems Netwrok, November 2021). The pastoralist counties are facing extreme situations, now experiencing the second consecutive failed season with Mandera, Turkana, Marsabit, Wajir, and Garissa the worst hit. Declining forage and water resources continue to intensify atypical livestock migrations as pastoralists seek better grazing resources. Increased distances to fetch water and poor grazing conditions are leading to a deterioration in the physical condition of livestock and increased reports of resource conflicts. In terms of agricultural resources, according to the latest KCC baseline data that has been collected in the ASALs, 84% of the population stated that they have suffered from crop losses during the dry spell. In Wajir, over 98% of the population reported rangeland losses in the same period. Moreover, the COVID-19 pandemic has created pressure throughout the country, but more so in arid and semi-arid counties where the health system was already weak and drought adaptation behaviours are not sustainable. Effects of COVID-19 containment measures, such as movement restriction and temporary closure of public places, continued to have an effect on households, especially in urban centres, due to loss of incomes. Though markets were temporarily closed, trade volumes were below the long-term average, due to the impact of the pandemic on the movement of people, livestock and goods. Overall, 3.53 million people are in crisis phase 3 according to the IPC classification and require humanitarian assistance (Integrated Food Security Phase Classification. According to the last KCC baseline assessment from November 2022, 99% of the population in Isiolo, Samburu and Marsabit claimed their livelihoods had been highly impacted by the effects of drought over the past six months. 34% of the population in Wajir declared that the drought had lasted six months, 100% in Samburu and 86% in Garissa claimed that the impacts lasted more than six months.

2.2. Intended Impact

Based on the rationale summarised above, the KCC will implement an emergency multi-sector response through mobile money unconditional cash transfers (UCTs). All targeted households will receive a total of five transfers. The planned cash assistance will reach **7,567 households**, 44,776 individuals (the number of individual beneficiaries being calculated based on the average size of households per county as per GoK census data 2019). **The proposed action will address economic root causes identified as a barrier to food security, nutrition, health and protection outcomes.** The immediate impact of the program is expected in the increased food consumption and dietary diversity, decreased usage of coping strategies, and improved income/ expenditure patterns for the beneficiary households.

In order to monitor the ongoing impact of the UCTs at the household level, IMPACT Initiatives will conduct a baseline and two rounds of post-distribution monitoring (PDM). First, an initial baseline survey will be conducted for the new beneficiaries before they receive any UCT from in mid-March 2021. Two weeks after the second round of disbursements of the UCT to households, IMPACT Initiatives will conduct a midline survey for two samples drawn from the populations of the new and old beneficiary households. After the fifth and last disbursement of UCT to households, IMPACT Initiatives will conduct an endline survey, again drawing two separate samples from the populations of the new and old beneficiary households. The baseline, midlines and endline surveys will be conducted remotely through phone interviews. The overall aim of this research is to understand the outcome of UCT to drought-affected households in Garissa, Mandera, Marsabit, Turkana and Wajir counties, and inform similar interventions in the future by Kenya Cash Consortium.

3. Methodology

3.1. Methodology overview

The baseline, midline, and endline surveys will be comprised of a representative household survey targeting beneficiaries of the Kenya Cash Consortium UCT programme. Through this survey, households will be asked about their overall food security situation, as well as their perceptions of whether humanitarian assistance is delivered in a safe, accessible, accountable and participatory manner. The data will be collected through phone interviews and they will be randomly selected per geographic area from the list of registered beneficiaries. For the baseline, midline, and endline survey, a stratified simple random sampling approach will be used to have data that is representative of the beneficiary population (households), with a 95% confidence level and a 5% margin of error. A 10% buffer will be included in the sample to account for non-response or data quality issues. The baseline assessment will be conducted in March 2022, the midline in May 2022, and the endline in July 2022. Do note that for the baseline survey, only those households who were registered specifically for this project will form a part of the population to be sampled i.e. all ongoing or old caseloads will not be surveyed at the baseline. For those who were involved in the AHN drought response program, the baseline findings from AHN's drought response program in 2021-22 will be used for comparison. For the midline and endline, two samples each will be drawn from the old and new beneficiary household populations.

The beneficiary population split by county is as follows: 1,670 households in Marsabit, 941 in Mandera, 2,736 in Turkana, 885 in Wajir, and 1,335 in Garissa. Some of the households will be carried over from the Asal Humanitarian Network's drought response program's caseload that has received two-three rounds of cash transfers. For the purposes of this document, moving forward, households that are carried over from the Asal Humanitarian Network's drought response program's caseload to the KCC caseload are referred to as ongoing beneficiaries. Those who were not a part of the AHN program are referred to as new beneficiaries.

3.2.Population of interest

The geographical area assessed consists of Garissa, Mandera, Marsabit, Turkana and Wajir counties. The population assessed includes all households that received UCT from Kenya Cash Consortium partners under the 2022 program. The unit of measurement for the assessment is the household. While the primary disaggregation of the data will be on the county level, the following information will be collected on household demographics to allow a disaggregated analysis according to the demographic characteristics of the population assessed. This includes location i.e. county, sub-county, village/settlement), size of the households, and age & gender of the respondent, and head of household (if different). Do note that this disaggregated analysis will be indicative rather than representative as the sample has only been stratified according to the county.

3.3 Secondary data review

The <u>baseline</u>, <u>midline</u>, and <u>endline</u> reports from Kenya Cash Consortium in 2019 and 2020 will be used to inform the assessment methodology and questionnaire design. Information will be integrated from the 2019, 2020, and 2021 assessment cycles of the Somali Cash Consortium third-party monitoring done by IMPACT.

3.4 Primary Data Collection

As mentioned above, some of the households will be carried over from the Asal Humanitarian Network's drought response program's caseload that has received two-three rounds of cash transfers. The households from the Asal Humanitarian Network's drought response program's caseload to the KCC caseload are referred to as ongoing beneficiaries. Those who were not a part of the AHN program are referred to as new beneficiaries. For the baseline survey, only the new beneficiaries will be sampled. For the midline and end-line assessments, two samples will be drawn from the two populations of old and new beneficiaries. Across all assessments, a stratified simple random sampling approach will be used to have data that is representative of beneficiary population (households) in Garissa, Mandera, Marsabit, Turkana and Wajir counties, with a 95% confidence level and a 5% margin of error. A 10% buffer will be included to the sample to account for non-response or

data quality issues. In addition, an additional buffer list of respondents will be generated, so in cases of non-response, the next respondent in the list will be sampled. In case the target sample size has not been met, the team will re-run the sample using only those that were not already contacted, to fulfil the sample. There will be different groups of respondents for each survey, as response rates are expected to be too low to make a longitudinal study possible. To ensure random selection of respondents, IMPACT will generate random numbers on the list of beneficiaries that will be provided by the partners and then sort the random numbers from smallest to largest to select the required number of respondents. The interview will be conducted with the person registered as a beneficiary in each household. All non-responses will be monitored in a tracker.

For the new beneficiaries, three rounds of data collection (baseline, midline, and endline) will be conducted. The sample size and buffer for the new caseloads are shown in the table below.

County	New Beneficiaries	Sample size (Baseline, Midline and Endline)	Sample Size +10% Buffer
Garissa	685	246	271
Mandera	224	142	156
Marsabit	835	263	289
Turkana	0	0	0
Wajir	236	146	161
Total	1980	797	877

Table 1: Data Collection Timeline - New Beneficiaries

For the ongoing beneficiaries, two rounds of data collection (midline and endline) will be conducted. The <u>baseline findings</u> from AHN's drought response program in 2021-22 will be used for comparison. The sample size and buffer for the ongoing caseloads are shown in the table below.

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County	Ongoing Beneficiaries	Sample size (Midline and Endline)	Sample Size +10% Buffer
Garissa	717	242	266
Mandera	650	251	276
Marsabit	535	224	246
Turkana	1235	294	323
Wajir	650	333	366
Total	3787	1343	1477

Table 2: Data Collection Timeline – Ongoing Beneficiaries

The tentative timeline for the cash transfers and data collection is shown in the figure below.

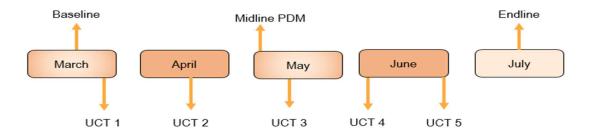


Figure 1: Cash Transfer and Data Collection Timeline

3.5 Data Processing & Analysis

The assessment will comprise a household survey designed in partnership with the Kenya Cash Consortium partners. The tool will be designed and coded using kobo and all data will be collected via smart phone using Open Data Kit (ODK collect). Collected data will be subjected to daily checks to identify any issues with data quality and divergence from the sample frame, in line with IMPACT's <u>Data Cleaning Minimum Standards Checklist</u>. In addition to the daily data checks, the final datasets will undergo a thorough cleaning, with any outstanding issues reported to the field staff for feedback. Following data cleaning, the data will be analyzed using R. For the open-ended questions, a thematic analysis approach will for analysis. For food consumption score and coping strategy index, the guidelines provided by World Food Programme (WFP) from pages 63 to 80 here will be used. We will compile factsheets from the analyzed data and there after publish the reports on REACH website and share the outputs with the Kenya Cash Consortium.

4. Key ethical considerations and related risks

The proposed research design meets / does not meet the following criteria:

The proposed research design	Yes/ No	Details if no (including mitigation)
Has been coordinated with relevant stakeholders to avoid unnecessary duplication of data collection efforts?	Yes	
Respects respondents, their rights and dignity (specifically by: seeking informed consent, designing length of survey/ discussion while being considerate of participants' time, ensuring accurate reporting of information provided)?	Yes	
Does not expose data collectors to any risks as a direct result of participation in data collection?	Yes	
Does not expose respondents / their communities to any risks as a direct result of participation in data collection?	Yes	

Does not involve collecting information on specific topics which may be stressful and/ or re-traumatising for research participants (both respondents and data collectors)?	Yes	
Does not involve data collection with minors i.e. anyone less than 18 years old?	Yes	
Does not involve data collection with other vulnerable groups e.g. persons with disabilities, victims/ survivors of protection incidents, etc.?	Yes	
Follows IMPACT SOPs for management of personally identifiable information ?	Yes	

5. Roles and responsibilities

Table 2: Description of roles and responsibilities

Task Description	Responsible	Accountable	Consulted	Informed
Research design	Assessment Officer	Research Manager	IMPACT HQ Research Design and Data Unit (RDDU), consortium members, Research Manager	HQ,Consortium Members, county coordinator
Supervising data collection	Field Team Lead	Database Officer	IMPACT HQ RDDU, Consortium members, Research Manager, Assessment officer	HQ,Consortium Members, county coordinator
Data processing (checking, cleaning)	Field Team Lead	Database Officer	IMPACT HQ RDDU, consortium members, Research Manager, Assessment officer	HQ,Consortium Members, county coordinator
Data analysis	Database Officer	Assessment officer	IMPACT HQ RDDU,	HQ,Consortium Members,

				Marc
			consortium	county
			members,	coordinator
			Research	
			Manager,	
			Assessment	
			officer	
			IMPACT HQ	
			Research	HQ,Consortium
			Reporting Unit	Members,
Output production	Assessment Officer,	Assessment	(RRU),	county
Output production	GIS officer	Officer	consortium	coordinator
			members,	COOTUITIALOI
			Manager	
			Consortium	HQ, Consortium
Dissemination	Assessment Officer		members,	Members,
		Accoment	Research	county
		Assessment Officer	Manager,	coordinator,
	Officer		IMPACT HQ	HQ
			Communications	Communications
			Officer	Officer
			IMPACT HQ	
			Research	IIO Canaartium
		A	Department,	HQ, Consortium
Monitoring & Evaluation	Assessment Officer	Assessment Officer	consortium	Members,
		Officer	members,	county
			Research	coordinator
			Manager	
			IMPACT HQ	
			Research	HO Come of the second
		A	Department,	HQ,Consortium
Lessons learned	Assessment Officer	Assessment	consortium	Members,
		Officer	members,	county
			Research	coordinator
			Manager	
	L			

Responsible: the person(s) who executes the task

Accountable: the person who validates the completion of the task and is accountable of the final output or milestone

Consulted: the person(s) who must be consulted when the task is implemented **Informed:** the person(s) who need to be informed when the task is completed

6. Data Analysis Plan

This is presented in Annex 1

8. Monitoring & Evaluation Plan

IMPACT Objective	External M&E Indicator	Internal M&E Indicator	Focal point	Tool	Will indicator be tracked?
	Northernof	# of downloads of x product from Resource Center	Country request to HQ		x Yes
Humanitaria	Number of humanitarian organisations	# of downloads of x product from Relief Web	Country request to HQ		x Yes
n stakeholders	accessing IMPACT services/products	# of downloads of x product from Country level platforms	Country team	User_lo	x Yes
are accessing IMPACT	Number of individuals	# of page clicks on x product from REACH global newsletter	Country request to HQ	g g	x Yes
products	accessing IMPACT services/products	# of page clicks on x product from country newsletter, sendingBlue, bit.ly	Country team		x Yes
	services/products	# of visits to x webmap/x dashboard	Country request to HQ		□ Yes
IMPACT activities contribute to		# references in HPC documents (HNO, SRP, Flash appeals, Cluster/sector strategies)			Kenya 2022 Humanitarian Needs Overview
better Number of humanitari organisatio utilizing IM	Number of humanitarian organisations utilizing IMPACT services/products	# references in single agency documents	Country team	Referen ce_log	
Humanitaria n stakeholders are using IMPACT products	Humanitarian actors use IMPACT evidence/product s as a basis for decision making, aid planning and delivery Number of humanitarian documents (HNO, HRP, cluster/agency strategic plans, etc.) directly informed by	Perceived relevance of IMPACT country-programs	Country team	Usage_ Feedba ck and Usage_ Survey templat e	Decisions made and implemented on the basis of the assessment – to be checked with operational and donor partners to ask what actions they took on the basis of the findings and recommendations. This assessment may also be included in a usage survey of partners if one is conducted in the future.

					March 2022
	IMPACT products	Perceived usefulness and influence of IMPACT outputs			
		Recommendations to strengthen IMPACT programs			
		Perceived capacity of IMPACT staff			
		Perceived quality of			
		outputs/programs			
		Recommendations to strengthen IMPACT programs			
	Number and/or percentage of	# of organisations providing resources (i.e.staff, vehicles,			x Yes
Humanitaria	humanitarian	meeting space, budget, etc.) for			X 165
n stakeholders	organizations directly	activity implementation # of organisations/clusters inputting			
are engaged	contributing to	in research design and joint	Country	Engage	x Yes
in IMPACT programs	IMPACT programs	analysis	team	ment_lo g	
throughout	(providing			3	
the research cycle	resources, participating to	# of organisations/clusters attending briefings on findings;			x Yes
Cycle	presentations,	attending briefings on findings,			
	etc.)				

9. Annex I – Data Analysis Plan

9.1 TOOL 1 - Baseline Data Analysis Plan

Researc h question s	Sub- Researc h question s	Data collec tion metho d	Indicato r group / sector	Indicator / Variable	Questionnaire Question	Instruct	Question naire Respons es	Data collec tion level
Enumer ator and Partner	N/A	House hold survey	Key	Enumerator ID	What is the enumerator's unique ID?	Enter name	Text	НН
		House hold survey	NGO	Cash agency	Agency providing cash to beneficiary?	Select	List of Cash Consortiu m partner NGOs: PGI, SAPCON E,SND, PACIDA, RACIDA, NAPAD, TUPADO, WASDA, ALDEF	Ŧ

Concent	Herre	Vov	Concent	Concept etatement read out "Mu name is and I are welling with	Coloot		arch 202
Consent	House hold survey	Key	Consent given	Consent statement read out. "My name is, and I am working with IMPACT initiatives, on behalf of \${ngo}, a member of the Kenya Cash Consortium. We are collecting information to review and improve the Consortium's humanitarian work in this community. We would like to ask you some questions. You are free to choose if you want to participate in this survey or not. Your participation – or your refusal to participate – will not affect your involvement in this program. If you agree to participate, please answer the questions honestly. We will store and process your information securely, and we will only share information necessary to monitor progress and undertake research – you will not be identifiable in this information unless you request otherwise. This information will be used to help us better provide services, ensure accountability and make our programmes better. We may share information we collect with the Consortium members to allow them to administer and monitor the programme. We will ensure that anyone we share information with stores and processes your information securely. If you'd like us to remove your information from the systems, please use the CRM contact number and we will remove your data apart from that which we're legally required to maintain to support disbursed funds. This survey should take about 30 minutes. Do you accept to participate?" Is consent given to continue the survey?	Select one	Yes or no	HH
Respon dent Profile	House hold survey	Location	County	County	Select	Admin list of beneficiar y counties	НН
	House hold survey	Location	Sub-county	Sub-County Sub-County	Select one	Admin list of beneficiar y sub-counties	НН

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	Hor hol sur	d	Village	Village/Settlement	Select one	Admin list of settlement s	HH
	Hor hol sur	d j	Phone number	Respondent phone number	Enter number	Phone number must start with 0 and be 10 digits	НН
	Hol hol sur	d	HHH profile	Are you (the respondent) the head of the household?	Select	Yes or no	HH
	Holi holi sur	d	HHH profile	Gender of the head of HH	Select	Male or female	HH
	Hol hol sur	d	HHH profile	Age of the head of HH	Enter number	Integer	HH
	Hol hol sur	d	HHH profile	Size of the Household	Enter number	Integer	HH
How has the drought	Hor hol- sur		Drought impact	Was your community impacted by drought in the past 6 months?	Select one	Yes or no + text	НН

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affected the househo ld and their commun ity?	House hold survey	Drought impact	Drought timeline	How long has the drought lasted?	Select	List - Less than months; Last six months; More than six months	HH
	House hold survey	Drought impact	Crop Losses	If the household grows crops, in the past 6 months, did you face any crop losses due to the drought/dry spell ?	Select one	Yes or no	НН
	House hold survey	Drought impact	Harvest Prediction	Do you expect the next harvest of your most important crop to be below average?	Select	Yes or no	НН
	House hold survey	Drought impact	Rangeland Losses	Did you face any rangeland losses recently due to the drought/dry spell?	Select	Yes or no	НН
	House hold survey	Drought impact	Livestock condition	If the household has livestock, what is the condition of the livestock?	Select one	yes or no + fair or poor	
	House hold survey	Drought impact	Livestock condition	If the household has livestock in poor condition, can it be attributed to the drought?	Select	Yes or no	HH
	House hold survey	Drought impact	Conflict due to drought	In the past 6 months, was there a conflict in or between communities due to the drought effects? If yes, what was the cause	Select one +	Yes or no + list of causes - pasture,	НН

							IVI	arch 2022
						select multiple	water, land, or other	
What is the househo Id's baseline	What is the HH monthly income and what	House hold survey	Income	Income amount	In the past month, how much income did your household make, in KES?	Enter number	Between 0 and 200000 KES	НН
level of food and livelihoo d	other resource s are available	House hold survey	Income	Savings	What is the total amount of cash savings your household currently has, in KES?	Enter number	Between 0 and 1000000 KES	HH
security and how does this change overtime after receivin g UCT from the Somali Cash Consorti um?	to the HH?	House hold survey	Income	Main Source	What were your household's 3 main sources of income/household financial support in the past 6 months?	Select	List - Livestock Sales, Poultry/Liv estock Product (Milk, Meat, Egg, Ghee), Cash Crop/ Cash Fish Farming, Casual Labour Wage (Portage, Constructi	HH

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			and,
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	House hold survey	Income	Debt	What is the total amount of debt your household currently has (money owed to people outside of your household), in KES?	Enter number	Between 0 and 1000000 KES	НН
What are household's ld's baseline expendit ure patterns prior to receivei a thirty ng a day UCT period) from the SCC, and how does from this change after receivin g multiple rounds of the UCT?	hold survey	Food security	Food and non-food expenditure as proportion of total household expenditure	How much did you spend on each of the following categories items in the past month (30 DAYS)? Categories: food, debt repayment of food, debt repayment of other items, medical expenses, WASH items, business, other	Monthly househ old Expendi tures in KES	Between 0 and 200000 KES	HH

What is the househo Id's baseline level of food and livelihoo d security and how does this change	HH Level Food Security: What was the HH food consum ption and dietary diversity over the last seven	hold survey	Food security	Food consumptio n/dietary diversity score	In the last 24 hours, how many meals were eaten in your household?	Enter number (total number of times meals eaten, not total number of people who ate)	Between 0 and 7	HH
overtime after receivin	days?	House hold survey	Food security	Food consumptio n + dietary diversity	How many days in the past 7 days + past 24 hours did you or anyone in your household consume each of the following categories of foods?	Enter number	Between 0 and 7	HH
g UCT from the Kenya				score	Categories: main staples, pulses, meat and fish or eggs, milk, fruits, vegetables, oil, sugar, and condiments			
Cash Consorti um?		House hold survey	Food security	Food source	What was the main source of food for the past 7 days?	Select	List of food sources: own production , fishing and hunting, gathering, loan, market purchase	HH

					IVI	arch 2022
					with cash, market purchase on credit, begging, exchange of labor, gift, in- kind aid	
House hold survey	Food security	Food source	What was the secondary source of food for the past 7 days?	Select one (must be different from main source selecte d)	List of food sources: own production , fishing and hunting, gathering, loan, market purchase with cash, market purchase on credit, begging, exchange of labor, gift, inkind aid	HH

HH Level	House	Food	Consumptio	During the last 7 days, were there days (and, if so, how many) when your	For	Number	HH
Food Security:	hold survey	security	n based coping	household had to employ one of the following strategies (to cope with a lack of food or money to buy it)?	each coping	between 0 and 7	
Which consum ption based coping strategie s did the HH employ in the last seven days?	Survey		strategy - for full CSI and rCSI	Coping strategies: relied on less preferred or less expensive food, borrowed food or relied on help from friends or relatives, reduced the number of meals eaten per day, reduced portion size of meals, reduction in the quantities consumed by adults/mothers for young children, purchase food on credit, gather wild food/ hunt/ or harvest immature crop, consume seed stock held for next season, send household members to eat elsewhere, send household members to beg, feed working household members at the expense of non-working members, ration the money available and buy prepared food, pass entire days without eating,	strategy employ ed, list the number of days. If none, put 0	and r	
HH Level Liveliho od Security: Which livelihoo d coping	House hold survey	Liveliho od security	Livelihood coping strategy	During the past 30 days, did anyone in your household have to engage in any of the following activities because there was not enough resources (food, cash, else) to access essential needs (e.g. adequate shelter, education services, health services, etc)?	For each coping strategy employ ed, select	List of coping strategies: No, No, because I already sold those	НН

strategie s did the HH expension, jewellery, clothes etc.); purchased food on credit to rowwed from the spent savings; borrowed money; sold productive assets or means of transport (sewing machine, tools, wheelbarrow, bicycle, car, etc); old-off animals (productive and non-productive) and to retain minimum stock; reduced health (including Drugs) and education expenditures; consumed seed stocks that were to be held/saved for the next season; decreased expenditures on fodder, animal feed, veterinary care, etc.; withdrew children from school; sold house or land; begged; sold last female animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft Coping strategies: sold household assets/goods (radio, furniture, refrigerator, have answer have answer from the coping in this activity, in Ode of the last 12 months activity. In the last 12 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft Coping strategies: sold hase or have answer from the coping in this activity, in Ode of the last 12 months activity in and cannot continue to do it, or even on the continue of the plant of the last 12 months activity in and cannot continue activities such as theft					iviai
HH employ in the last seven days? Isolats: Isolativity, in the last 12 ms are orded, weterinary care, and based cannot or continue relevant to do it, ce to do not sectors. Isolats: Isolativity, in Question activity, in Suestion and based cannot or continue relevant to do it, ce to do not sectors. Isolats: Iso			Coping strategies: sold household assets/goods (radio, furniture, refrigerator,	one	assets or
(sewing machine, tools, wheelbarrow, bicycle, car, etc); old-off animals (productive and non-productive) and to retain minimum stock; reduced health (including Drugs) and education expenditures; consumed seed stocks that were to be held/saved for the next season; decreased expenditures on fodder, animal feed, veterinary care, etc.; withdrew children from school; sold house or land; begged; sold last female animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft (sewing machine, tools, wheelbarrow, bicycle, car, etc.; old-off animals (productive and non-productive) and to retain minimum stock; reduced health (including Drugs) list. Questio ns are sorted based cannot continue relevan to do it, ce to different livelihoo d on on sectors. (a) Questio ns are worted based cannot continue to do it, ce to different livelihoo d on on use this asset or this activity is not relevant to my	s did the		television, jewellery, clothes etc.); purchased food on credit or borrowed food;	answer	have
in the last seven days? and non-productive) and to retain minimum stock; reduced health (including Drugs) and education expenditures; consumed seed stocks that were to be held/saved for the next season; decreased expenditures on fodder, animal feed, veterinary care, etc.; withdrew children from school; sold house or land; begged; sold last female animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft and education expenditures; consumed seed stocks that were to be held/saved for the last 12 months, sorted based on cannot or relevant to do it, Yes, Not different livelihoo d on sectors.	HH		spent savings; borrowed money; sold productive assets or means of transport	from the	engaged
and education expenditures; consumed seed stocks that were to be held/saved for the next season; decreased expenditures on fodder, animal feed, veterinary care, etc.; withdrew children from school; sold house or land; begged; sold last female animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft and education expenditures; consumed seed stocks that were to be held/saved for the last 12 months, and cannot on relevant to do it, Yes, Not different livelihoo d on or sectors.	employ		(sewing machine, tools, wheelbarrow, bicycle, car, etc); old-off animals (productive	coping	in this
the next season; decreased expenditures on fodder, animal feed, veterinary care, etc.; withdrew children from school; sold house or land; begged; sold last female animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft The provided in criminal activities such as theft The provided in criminal activities involved in criminal activities activity is not relevant to my The provided in criminal activities activity is not relevant to my	in the		and non-productive) and to retain minimum stock; reduced health (including Drugs)	list.	activity, in
etc.; withdrew children from school; sold house or land; begged; sold last female animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft sorted based cannot on relevan to do it, Yes, Not different livelihoo d on sectors. When the continue relevan to do it, Yes, Not different livelihoo of this area in the last 6 months or plan to on continue relevan to my to do it, Yes, Not different livelihoo of this activity is not relevant to my	last		and education expenditures; consumed seed stocks that were to be held/saved for	Questio	the last 12
animals; entire household has migrated to this area in the last 6 months or plan to migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft activities such as theft based connot continue relevan to do it, yes, Not different livelihoo do not sectors. do not sectors. Yes, Not do ifferent livelihoo because I do not sectors. own or use this asset or this activity is not relevant to my	seven		the next season; decreased expenditures on fodder, animal feed, veterinary care,	ns are	months,
migrate to the new area within the next 6 months to get help; involved in criminal activities such as theft on relevan to do it, Yes, Not different livelihoo do not sectors. on continue relevan to do not sectors. own or use this asset or this activity is not relevant to my	days?		etc.; withdrew children from school; sold house or land; begged; sold last female	sorted	and
activities such as theft relevan ce to Yes, Not different livelihoo because I d do not sectors. own or use this asset or this activity is not relevant to my			animals; entire household has migrated to this area in the last 6 months or plan to	based	cannot
ce to different applicable because I do not sectors. own or use this asset or this activity is not relevant to my			migrate to the new area within the next 6 months to get help; involved in criminal	on	continue
different livelihoo d because I do not sectors. sectors. own or use this asset or this activity is not relevant to my			activities such as theft	relevan	to do it,
livelihoo d do not sectors. Sectors				ce to	Yes, Not
d do not sectors. d onot own or use this asset or this activity is not relevant to my				different	applicable
sectors. own or use this asset or this activity is not relevant to my				livelihoo	because I
use this asset or this activity is not relevant to my				d	do not
asset or this activity is not relevant to my				sectors.	own or
this activity is not relevant to my					use this
activity is not relevant to my					asset or
not relevant to my					this
relevant to my					activity is
my					not
					relevant to
household					my
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How was		House	Protecti	Protection	Who was the primary decision-maker in your household on how to spend your	Select	Male HH	HH
the cash		hold	on	concern: HH	income over the past month?	one	member,	
transfer		survey					Female	
used by							HH	
the HH							member,	
and							decision	
what							made	
was its							jointly by	
impact							male and	
(positive							female HH	
and							members	
negative								
) on								
social								
interacti								
ons								
within								
the								
househo								
ld and in								
the								
commun								
ity?								
-								
How do	How do	House	Subjecti	Wellbeing	In the past month, has your household had sufficient quantity of food to eat?	Select	List:	HH
househo	househo	hold	ve	food		one	always,	
lds	lds	survey	WellBei				mostly,	
perceive	perceive		ng				rarely,	
their	their						never	

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socio- economi c welbein g, ability to meet	socio- economi c welbeing , ability to meet	House hold survey	Subjecti ve WellBei ng	Wellbeing food	In the past month, has your household had sufficient variety of food to eat?	Select one	List: always, mostly, rarely, never	НН
basic needs, and ability to respond	basic needs, and ability to respond	House hold survey	Subjecti ve WellBei ng	Wellbeing basic needs	In the past month, has your household had enough money to cover your household's basic needs?	Select one	List: always, mostly, rarely, never	НН
to shocks and how does this percepti on change change	to shocks?	House hold survey	Subjecti ve WellBei ng	Wellbeing overall	Currently, how would you rate your household's overall wellbeing?	Select one	List: (always/ mostly/ rarely/ never - meeting basic needs)	НН
after receivin g multiple rounds of the UCT??	To what extent do househo lds feel they can respond to and withstan d shocks and	House hold survey	Subjecti ve WellBei ng	Wellbeing resilience	How would a crisis or shock, such as flooding, affect your household's well-being?	Select	List: completel y fine, regardless of these events; mostly fine, regardless of these events; would	HH

						I		iarch ZUZZ
	stresser						meet	
	s?						some	
							basic	
							needs;	
							would be	
							completel	
							y unable	
							to meet	
							basic	
							needs for	
							surviving	
What are	What	House	Protecti	Protection	Did you or anyone you know have to pay anyone in order to get on the beneficiary	Select	yes or no	HH
househo	were	hold	on	concern:	list, get registered, or receive the cash transfer? + If yes, please explain	one +	or prefer	
ld's	HH's	survey		pay fees		text	not to	
experien	experien						answer +	
ces with	ces with						text	
protecti	the UCT							
on	registrati	House	Protecti	Protection	Are you aware of anyone in the community being pressured or coerced to	Select	yes or no	HH
issues	on and	hold	on	concern:	exchange non-monetary favors in order to get on the beneficiary list, get	one +	or prefer	
and	distributi	survey		coercion	registered, or receive the cash transfer? + If yes, please explain	text	not to	
NGO	on						answer +	
account	process						text	
ability to	and did	House	Protecti	Protection	Did you have to pay any fees or taxes against your will because you are a	select	yes or no	HH
benefici	they	hold	on	concern:	beneficiary of cash transfers? + If yes, please explain	one +	or prefer	
ary	experien	survey		taxation	. , , o , , , , o , , , , , , , , , , ,	text	not to	
populati	ce any						answer +	
ons?	negative						text	
	consequ						-	

ences as a result of being a beneficia	House hold survey	Protecti on	Protection concern: other negative consequenc	Did you or any member of your household experience any other negative consequences as a result of your beneficiary status? + if yes, please explain	select one + text	yes or no or prefer not to answer + text	HH
ry of UCT?	House hold survey	Protecti	Protection: preference of transfer modality	What is your preferred method of receiving assistance? + why if mobile money is not preferred	select one + text	List: Mobile money, Cash vouchers (ex. Scope), In kind food, Food, Food Vouchers + text (if not mobile money preferred)	HH
ECHO Protectio n KPIs: What are househo	House hold survey	Protecti on	ECHO KPI	Do you know of anyone in your community having been consulted by the NGO on what your needs are and how the NGO can best help?	select one	yes or no or prefer not to answer	НН
ld's percepti ons of key	House hold survey	Protecti on	ECHO KPI	Do you think that cash assistance you are about to receive is appropriate to your needs or those of members of your community?	select one	yes or no or prefer not to answer	НН

protectio n issues?	House hold survey	Protecti on	ECHO KPI	Do you feel safe when going through this program's selection process, registration and surveys? + If no, do you mind telling us why?	select one + text	yes or no or prefer not to answer + text	HH
	House hold survey	Protecti on	ECHO KPI	Did you feel you were treated with respect by NGO staff during the intervention so far? + If not, would you mind telling us why?	select one + text	yes or no or prefer not to answer + text	НН
	House hold survey	Protecti on	ECHO KPI	During the selection process, do you think there were households that were unfairly selected for cash distributions over other households more in need?	select one	yes or no or prefer not to answer	НН
	House hold survey	Protecti	ECHO KPI	Are you aware of any options to contact the agency if you have any questions, complaints, or problems receiving the assistance? + If yes, which options are you aware of?	select one + select multiple (Note to enumer ator: do not list the below as options to the intervie wee. Instead,	yes or no + list of options to contact agency: talk directly to NgO staff, use the NGO hotline, use the NGO desk, not aware of	НН

How can	House hold survey	Protection	ECHO KPI	Have you or anyone you know in your community ever raised any concerns on the assistance you are about to receive to the NGO using one of the above mechanisms? + If yes, are you satisfied with the response you have received? + If no, why were no concerns raised? Do you have any other comment, complaint or feedback? + explain	mark all those that apply based on the answer provide d.) Select one + select one + select one	yes or no + concern list: no concerns existed, fear of repurcussi ons for raising concerns, lack of awarenes s about how to raise concerns	HH
the project be improve	hold survey	ability	t t	Do you have any other comment, complaint or reedback? + explain	one +	+ text	пп

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d in the	
future?	

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9.2 TOOL 2 – Midline Data Analysis Plan

Resear ch questi ons	Sub- Resear ch questi ons	Data colle ction meth od	Indicat or group / sector	Indicator / Variable	Questionnaire Question	Instru ction s	Questio nnaire Respon ses	Data colle ctio n level
Enume rator and Partne r	N/A	Hous ehol d surv ey	Key	Enumerat or ID	What is the enumerator's unique ID?	Enter name	Text	HH
		Hous ehol d surv ey	NGO	Cash agency	Agency providing cash to beneficiary?	Select	List of Cash Consorti um partner NGOs: PGI, SAPCO NE,SND , PACIDA , RACIDA , TUPAD O,	HH

	Marc						
						WASDA , ALDEF	
Conse nt	Hous ehol d surv	Key	Consent given	Consent statement read out. Is consent given to continue the survey?	Select	Yes or no	НН
Respo ndent Profile	Hous ehol d surv ey	Locatio n	County	County	Select	Admin list of benefici ary counties	НН
	Hous ehol d surv ey	Locatio n	Sub- county	Sub-County Sub-County	Select	Admin list of benefici ary sub- counties	HH
	Hous ehol d surv ey	Locatio n	Village	Village/Settlement	Select	Admin list of settleme nts	НН
	Hous ehol d	Key	Phone number	Respondent phone number	Enter numb er	Phone number must start	НН

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		surv					with 0	
		ey					and be	
							10 digits	
		Hous	Key	ННН	Are you (the respondent) the head of the household?	Select	Yes or	НН
		ehol		profile		one	no	
		d						
		surv						
		ey						
		Hous	Key	HHH	Gender of the head of HH	Select	Male or	НН
		ehol		profile		one	female	
		d						
		surv						
		ey						
		Hous	Key	HHH	Age of the head of HH	Enter	Integer	HH
		ehol		profile		numb		
		d				er		
		surv						
		ey						
		Hous	Key	HHH	Size of the Household	Enter	Integer	НН
		ehol		profile		numb		
		d				er		
		surv						
		ey						
Respo	N/A	Hous	Reciep	Assistanc	In the past month, did your household receive cash assistance from \${ngo}?	Select	Yes or	НН
ndent		ehol	t of	е		one	no	
Benefi		d	Assista	received				
ciary			nce	cash				

Status	surv						
& Reciep	ey						
t of	Hous	Reciep	Assistanc	If cash was received, how much money did your household receive in KES?	Enter	Integer	НН
Assist	ehol	t of	е		numb		
ance	d	Assista	received		er		
	surv	nce	cash				
	ey						
	Hous	Reciep	Assistanc	If cash was not received, why did the household not receive cash?	Select	List -	HH
	ehol	t of	e not		multipl	delay,	
	d	Assista	received		е	lack of	
	surv	nce	cash			access,	
	ey					lack of	
						knowled	
						ge,	
						unregist	
						ered,	
						remove	
						d from	
						benefici	
						ary list +	
						other	
	Hous	Reciep	Assistanc	If cash received was higher or lower than the transfer amount, why?	Text	Text	НН
	ehol	t of	е				
	d	Assista	received				
	surv	nce	cash				
	ey						

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How	Hous	0	Drought	Was your community impacted by drought in the past 6 months?	Select	Yes or	HH
has	ehol	ht	impact		one	no +	
the	d	impact				text	
droug	surv						
ht	ey						
affecte							
d the	Hous	0	Drought	How long has the drought lasted?	Select	List -	HH
house	ehol	ht	timeline		one	Last	
hold	d	impact				three	
and	surv					months;	
their	ey					Last six	
comm						months;	
unity?						More	
						than six	
						months	
	Hous	Droug	Crop	If the household grows crops, in the past 6 months, did you face any crop losses due to the	Select	Yes or	HH
			1 '				ПП
	ehol	ht	Losses	drought/dry spell ?	one	no	
	d	impact					
	surv						
	ey						
	Hous	Droug	Harvest	Do you expect the next harvest of your most important crop to be below average?	Select	Yes or	HH
	ehol	ht	Prediction	,	one	no	
	d	impact					
	surv						
	ey						
	"						
	Hous	Droug	Rangelan	Did you face any rangeland losses recently due to the drought/dry spell?	Select	Yes or	НН
	ehol	ht	d Losses		one	no	
	d	impact					

			,				IVId	rcn zuzz
		surv ey						
		Hous ehol d surv ey	Droug ht impact	Livestock condition	If the household has livestock, what is the condition of the livestock?	Select	yes or no + fair or poor	
		Hous ehol d surv ey	Droug ht impact	Livestock condition	If the household has livestock in poor condition, can it be attributed to the drought?	Select	Yes or no	HH
		Hous ehol d surv ey	Droug ht impact	Conflict due to drought	In the past 6 months, was there a conflict in or between communities due to the drought effects? If yes, what was the cause	Select one + select multipl e	Yes or no + list of causes - pasture, water, land, or other	HH
What is the house hold's baseli	What is the HH monthl	Hous ehol d surv ey	Incom e	Income amount	In the past month, how much income did your household make, in KES?	Enter numb er	Betwee n 0 and 200000 KES	НН

								rch 2022
ne	incom	Hous	Incom	Savings	What is the total amount of cash savings you have, in KES?	Enter	Betwee	HH
level	e and	ehol	е			numb	n 0 and	
of food	what	d				er	100000	
and	other	surv					0 KES	
livelih	resour	ey						
ood	ces are	Hous	Incom	Main	What were your household's 3 main sources of income/household financial support in the past 6	Select	List -	HH
securit	availab	ehol	e	Source	months?	one	Livestoc	11111
y and	le to	d	E	Source	monus?	one	k Sales,	
how	the						Poultry/	
does	HH?	surv					Livestoc	
this		ey					k	
chang							Product	
е							(Milk,	
overti							Meat,	
me							Egg,	
after 							Ghee),	
receivi							Cash	
ng							Crop/	
UCT							Cash	
from							Fish	
the							Farming	
Somali							, Casual	
Cash							Labour	
Conso							Wage	
rtium?							(Portag	
							e,	
							Constru	
							ction,	
							etc),	
							Casual	
							Labour	
			L					<u> </u>

	Hous ehol d	Incom e	Debt	What is the total amount of debt your household currently has (money owed to people outside of your household), in KES?	Enter numb er	Other Betwee n 0 and	НН
						arian Assistan ce, Rent of Land,	
						of Humanit	
						ce, Sale	
						arian Assistan	
						Humanit	
						nces,	
						Remitta	
						Contract ed Job,	
						Fishing,	
						ence	
						Subsist	
						or	
						ence Farming	
						Subsist	
						S,	
						Busines	
						Labour),	
						Wage (Farm	

			1	T				rcn 2022
		surv					100000	
		ey					0 KES	
What	What	Hous	Food	Food and	How much did you spend on each of the following categories items in the past month (30	Month	Betwee	HH
are	are	ehol	securit	non-food	DAYS)?	ly	n 0 and	
house	house	d	у	expenditu		house	200000	
hold's	hold's	surv	,	re as	Categories: food, debt repayment of food, debt repayment of other items, medical	hold	KES	
baseli	expen	ey		proportion	expenses,WASH items, business, other	Expen		
ne	diture	-		of total		diture		
expen	pattern			househol		s in		
diture	s			d		KES		
pattern	(meas			expenditu				
s prior	ured			re				
to	over a							
receiv	thirty							
eing a	day							
UCT	period)							
from	after							
the	receive							
SCC,	ing							
and	UCT							
how	from							
does	the							
this	Somali							
chang	Cash							
e after	Conso							
receivi	rtium?							
ng								
multipl								
е								
rounds								
		1						

							1110	irch zuz
of the								
UCT?								
What	НН	Hous	Food	Food	In the last 24 hours, how many meals were eaten in your household?	Enter	Betwee	HH
is the	Level	ehol	securit	consumpt		numb	n 0 and	
house	Food	d	y	ion/dietar		er (7	
hold's	Securit	surv		y diversity		total		
baseli	y:	ey		score		numb		
ne	What					er of		
level	was					times		
of food	the HH					meals		
and	food					eaten,		
livelih	consu					not		
ood	mption					total		
securit	and					numb		
y and	dietary					er of		
how	diversi					peopl		
does	ty over					e who		
this	the					ate)		
chang	last seven	Hous	Food	Food	How many days in the past 7 days + past 24 hours did you or anyone in your household	Enter	Betwee	HH
e overti	days?	ehol	securit	consumpt	consume each of the following categories of foods?	numb	n 0 and	
me	uays:	d	y	ion +		er	7	
after		surv		dietary				
receivi		ey		diversity	Categories: main staples, pulses, meat and fish or eggs, milk, fruits, vegetables, oil, sugar, and			
ng		-		score	condiments			
a								

UCT	Hous	Food	Food	What was the main source of food for the past 7 days?	Select	List of	HH
from	ehol	securit	source		one	food	
the	d	у				sources:	
Somali	surv					own	
Cash	ey					producti	
Conso						on,	
rtium?						fishing	
						and	
						hunting,	
						gatherin	
						g, loan,	
						market	
						purchas	
						e with	
						cash,	
						market	
						purchas	
						e on	
						credit,	
						begging	
						,	
						exchang	
						e of	
						labor,	
						gift, in-	
						kind aid	

	Hous	Food	Food	What was the secondary source of food for the past 7 days?	Select	List of	HH
	ehol	securit	source		one	food	
	d	у			(must	sources:	
	surv				be	own	
	ey				differe	producti	
					nt	on,	
					from	fishing	
					main	and	
					sourc	hunting,	
					е	gatherin	
					select	g, loan,	
					ed)	market	
						purchas	
						e with	
						cash,	
						market	
						purchas	
						e on	
						credit,	
						begging	
						,	
						exchang	
						e of	
						labor,	
						gift, in-	
						kind aid	
НН	Hous		Consump	During the last 7 days, were there days (and, if so, how many) when your household had to	For		HH
Level	ehol		tion	employ one of the following strategies (to cope with a lack of food or money to buy it)?	each		' '' '
				of the of the following strategies (to cope with a lack of food of filoties to buy it):			
Food	d		based		copin		

								ICII ZUZ
S	Securit	surv	Food	coping	Coping strategies: relied on less preferred or less expensive food, borrowed food or relied on	g	Number	
У	<i>r</i> :	ey	securit	strategy -	help from friends or relatives, reduced the number of meals eaten per day, reduced portion size	strate	between	
V	Which		у	for full	of meals, reduction in the quantities consumed by adults/mothers for young children, purchase	gy	0 and 7	
С	consu			CSI and	food on credit, gather wild food/ hunt/ or harvest immature crop, consume seed stock held for	emplo		
n	nption			rCSI	next season, send household members to eat elsewhere, send household members to beg, feed	yed,		
b	pased				working household members at the expense of non-working members, ration the money	list the		
С	oping				available and buy prepared food, pass entire days without eating,	numb		
s	strateg					er of		
ie	es did					days.		
ti	he HH					lf		
е	emplo					none,		
у	/ in					put 0		
ti	he							
la	ast							
s	seven							
d	days?							
	HH	Hous	Livelih	Livelihood	During the past 30 days, did anyone in your household have to engage in any of the following	For	List of	HH
	_evel	ehol	ood	coping	activities because there was not enough resources (food, cash, else) to access essential needs	each	coping	
	₋ivelih	d	securit	strategy	(e.g. adequate shelter, education services, health services, etc)?	copin	strategi	
	ood	surv	У			g	es: No,	
S	Securit	ey				strate	No,	
•	<i>r</i> :					gy	because	
V	Which					emplo	1	

			IVId
liveliho	Coping strategies: sold household assets/goods (radio, furniture, refrigerator, television,	yed,	already
od	jewellery, clothes etc.); purchased food on credit or borrowed food; spent savings; borrowed	select	sold
coping	money; sold productive assets or means of transport (sewing machine, tools, wheelbarrow,	one	those
strateg	bicycle, car, etc); old-off animals (productive and non-productive) and to retain minimum stock;	answe	assets
ies did	reduced health (including Drugs) and education expenditures; consumed seed stocks that were	r from	or have
the HH	to be held/saved for the next season; decreased expenditures on fodder, animal feed, veterinary	the	engage
emplo	care, etc.; withdrew children from school; sold house or land; begged; sold last female animals;	copin	d in this
y in	entire household has migrated to this area in the last 6 months or plan to migrate to the new area	g list.	activity,
the	within the next 6 months to get help; involved in criminal activities such as theft	Questi	in the
last		ons	last 12
seven		are	months,
days?		sorted	and
		based	cannot
		on	continue
		releva	to do it,
		nce to	Yes,
		differe	Not
		nt	applicab
		livelih	le
		ood	because
		sector	I do not
		S.	own or
			use this
			asset or
			this
			activity
			is not
			relevant
			to my
			househ
			old

						IVI d	rcn 2022
How	Hous	Cash	Impact	Did the cash received help you to meet any of your household's basic needs (food, water, health,	Select	Yes or	HH
was	ehol	impact	basic	debt repayment, education, transport, fuel, HH items, rent, or shelter)? + If no, why did the cash	one +	no +	
the	d		needs	not help you meet any of your household's basic needs?	text	text	
cash	surv						
transfe	ey						
r used							
by the	Hous	Cash	Cash	In the past month, what amount of the cash transfer OR commodities bought with the cash	Select	Yes or	HH
н́н	ehol	impact	shared	transfer did you share with other HHs? This includes gifts bought for people outside of your	one +	no +	
and	d			household.	text	text	
what	surv						
was its	ey						
impact							
-	Hous	Protect	Protection	Did you feel more pressure to share the cash transfer money with other households than you do	Select	Yes or	HH
(positi	ehol	ion	: concern	to share money obtained from other sources of income? + if yes, please explain	one +	no +	
ve and	d		pressure		text	text	
negati	surv						
ve) on	ey						
social							
interac	Hous	Protect	Protection	Who was the primary decision-maker in your household on how to spend the cash received over	Select	Male	HH
tions	ehol	ion	concern:	the past month?	one	HH	
within	d		HH			member	
the	surv		conflict			,	
house	ey					Female	
hold						НН	
and in						member	
the							
comm						decision	
unity?						made	
,						jointly	
						by male	
						and	
						female	
						HH	

 						rcn ZUZZ
					member s	
Hous ehol d surv ey	Protect ion	Protection concern: HH conflict	Were there any problems or conflict in the household as a result of disagreement on how to spend the cash? + if yes, please explain	Select one + text	Yes or no + text	НН
Hous ehol d surv ey	Cash Use - Expen diture Pattern s	Food and non-food expenditu re as proportion of total househol d expenditu re	In the past 30 days, from only the amount you received from the Kenya Cash Consoritum, how much did your HH spend cash or commodities in KES value on the following things? Categories: food, debt repayment medical expenses, WASH items, business, education, sharing, savings, other	Month ly house hold Expen diture s in KES	Betwee n 0 and transfer amount received	HH
Hous ehol d surv ey	Impact of Cash on needs	Cash Impact on needs	Are other members of your community jealous of you because you received the cash transfer? + Have you experienced any of the following as a result of jealousy?	Select multipl e	list of needs:- Water, Food, Nutrition services , Latrines , Educati on, Security	

		March 202
		, Clothing
		, Health
		care, Mats
		and
		blankets
		Dialikets
		, Cooking
		oquinm
		equipm ent,
		Water
		basin,
		Jerry
		cans,
		Soap,
		Commu
		nity
		spaces, Reunific
		ation
		with
		family
		member
		S
		Liveliho
		od
		support
		(livestoc
		k
		restocki
		ng,

							ivia	rch 2022
							irrigatio	
							n pump	
							etc),	
							Fuel for	
							lighting	
							and	
							heating	
							(electrici	
							ty,	
							kerosen	
							e, gas,	
							firewood	
							, etc.),	
							Shelter,	
							Other,	
							None	
How	How	Hous	Subjec	Wellbeing	In the past month, has your household had sufficient quantity of food to eat?	Select	List:	HH
do	do	ehol	tive	food		one	always,	
house	house	d	WellBe				mostly,	
holds	holds	surv	ing				rarely,	
percei	percei	еу	Ü				never	
ve	ve							
their	their	Hous	Subjec	Wellbeing	In the past month, has your household had sufficient variety of food to eat?	Select	List:	HH
socio-	socio-	ehol	tive	food		one	always,	
econo	econo	d	WellBe				mostly,	
mic	mic	surv	ing				rarely,	
welbei	welbei	ey					never	
ng,	ng,	Hous	Subjec	Wellbeing	In the past month, has your household had enough money to cover your household's basic	Select	List:	HH
ability	ability	ehol	tive	basic	needs?	one	always,	' ''
to	to	d		needs		33	mostly,	
meet	meet						,	
						l		l

							IVId	rcn 2022
	basic needs,	surv ey	WellBe ing				rarely, never	
ability to respon d to shock s and how does	and ability to respon d to shocks ?	Hous ehol d surv ey	Subjec tive WellBe ing	Wellbeing overall	Currently, how would you rate your household's overall wellbeing?	Select one	List: always, mostly, rarely, never - meeting basic needs	НН
perception chang e chang e after receiving multipl e rounds of the UCT??	To what extent do house holds feel they can respon d to and withsta nd shocks and stress ers?	Hous ehol d surv ey	Subjec tive WellBe ing	Wellbeing resilience	How would a crisis or shock, such as flooding, affect your household's well-being?	Select	List: complet ely fine, regardle ss of these events; mostly fine, regardle ss of these events; would meet some basic needs; would be	HH

							IVIa	rch 2022
							complet ely unable to meet basic needs for survivin g	rcn 2022
What are house hold's experi ences with	What were HH's experi ences with	Hous ehol d surv ey	Protect ion	Protection concern: pay fees	Did you or anyone you know have to pay anyone in order to get on the beneficiary list, get registered, or receive the cash transfer? + If yes, please explain	Select one + text	yes or no or prefer not to answer + text	НН
protect ion issues and NGO accou	the UCT registr ation and distrib ution	Hous ehol d surv ey	Protect ion	Protection concern: coercion	Are you aware of anyone in the community being pressured or coerced to exchange non-monetary favors in order to get on the beneficiary list, get registered, or receive the cash transfer? + If yes, please explain	Select one + text	yes or no or prefer not to answer + text	HH
ntabilit y to benefi ciary popula tions?	s and did they experi ence any	Hous ehol d surv ey	Protect ion	Protection concern: taxation	Did you have to pay any fees or taxes against your will because you are a beneficiary of cash transfers? + If yes, please explain	select one + text	yes or no or prefer not to answer + text	НН

negati	Hous	Protect	Protection	Did you or any member of your household experience any other negative consequences as a	select	yes or	HH
ve	ehol	ion	concern:	result of your beneficiary status? + if yes, please explain	one +	no or	
conse	d		other		text	prefer	
quenc	surv		negative			not to	
es as a	ey		conseque			answer	
result of			nces			+ text	
being	Hous	Protect	Protection	What is your preferred method of receiving assistance? + why if mobile money is not preferred	select	List:	НН
a	ehol	ion	:		one +	Mobile	
benefi	d		preferenc		text	money,	
ciary	surv		e of			Cash	
of	ey		transfer			voucher	
UCT?			modality			s (ex.	
0011			-			Scope),	
						In kind	
						food,	
						Food,	
						Food	
						Voucher	
						s+ text	
						(if not	
						mobile	
						money	
						preferre	
						d)	
What	Hous	Accou	Accounta	Did you experience any problems receiving your money due to lack of access to or knowledge	select	yes or	НН
were	ehol	ntabilit	bility: lack	about mobile money technology? + if yes, please explain	one +	no +	
house	d	у	of		text	text	
holds	surv		knowledg				
experi	еу		e on				

ences			mobile				
with			money				
the							
cash	Hous	Accou	Accounta	Did you experience any other problems in receiving and accessing your money? + if yes, please	select	yes or	H
transfe	ehol	ntabilit	bility	explain	one +	no +	
r	d	у	concern:		text	text	
proces	surv		access				
s?	еу		difficulty				
	Hous	Accou	Accounta	How did you travel to withdraw the money you received from Asal Humanitarian Network(AHN)?			
	ehol	ntabilit	bility				
	d	y:	concern:				
	surv	Transp	access				
	еу	ort					
	Hous	Accou	Accounta	How many minutes did it take you to travel to withdraw the money you received from Asal			
	ehol	ntabilit	bility	Humanitarian Network(AHN)?			
	d	y:	concern:				
	surv	Transp	access				
	ey	ort					
ЕСНО	Hous	Protect	ECHO	Do you know of anyone in your community having been consulted by the NGO on what your	select	yes or	Н
Protect	ehol	ion	KPI	needs are and how the NGO can best help?	one	no or	
ion	d					prefer	
KPIs:	surv					not to	
What	ey					answer	
are							ļ.,
house	Hous	Protect	ECHO	Do you think that cash assistance is appropriate to your needs or those of members of your	select	yes or	Н
hold's	ehol	ion	KPI	community?	one	no or	
percep	d					prefer	
tions	surv					not to	
of key	ey					answer	

protect	Hous	Protect	ЕСНО	Do you feel safe when going through this program's selection process, registration and surveys?	select	yes or	HH
ion	ehol	ion	KPI	+ If no, do you mind telling us why?	one +	no or	
issues	d				text	prefer	
?	surv					not to	
	ey					answer	
						+ text	
	Hous	Protect	ECHO	Did you feel you were treated with respect by NGO staff during the intervention so far? + If not,	select	yes or	НН
	ehol	ion	KPI	would you mind telling us why?	one +	no or	
	d				text	prefer	
	surv					not to	
	ey					answer	
						+ text	
	Hous	Protect	ECHO	During the selection process, do you think there were households that were unfairly selected for	select	yes or	HH
	ehol	ion	KPI	cash distributions over other households more in need?	one	no or	
	d					prefer	
	surv					not to	
	еу					answer	
	Hous	Protect	ECHO	Are you aware of any options to contact the agency if you have any questions, complaints, or	select	yes or	НН
	ehol	ion	KPI	problems receiving the assistance? + If yes, which options are you aware of?	one +	no + list	
	d				select	of	
	surv				multipl	options	
	еу				е	to	
					(Note	contact	
					to	agency:	
					enum	talk	
					erator:	directly	
					do not	to NgO	
					list the	staff,	
					below	use the	
					as	NGO	

						IVIa	rch 2022
					option	hotline,	
					s to	use the	
					the	NGO	
					intervi	desk,	
					ewee.	not	
					Instea	aware	
					d,	of any	
					mark	options	
					all		
					those		
					that		
					apply		
					based		
					on the		
					answe		
					r		
					provid		
					ed.)		
	Hous	Protect	ECHO	Have you or anyone you know in your community ever raised any concerns on the assistance	Select	yes or	HH
	ehol	ion	KPI	you received to the NGO using one of the above mechanisms? + If yes, are you satisfied with	one +	no +	1111
	d	1011	KFI	the response you have received? + If no, why were no concerns raised?	select	concern	
	surv			the response you have received: + ii no, why were no concerns raised:	one +	list: no	
	ey				select	concern	
	Су				one	S	
					One	existed,	
						fear of	
						repurcu	
						ssions	
						for	
						raising	
						concern	
						COLICOITI	

							ma	1011 2022
							s, lack	
							of	
							awaren	
							ess	
							about	
							how to	
							raise	
							concern	
							s	
Project	How	Hous	Accou	Improvem	Do you have any other comment, complaint or feedback? + explain	Select	yes or	HH
Improv	can the	ehol	ntabilit	ent		one +	no +	
ement	project	d	у			text	text	
	be	surv						
	improv	еу						
	ed in							
	the							
	future?							

9.3 TOOL 3 – Endline Data Analysis Plan

Resear ch questi ons	Sub- Resear ch questi ons	Data colle ction meth od	Indicat or group / sector	Indicator / Variable	Questionnaire Question	Instru ction s	Questio nnaire Respon ses	Data colle ctio n level
Enume rator and Partne r	N/A	Hous ehold surve y	Key	Enumerat or ID		Enter name	Text	НН
		Hous ehold surve y	NGO	Cash agency	Agency providing cash to beneficiary?	Select	List of Cash Consorti um partner NGOs: PGI, SAPCO NE,SND , PACIDA , NAPAD, TUPAD O, WASDA , ALDEF	HH

Conse	Hous	Key	Consent	Consent statement read out. Is consent given to continue the survey?	Select	Yes or	HH
nt	ehold surve y		given		one	no	
Respo ndent Profile	Hous ehold surve y	Locatio n	County	County	Select	Admin list of benefici ary counties	НН
	Hous ehold surve y	Locatio n	Sub- county	Sub-County Sub-County	Select one	Admin list of benefici ary sub- counties	НН
	Hous ehold surve y	Locatio n	Village	Village/Settlement	Select	Admin list of settleme nts	HH
	Hous ehold surve y	Key	Phone number	Respondent phone number	Enter numb er	Phone number must start with 0 and be 10 digits	НН
	Hous ehold	Key	HHH profile	Are you (the respondent) the head of the household?	Select	Yes or no	HH

	,						IVI d	rcn 2022
		surve y						
		Hous ehold surve y	Key	HHH profile	Gender of the head of HH	Select one	Male or female	НН
		Hous ehold surve y	Key	HHH profile	Age of the head of HH	Enter numb er	Integer	HH
		Hous ehold surve y	Key	HHH profile	Size of the Household	Enter numb er	Integer	HH
Respo ndent Benefi ciary	N/A	Hous ehold surve y	Reciep t of Assista nce	Assistanc e received cash	In the past month, did your household receive cash assistance from \${ngo}?	Select one	Yes or no	НН
Status & Reciep t of Assist		Hous ehold surve y	Reciep t of Assista nce	Assistanc e received cash	If cash was received, how much money did your household receive in KES?	Enter numb er	Integer	НН
ance		Hous ehold surve y	Reciep t of Assista nce	Assistanc e not received cash	If cash was not received, why did the household not receive cash?	Select multipl e	List - delay, lack of access, lack of	НН

								rch 2022
							knowled	
							ge,	
							unregist	
							ered,	
							remove	
							d from	
							benefici	
							ary list +	
							other	
		ļ				<u> </u>		
		Hous	Reciep	Assistanc	If cash received was higher or lower than the transfer amount, why?	Text	Text	HH
		ehold	t of	е				
		surve	Assista	received				
		У	nce	cash				
How		Hous	Droug	Drought	Was your community impacted by drought in the past 6 months?	Select	Yes or	НН
has		ehold	ht	impact		one	no +	
the		surve	impact				text	
droug		У						
ht		Hous	Droug	Drought	How long has the drought lasted?	Select	List -	HH
affecte		ehold	Droug ht	Drought timeline	How long has the drought lasted?			пп
d the				umeime		one	Last three	
house		surve	impact					
hold		У					months; Last six	
and							months;	
their							More	
comm							than six	
unity?							months	
							1110111115	
	·		1	·	l		L	

 			T		IVIQ	ICH ZUZ
Hous ehold surve y	Droug ht impact	Crop Losses	If the household grows crops, in the past 6 months, did you face any crop losses due to the drought/dry spell ?	Select	Yes or no	HH
Hous ehold surve y	Droug ht impact	Harvest Prediction	Do you expect the next harvest of your most important crop to be below average?	Select	Yes or no	НН
Hous ehold surve y	Droug ht impact	Rangelan d Losses	Did you face any rangeland losses recently due to the drought/dry spell?	Select	Yes or no	НН
Hous ehold surve y	Droug ht impact	Livestock condition	If the household has livestock, what is the condition of the livestock?	Select one	yes or no + fair or poor	
Hous ehold surve y	Droug ht impact	Livestock condition	If the household has livestock in poor condition, can it be attributed to the drought?	Select one	Yes or no	НН
Hous ehold surve y	Droug ht impact	Conflict due to drought	In the past 6 months, was there a conflict in or between communities due to the drought effects? If yes, what was the cause	Select one + select multipl e	Yes or no + list of causes - pasture, water,	НН

								rch 2022
							land, or	
							other	
What	What	Hous	Incom	Income	In the past month, how much income did your household make, in KES?	Enter	Betwee	HH
is the	is the	ehold	е	amount		numb	n 0 and	
house	НН	surve				er	200000	
hold's	monthl	у					KES	
baseli	у							
ne	incom	Hous	Incom	Savings	What is the total amount of cash savings you have, in \$USD?	Enter	Betwee	HH
level	e and	ehold	е			numb	n 0 and	
of	what	surve				er	100000	
food	other	У					0 KES	
and	resour	Hous	Incom	Main	What were your household's 3 main sources of income/household financial support in the past 6	Select	List -	НН
livelih	ces are	ehold	е	Source	months?	one	Livestoc	
ood	availab	surve					k Sales,	
securit	le to the	у					Poultry/	
y and how	HH?						Livestoc	
does	nn:						k	
this							Product	
chang							(Milk,	
e							Meat,	
overti							Egg,	
me							Ghee),	
after							Cash	
receivi							Crop/	
ng							Cash	
UCT							Fish	
from							Farming	
the							, Casual	
Somali							Labour	
Cash							Wage	
							(Portag	

Conso			e, Constru
rtium?			Constru
			ction,
			etc),
			Casual
			Labour
			Wage
			(Farm
			Labour),
			Busines
			s, Subsist
			ence
			Farming
			or
			Subsist
			ence
			Fishing,
			Contract
			ed Job,
			Remitta
			nces,
			Humanit
			arian
			Assistan
			ce, Sale
			of
			Humanit
			arian
			Assistan
			ce, Rent

								rch 2022
							of Land,	
							Other	
							Othor	
		Hous	Incom	Debt	What is the total amount of debt your household currently has (money owed to people outside of	Enter	Betwee	НН
		ehold		5051	your household), in \$USD?		n 0 and	
			е		your nousehold), in \$05D?	numb		
		surve				er	100000	
		у					0 KES	
What	What	Hous	Food	Food and	How much did you spend on each of the following categories items in the past month (30	Month	Betwee	HH
are	are	ehold	securit	non-food	DAYS)?	ly	n 0 and	
house	house	surve	y	expenditu	,	house	200000	
hold's	hold's	y		re as	Categories: food, debt repayment of food, debt repayment of other items, medical	hold	KES	
baseli	expen	,		proportio	expenses,WASH items, business, other	Expen		
ne	diture			n of total	,	diture		
expen	pattern			househol		s in		
diture	S			d		KES		
patter	(meas			expenditu				
ns	ured			re				
prior	over a			. •				
to	thirty							
receiv	day							
	-							
eing a	period)							

UCT	after						IIIG	TC11 2022
from	receive							
the								
	ing UCT							
SCC,								
and	from							
how	the							
does	Somali							
this	Cash							
chang	Conso							
e after	rtium?							
receivi								
ng								
multipl								
е								
round								
s of								
the								
UCT?								
What	НН	Hous	Food	Food	In the last 24 hours, how many meals were eaten in your household?	Enter	Betwee	HH
is the	Level	ehold	securit	consumpt		numb	n 0 and	
house	Food	surve	у	ion/dietar		er (7	
hold's	Securit	у		y diversity		total		
baseli	y:			score		numb		
ne	What					er of		
level	was					times		
of	the HH					meals		
food	food					eaten,		
and	consu					not		
livelih	mption					total		
ood	and					numb		
securit	dietary					er of		
Securit	aletal y					01 01		

-	diversi ty over					peopl e who		rch 202
does	the last					ate)		
chang	seven days?	Hous ehold surve y	Food securit y	Food consumpt ion + dietary diversity score	How many days in the past 7 days + past 24 hours did you or anyone in your household consume each of the following categories of foods? Categories: main staples, pulses, meat and fish or eggs, milk, fruits, vegetables, oil, sugar, and condiments	Enter numb er	Betwee n 0 and 7	HH
ng UCT from the Somali Cash Conso rtium?		Hous ehold surve y	Food securit y	Food source	What was the main source of food for the past 7 days?	Select one	List of food sources: own producti on, fishing and hunting, gatherin g, loan, market purchas e with cash, market purchas e on credit, begging	НН

						IVIa	rch 2022
						exchang	
						e of	
						labor,	
						gift, in-	
						kind aid	
	Hous	Food	Food	What was the secondary source of food for the past 7 days?	Select	List of	НН
	ehold	securit	source		one	food	
	surve	y			(must	sources:	
	у				be	own	
					differe	producti	
					nt	on,	
					from	fishing	
					main	and	
					sourc	hunting,	
					е	gatherin	
					select	g, loan,	
					ed)	market	
						purchas	
						e with	
						cash,	
						market	
						purchas	
						e on	
						credit,	
						begging	
						,	
						exchang	
						e of	
						labor,	

					gift, in- kind aid	
HH Level Food Securit y: Which consu mption based coping strateg ies did the HH emplo y in the last seven days?	Food securit y	Consump tion based coping strategy - for full CSI and rCSI	During the last 7 days, were there days (and, if so, how many) when your household had to employ one of the following strategies (to cope with a lack of food or money to buy it)? Coping strategies: relied on less preferred or less expensive food, borrowed food or relied on help from friends or relatives, reduced the number of meals eaten per day, reduced portion size of meals, reduction in the quantities consumed by adults/mothers for young children, purchase food on credit, gather wild food/ hunt/ or harvest immature crop, consume seed stock held for next season, send household members to eat elsewhere, send household members to beg, feed working household members at the expense of non-working members, ration the money available and buy prepared food, pass entire days without eating,	For each copin 9 strate gy emplo yed, list the numb er of days. If none, put 0	Number between 0 and 7	H

 1111	House	طالمينا	Livelibes	During the next 20 days did anyone in your beyonhold have to appear in any of the fall anima	Гол		1 11 1
HH	Hous	Livelih	Livelihoo	During the past 30 days, did anyone in your household have to engage in any of the following	For	List of	HH
Level	ehold	ood	d coping	activities because there was not enough resources (food, cash, else) to access essential needs	each	coping	
Livelih	surve	securit	strategy	(e.g. adequate shelter, education services, health services, etc)?	copin	strategi	
ood	У	У			9 ,	es: No,	
Securit					strate	No,	
y:					gy	because	
Which					emplo	l	
liveliho					yed,	already	
od .				Coping strategies: sold household assets/goods (radio, furniture, refrigerator, television,	select	sold	
coping				jewellery, clothes etc.); purchased food on credit or borrowed food; spent savings; borrowed	one	those	
strateg				money; sold productive assets or means of transport (sewing machine, tools, wheelbarrow,	answe	assets	
ies did				bicycle, car, etc); old-off animals (productive and non-productive) and to retain minimum stock;	r from	or have	
the HH				reduced health (including Drugs) and education expenditures; consumed seed stocks that were	the	engage	
emplo				to be held/saved for the next season; decreased expenditures on fodder, animal feed, veterinary	copin	d in this	
y in				care, etc.; withdrew children from school; sold house or land; begged; sold last female animals;	g list.	activity,	
the				entire household has migrated to this area in the last 6 months or plan to migrate to the new	Questi	in the	
last				area within the next 6 months to get help; involved in criminal activities such as theft	ons	last 12	
seven					are	months,	
days?					sorted	and	
					based	cannot	
					on	continue	
					releva	to do it,	
					nce to	Yes,	
					differe	Not	
					nt	applicab	
					livelih	le	
					ood	because	
					sector	I do not	
					S.	own or	
						use this	
						asset or	
						this	

	 						rch 2022
						activity is not	
						relevant	
						to my	
						househ	
						old	
How	Hous	Cash	Impact	Did the cash received help you to meet any of your household's basic needs (food, water,	Select	Yes or	HH
was	ehold	impact	basic	health, debt repayment, education, transport, fuel, HH items, rent, or shelter)? + If no, why did	one +	no +	
the	surve		needs	the cash not help you meet any of your household's basic needs?	text	text	
cash	y						
transfe							
r used	Hous	Cash	Cash	In the past month, what amount of the cash transfer OR commodities bought with the cash	Select	Yes or	HH
by the	ehold	impact	shared	transfer did you share with other HHs? This includes gifts bought for people outside of your	one +	no +	
HH	surve			household.	text	text	
and	y						
	,						
what	Hous	Protect	Protectio	Did you feel more pressure to share the cash transfer money with other households than you do	Select	Yes or	HH
was its	ehold	ion	n:	to share money obtained from other sources of income? + if yes, please explain	one +	no +	
impact	surve		concern	, , , , , , , , , , , , , , , , , , ,	text	text	
(positi			pressure				
ve and	у		pressure				
<u> </u>		I	l	l	I	L	

negati	Hous	Protect	Protectio	Who was the primary decision-maker in your household on how to spend the cash received over	Select	Male	HH
ve) on	ehold	ion	n	the past month?	one	HH	' '''
social	surve	1011	concern:	the past month:	One	member	
interac			HH			IIIeiiibei	
	У		conflict			, Female	
tions			Commet				
within						HH .	
the						member	
house						, , , ,	
hold						decision	
and in						made	
the						jointly	
comm						by male	
unity?						and	
						female	
						HH	
						member	
						s	
	Hous	Protect	Protectio	Were there any problems or conflict in the household as a result of disagreement on how to	Select	Yes or	HH
	ehold	ion	n	spend the cash? + if yes, please explain	one +	no +	
	surve		concern:		text	text	
	у		HH				
			conflict				
	Hous	Cash	Food and	In the past 30 days, from only the amount you received from the Kenya Cash Consoritum, how	Month	Betwee	HH
	ehold	Use -	non-food	much did your HH spend cash or commodities in KES value on the following things?	ly	n 0 and	
	surve	Expen	expenditu	Catagorian, food, debt rengyment modical synonos WACILitama by signal advantion	house	transfer	
	у	diture	re as	Categories: food, debt repayment medical expenses, WASH items, business, education,	hold	amount	
		Pattern	proportio	sharing, savings, other	Expen	received	
		s	n of total		diture		
			househol		s in		
			d		KES		

			expenditu re			Wal	CII ZUZZ
	Hous	Impact	Cash	Are other members of your community jealous of you because you received the cash transfer? +	Select	list of	
	ehold	of	Impact on	Have you experienced any of the following as a result of jealousy?	multipl	needs:-	
	surve	Cash	needs		е	Water,	
	у	on				Food,	
		needs				Nutrition	
						services	
						,	
						Latrines	
						,	
						Educati	
						on,	
						Security	
						, Clothing	
						, Health	
						care,	
						Mats	
						and	
						blankets	
						,	
						Cooking	
						equipm	
						ent,	
						Water	
						basin,	
						Jerry	
						cans,	
						Soap,	
						Commu	

		March 202
		nity
		spaces,
		Reunific
		ation
		with
		family
		member
		s
		Liveliho
		od
		support
		(livestoc
		k
		restocki
		ng,
		irrigatio
		n pump
		etc),
		Fuel for
		lighting
		and
		heating
		(electrici
		ty,
		kerosen
		e, gas,
		firewood
		, etc.),
		Shelter,
		Other,
		None

							IIIG	ICII ZUZZ
How	How	Hous	Subjec	Wellbeing	In the past month, has your household had sufficient quantity of food to eat?	Select	List:	HH
do	do	ehold	tive	food		one	always,	
house	house	surve	WellBe				mostly,	
holds	holds	у	ing				rarely,	
percei	percei						never	
ve	ve							
their	their	Hous	Subjec	Wellbeing	In the past month, has your household had sufficient variety of food to eat?	Select	List:	HH
socio-	socio-	ehold	tive	food		one	always,	
econo	econo	surve	WellBe				mostly,	
mic	mic	У	ing				rarely,	
welbei	welbei						never	
ng,	ng,	Hous	Subjec	Wellbeing	In the past month, has your household had enough money to cover your household's basic	Select	List:	HH
ability	ability	ehold	tive	basic	needs?	one	always,	
to	to	surve	WellBe	needs		5.1.5	mostly,	
meet	meet	y	ing				rarely,	
basic	basic	,	9				never	
needs,	needs,							
and	and	Hous	Subjec	Wellbeing	Currently, how would you rate your household's overall wellbeing?	Select	List:	HH
ability	ability	ehold	tive	overall		one	always,	
to	to	surve	WellBe				mostly,	
respon	respon	у	ing				rarely,	
d to	d to						never -	
shock	shocks						meeting	
s and	?						basic	
how							needs	

dee-	т.	Harra	Cuki	Mallhains	How would be origin as about such as flooding offers to a such at the could be in a	Calast		1011 2022
does	То	Hous	Subjec	Wellbeing	How would a crisis or shock, such as flooding, affect your household's well-being?	Select	List:	HH
this	what	ehold	tive	resilience		one	complet	
percep	extent	surve	WellBe				ely fine,	
tion	do	У	ing				regardle	
chang	house						ss of	
е	holds						these	
chang	feel						events;	
e after	they						mostly	
receivi	can						fine,	
ng	respon						regardle	
multipl	d to						ss of	
е	and						these	
round	withsta						events;	
s of	nd						would	
the	shocks						meet	
UCT??	and						some	
	stress						basic	
	ers?						needs;	
							would	
							be	
							complet	
							ely	
							unable	
							to meet	
							basic	
							needs	
							for	
							survivin	
							g	
							_	

		I						rcn ZUZZ
What	What	Hous	Protect	Protectio	Did you or anyone you know have to pay anyone in order to get on the beneficiary list, get	Select	yes or	HH
are	were	ehold	ion	n	registered, or receive the cash transfer? + If yes, please explain	one +	no or	
house	HH's	surve		concern:		text	prefer	
hold's	experi	у		pay fees			not to	
experi	ences						answer	
ences	with						+ text	
with	the							
protec	UCT	Hous	Protect	Protectio	Are you aware of anyone in the community being pressured or coerced to exchange non-	Select	yes or	HH
tion	registr	ehold	ion	n	monetary favors in order to get on the beneficiary list, get registered, or receive the cash	one +	no or	
issues	ation	surve		concern:	transfer? + If yes, please explain	text	prefer	
and	and	у		coercion			not to	
NGO	distrib						answer	
accou	ution						+ text	
ntabilit	proces		D ((D (!!				
y to	s and	Hous	Protect	Protectio	Did you have to pay any fees or taxes against your will because you are a beneficiary of cash	select	yes or	HH
benefi	did	ehold	ion	n	transfers? + If yes, please explain	one +	no or	
ciary	they	surve		concern:		text	prefer	
popula	experi	У		taxation			not to	
tions?	ence						answer	
	any						+ text	
	negati	Hous	Protect	Protectio	Did you or any member of your household experience any other negative consequences as a	select	yes or	HH
	ve	ehold	ion	n	result of your beneficiary status? + if yes, please explain	one +	no or	1 1111
	conse	surve	1011	concern:	lesalt of your beneficially status: • If yes, please explain	text	prefer	
	quenc					lext		
	es as a	У		other			not to	
	result			negative			answer	
	of			conseque			+ text	
	OI .			nces				
		l	1			1		<u> </u>

being	Hous	Protect	Protectio	What is your preferred method of receiving assistance? + why if mobile money is not preferred	select	List:	HH
а	ehold	ion	n:		one +	Mobile	
benefi	surve		preferenc		text	money,	
ciary	у		e of			Cash	
of			transfer			voucher	
UCT?			modality			s (ex.	
						Scope),	
						In kind	
						food,	
						Food,	
						Food	
						Voucher	
						s+ text	
						(if not	
						mobile	
						money	
						preferre	
						d)	
What	Hous	Accou	Accounta	Did you experience any problems receiving your money due to lack of access to or knowledge	select	yes or	НН
were	ehold	ntabilit	bility: lack	about mobile money technology? + if yes, please explain	one +	no +	
house	surve	y	of		text	text	
holds	у		knowledg				
experi			e on				
ences			mobile				
with			money				
the							
cash	Hous	Accou	Accounta	Did you experience any other problems in receiving and accessing your money? + if yes,	select	yes or	НН
transfe	ehold	ntabilit	bility	please explain	one +	no +	
r	surve	У	concern:		text	text	
	У		access				
			difficulty				

proces s?							
	Hous ehold surve y	Accou ntabilit y: Transp ort	Accounta bility concern: access	How did you travel to withdraw the money you received from Asal Humanitarian Network(AHN)?			
	Hous ehold surve y	Accou ntabilit y: Transp ort	Accounta bility concern: access	How many minutes did it take you to travel to withdraw the money you received from Asal Humanitarian Network(AHN)?			
Sustai naibilit y and Exit Plan	Hous ehoul d surve y	Accou ntabilit y	Accounta bility: Exit plan	Do you expect that your household will encounter any challenges or difficulties as a result of the cash transfers ending? + Please explain what challenges your household will face	Select one + text	yes or no or prefer not to answer + text	
ECHO Protect ion KPIs: What	Hous ehold surve y	Protect	ECHO KPI	Do you know of anyone in your community having been consulted by the NGO on what your needs are and how the NGO can best help?	select one	yes or no or prefer not to answer	
are house hold's percep tions	Hous ehold surve y	Protect	ECHO KPI	Do you think that cash assistance is appropriate to your needs or those of members of your community?	select	yes or no or prefer	

of key protect ion						not to answer	
issues ?	Hous ehold surve y	Protect ion	ECHO KPI	Do you feel safe when going through this program's selection process, registration and surveys? + If no, do you mind telling us why?	select one + text	yes or no or prefer not to answer + text	НН
	Hous ehold surve y	Protect ion	ECHO KPI	Did you feel you were treated with respect by NGO staff during the intervention so far? + If not, would you mind telling us why?	select one + text	yes or no or prefer not to answer + text	НН
	Hous ehold surve y	Protect ion	ECHO KPI	During the selection process, do you think there were households that were unfairly selected for cash distributions over other households more in need?	select one	yes or no or prefer not to answer	HH
	Hous ehold surve y	Protect ion	ECHO KPI	Are you aware of any options to contact the agency if you have any questions, complaints, or problems receiving the assistance? + If yes, which options are you aware of?	select one + select multipl e (Note to enum erator: do not	yes or no + list of options to contact agency: talk directly to NgO	НН

							rch 2022
					list the	staff,	
					below	use the	
					as	NGO	
					option	hotline,	
					s to	use the	
					the	NGO	
					intervi	desk,	
					ewee.	not	
					Instea	aware	
					d,	of any	
					mark	options	
					all		
					those		
					that		
					apply		
					based		
					on the		
					answe		
					r		
					provid		
					ed.)		
	Hous	Protect	ECHO	Have you or anyone you know in your community ever raised any concerns on the assistance	Select	yes or	HH
	ehold	ion	KPI	you received to the NGO using one of the above mechanisms? + If yes, are you satisfied with	one +	no +	1111
	surve	1011	INFI	the response you have received? + If no, why were no concerns raised?	select	concern	
				the response you have received: + if no, why were no concerns raised:	one +	list: no	
	У				select	concern	
					one	S	
					OHE	existed,	
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Davis	11	11	Λ	1	De contra de con	0-14		
Projec	How	Hous	Accou	Improvem	Do you have any other comment, complaint or feedback? + explain	Select	yes or	HH
t	can the	ehold	ntabilit	ent		one +	no +	
Improv	project	surve	У			text	text	
ement	be	У						
	improv							
	ed in							
	the							
	future?							